

## Chapter 4. Changes in Impacts of Climate Extremes: Human Systems and Ecosystems

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## 48 Executive Summary 49

50 *Extreme impacts can result from extreme climate events, but can also occur without extreme events.* The chapter  
51 examines two distinct types of “extremes”: weather and climate extreme events; and secondly, extreme impacts on  
52 human and ecological systems. Although extreme impacts often follow an extreme event, either extreme can occur  
53 without the other. Serious negative impacts on humans and ecosystems can occur even without weather and climate  
54 extremes. This is because the impacts of these extremes are a function of exposure, vulnerability and the type and

1 magnitude of the climate event. Gradual climate change can have major effects on vulnerability and exposure  
2 greatly increasing the impacts of climate events. Similarly, changes in human systems and ecosystems can also have  
3 major effects on vulnerability and exposure, and therefore on impacts. To a lesser extent weather and climate events  
4 can also have positive impacts for some ecosystems and economic sectors.  
5

6 *There is high confidence that absolute losses from weather-related disasters are increasing (see Sections 4.2.5;*  
7 *4.6.3.1). There is high agreement, but medium evidence that anthropogenic climate change has so far not lead to*  
8 *increasing losses.* This is particularly the case for large scale extreme events such as windstorms (including  
9 cyclones) and river floods (see Section 4.2.5). This conclusion is contingent on data availability (most data are  
10 available for developed countries), type of hazards studied (many studies focus on cyclones, where there is low  
11 confidence in an anthropogenically induced change in the hazard (see Section 3.3.3)), and finally on the methods  
12 used to normalize loss data over time.  
13

14 *Exposure of people and economic assets to climatic extremes is almost certainly increasing, and is very likely the*  
15 *major cause of the long-term changes in economic disaster losses [high confidence]. There is some evidence that*  
16 *human exposure is increasing more quickly in high hazard rapidly developing areas, apart from areas prone to*  
17 *severe drought.*  
18

19 *Trends in vulnerability vary greatly by location and demography with some areas and groups showing increases*  
20 *and others decreases.* But there is no agreement on global trends – and generalizations may be inappropriate due to  
21 vulnerability’s immense variability.  
22

23 *Impacts of extreme events are almost certain to increase with climate change. However, few studies have addressed*  
24 *non-climatic factors, such as exposure and vulnerability changes, thus the confidence in these projections is low.*  
25 Projected future weather related loss studies mostly focus on tropical cyclones in the US and floods in Europe and  
26 the US, although some studies have addressed flash floods and hail damage. For the studies that do consider  
27 socioeconomic as well as climate change, there is *medium agreement, but limited evidence* that the expected changes  
28 in exposure are at least as large as the effects of climate change. Indirect and intangible losses are rarely addressed  
29 (see section 4.6.3).  
30

31 *Adaptation costs and disaster losses for the projected increasing climate and weather extremes will increase the*  
32 *costs of development.* There is medium agreement and evidence that this increase could almost halt economic  
33 development in some areas  
34

35 *Climatic extremes are observed to have widespread negative effects on biodiversity and ecosystems, including*  
36 *physiology, development, phenology and carbon balance.* Ecosystem services can be seriously impaired by extreme  
37 weather and climate events. Ecosystem susceptibility to negative impacts is increased when already stressed by  
38 human caused ecosystem fragmentation, deforestation, urbanization, road and infrastructure corridors,  
39 environmental contamination and residual damage from earlier events.  
40

41 *Extreme events are more likely to have major impacts on some sectors than others due to their close links with*  
42 *climate, in particular agriculture and food security.* Tourism is also especially sensitive to extremes. To reduce the  
43 vulnerability of many economic sectors, private commercial interests need to be involved. Settlements combine and  
44 concentrate the exposure of many sectors including infrastructure, transport and most components of manufacturing  
45 and trade. Because of the connected nature of these sectors vulnerabilities in one are likely to impact negatively on  
46 other sectors.  
47

48 *Regions are impacted differently by extreme events. However, in most regions, the severity of the impacts of*  
49 *extremes such as heat waves and wildfires, droughts and floods (fluvial and coastal), are projected to increase.* This  
50 is largely due to increases in exposure and variations in vulnerability and adaptive capacity. Some regions and sub-  
51 regions, including some emerging major economies, may be impacted severely by climate extremes to the extent  
52 that the viability of government finances is put at risk.  
53

1 *There is robust evidence and high agreement that deforestation induces decreases in precipitation and increases in*  
2 *local temperatures in tropical areas. It is very likely that a dryer and warmer local climate will exacerbate forest*  
3 *fires. When combined with increasing exposure there may be severe forest fire impacts in areas without such*  
4 *experience.*

5  
6 *Most estimates of disaster impacts are based on direct losses, recorded largely as monetized direct damages to*  
7 *infrastructure, productive capital stock and buildings, only, and as a result seriously underestimate loss (see Section*  
8 *4.6.1.1). For example, this is the case with the widely used database EM-DAT. This approach excludes indirect*  
9 *losses which are primarily the economic flows that constitute livelihoods and economies, and intangible losses*  
10 *which include ecosystem services, human lives, quality of life and cultural impacts.*

11  
12 *Global observed climate related disaster impacts over the last few decades reflect mainly monetized direct damages*  
13 *to assets, and are unequally distributed (see section 4.6.3.1). Annual accumulated estimates have ranged from a few*  
14 *billion to about 250 billion USD (in 2009 values) for 2005 (the year of Hurricane Katrina) (see section 4.2.5). Over*  
15 *the period of 2000-2008, the Americas suffered the most direct economic damage in absolute terms accounting for*  
16 *55% of the total damages, followed by Asia (28%) and Europe (16%), while Africa accounted for only 0.6%. When*  
17 *expressed as a proportion of GDP, estimated losses of natural disasters in developing regions (particularly in East*  
18 *and South Asia and the Pacific, Latin America and the Caribbean) are higher than those in developed regions [high*  
19 *confidence]. Over the 25 year period of 1980 to 2004, direct losses have amounted to 0.15% of GDP in high income*  
20 *as compared to 0.5% for low income countries (see section 4.6.XX). In individual events, disasters can cause*  
21 *massive losses, such as in small economies and small island states, where in St. Lucia in 1998 the asset losses*  
22 *measured as a percentage of GDP exceeded 350% (high confidence; see 4.6 XX). In terms of observed and modelled*  
23 *indirect losses, there is robust evidence and medium agreement that extreme events can cause important adverse*  
24 *macroeconomic and developmental effects, such as reduced direct and indirect tax revenue, dampened investment*  
25 *and reduced long-term economic growth through their negative effect on a country's credit rating and an increase in*  
26 *interest rates for external borrowing (see section 4.6.1.2).*

27  
28 *Definitions:* For practical reasons, both the concept of “extremes” and “rarity” are not amenable to precise  
29 definition. Varying spatial and temporal scales, and the very large variation in the attributes of the event in question  
30 – such as: duration, intensity, spatial area affected, timing, frequency, onset date, whether the event is continuous or  
31 broken such as a continuous drought, and antecedent conditions - mean that it is neither practical nor useful to define  
32 extremes precisely. Statistical rarity is determined with respect to time and place, and subject to major changes.

33  
34 *There is medium uncertainty in assessments of impacts of extreme weather events, and high uncertainty when*  
35 *impacts are projected into the future. Beside sources of uncertainty identified in Chapter 3, there are also major*  
36 *uncertainties in future social values and technologies.*

#### 37 38 39 **4.1. Introduction**

40  
41 Chapter 3 establishes the current status and possible changes in the frequency and intensity of weather and climatic  
42 extremes. This physical basis provides a picture of climate change and extreme natural events. But it does not by  
43 itself indicate the impacts experienced by humans or ecosystems. For some sectors and groups of people severe  
44 impacts may result from relatively minor weather and climate events. To understand these impacts triggered by  
45 natural events we need to examine the exposure and vulnerability of humans and ecological systems. We also need  
46 to clarify what constitutes impacts for whom at what scales. The emphasis is on negative impacts, but climate events  
47 can and often do have positive impacts for both some people and ecosystems.

48  
49 This chapter examines impacts on human and ecological systems in two ways: the impacts of weather and climate  
50 extremes; and secondly, circumstances where severe or extreme impacts are triggered by less than extreme weather  
51 events. These two ways of viewing impacts are also examined by regions and sectors – as available data permit.

52  
53 Activities undertaken as disaster risk reduction may also act as adaptation to climate extremes resulting from climate  
54 change, and act to reduce impacts. Strategies to reduce risk from one form of climate extreme may also increase the

1 risk from another. In writing this chapter we have not considered these issues as subsequent chapters are dedicated  
2 to adaptation. However, in this chapter impacts are assessed without reference to possible adaptive action, and the  
3 chapter does not attempt to distinguish between adaptive action as a result of climate change and the management of  
4 exposure and vulnerability for existing hazards.  
5

6 The Chapter examines concepts and definitions, in particular the concept of “extreme”. Examination of trends in  
7 disaster impacts highlights the difficulties in the attribution of trends in climate related disasters to climate change.  
8 Issues and trends in exposure and vulnerability and their relationship with extreme events are discussed. The  
9 Chapters then examines system- and sector-based aspects of vulnerability, exposure and impacts, both observed and  
10 projected. The same issues are examined by the IPCC regions, before the Chapter concludes with a section on the  
11 costs of climate related disasters and adaptation. Most material on the costs of afaptation is in subsequent chapters.  
12  
13

## 14 **4.2. Role of Climatic Extremes in Natural and Socio-Economic Systems**

### 15 **4.2.1. What is “Extreme”?**

16  
17  
18 In the context of this chapter, “extreme” refers to two distinct areas: weather and climate extreme events; and to  
19 extreme impacts on human and ecological systems. Although extreme impacts often follow an extreme event, either  
20 extreme can occur without the other. The human and ecological impacts of weather and climate events, whether  
21 extreme or not, are mediated by exposure and vulnerability. To reiterate the statement on this issue in Chapter 1,  
22 Section 1.1.3.2:

23 “[T]he explicit recognition of the political, economic, social, cultural, and psychological elements of risk  
24 explains the use in this report of the phrase "extreme impacts" in addition to "extreme events" as a way to  
25 denote a key aspect of the problem. Depending on the context, physical extremes may or may not bring along  
26 extreme impacts; ... the vast majority of disasters registered annually in particular disaster data bases are not  
27 associated with extreme physical events as defined probabilistically...but many have important and even  
28 extreme impacts for local and regional societies...”  
29

30 The definition is expanded further in Chapter 3, Box 3-1. This makes the point that “Weather and climate events”  
31 are atmospheric phenomena, quite separate from human exposure and vulnerabilities. Weather and climate events  
32 that are not statistically rare “...may also be associated with extreme impacts, in particular if they are linked with the  
33 crossing of important [human or ecosystem] thresholds”. Extreme impacts may result from the accumulated effect of  
34 several non-extreme events “as is the case for compound events or multiple clustered events” (see Section 3.1.4 and  
35 Box 3.4). Extreme events (defined in Section 3.1.1.1) on the other hand, do not “necessarily lead to major impacts  
36 and disasters”, unless the impacted system is vulnerable to that event.  
37

38 Note that in this Chapter the expression “climate event” is used to refer to weather and climate events.  
39

40 For practical reasons, both the concept of “extremes” and “rarity” are not amenable to precise definition. The  
41 varying spatial and temporal scales, dependency on the climate state and context “means that it is not practical nor  
42 useful to define extremes precisely” (see Chapter 3, Sections 3.1.1.1 and Box 3.1), for example attributes of the  
43 event in question vary almost endlessly; duration, intensity, spatial area affected, timing, frequency, onset date,  
44 whether the event is continuous or broken such as a continuous drought, and antecedent conditions. Statistical rarity  
45 is determined with respect to time and place, and subject to major changes. A rare event in the present climate (100-  
46 year flood or 99%-percentile temperature) may become common under future climate conditions, and cease to be  
47 “rare”. The impacts of such changes depend on the affected society’s capacity to absorb or adapt to new  
48 circumstances. From an impacts perspective, one issue is that a percentile approach often conflates relatively  
49 frequent events with the worse case scenarios.  
50

51 There are however additional dimensions including event sequencing or seriality, compounding and interactions  
52 with other trends, for example exposure and vulnerability. This includes events occurring on top of gradual shifts in  
53 climate. Extreme events, and sometimes extreme impacts, may occur as a result of normal climate variability such as  
54 El Niño and tropical cyclones. Also, extreme events (such as floods, droughts, landslides, wildfires) and

1 consequential extreme impacts may occur as the result of the unusual combination of several non-extreme events  
2 (also see Section 3.1.4). Such events may be significantly exacerbated by the underlying trends, potentially resulting  
3 in non-linear effects, e.g. a shift to a drier climate with long periods of unusually high temperatures exacerbating  
4 drought and water shortages and creating enhanced conditions for major wildfires. There is also the issue of the  
5 difference between an absolute extreme such as a day over 40°C and a relative extreme such as the 95% percentile.  
6 Mathematically speaking, extremely high mean annual temperature also belongs to the realm of climate extremes.  
7 Nine out of ten years from the decade of 2000s belong to a set of ten globally warmest years in the history of  
8 instrumental record (cf., IPCC, 2007, updated). Chapters 1 and 3 examine these dimensions.  
9

10 Not all occurrences of extreme values of climatic variables cause damage. Some of them may bring benefits, e.g.  
11 floods can bring human benefits, such as food security, as with the Nile floods in history (Shaw, 2003), and annual  
12 monsoon flooding in many parts of the world. Floods may also bring ecological benefits, for example wildfires in  
13 fire dependent ecosystems (Beckage, Platt and Panko, 2005), and the flooding of Lake Eyre in Australia making the  
14 adjacent desert bloom (Kotwicki, 1986).  
15

#### 16 17 4.2.1.1. *Role in Human Systems* 18

19 Extreme events and impacts have very high profile, are fodder for global media and politics, and people almost  
20 everywhere seem motivated to support those suffering severe impacts as a result of weather and climate events.  
21 Today, considerable effort around the world is devoted to preventing, reducing and managing the impacts of  
22 extreme events (Yohe and Tol, 2002; Bartlett, 2008). However, greater effort likely goes into preventing the impacts  
23 of the more frequent events through adaptation of routine or day-to-day design and management of activities and  
24 structures across most aspects of human systems. This includes the psychological aspects of extremes, including the  
25 roles of religion and spirituality (Reale, 2010), in managing impacts (Hess, Malilay and Parkinson. 2008; De  
26 Cordier, 2009; Fountain, Kindon and Murray, 2004). While most attention goes on the negative impacts, extremes  
27 may also generate economic benefits (eg Handmer and Hillman, 2004), and in many cases some social benefits due  
28 to community solidarity. As well, the effort that goes into building and otherwise preparing for extreme events may  
29 generate much economic activity (Anderson, 1990).  
30

31 In some cases extreme events and extreme impacts have led to major changes in regulations, organisations and  
32 policy (eg. Melbourne 2009 Fires, the Indian Ocean 2004 tsunami (Victorian Royal Bushfire Commission, 2010;  
33 Bernard et al., 2006)), thereby increasing the overall impact of the event sometimes making these impacts global  
34 (Schneider et al., 2010; Birkland, 1997; Kurtz, 2008). In a few cases extreme events may have resulted in dramatic  
35 change or abandonment of affected areas such as the US dust bowl, (Egan 2008); parts of inland Australia,  
36 (Radcliffe 1948), or even possibly contributed to or triggered the collapse of societies (e.g. Diamond 2005). These  
37 examples of abandonment and collapse illustrate the need to consider worse case scenarios as well as more frequent  
38 and familiar events and impacts. Box 4.2 discusses this issue.  
39

40 Historically there are some well known examples of humans undertaking deliberate large scale modification of the  
41 natural environment as a direct result of climate extremes. These include the drainage of the Fens in England  
42 between the middle ages and 1800s (Ravensdale, 1974), the protection of the Dutch coast, and hydraulic engineering  
43 feats in the Middle East and Asia (Wittfogel, 1957). More generally humans responded to extremes by attempting to  
44 manage exposure, for example by avoiding the occupation of areas prone to flooding, and by reducing vulnerability  
45 through various techniques such as raising dwellings above flood level in flood prone areas, or by ensuring food  
46 availability in spite of droughts or frosts. The emphasis today appears to be on managing vulnerability as avoiding  
47 exposure seems increasingly *unlikely* as humanity spreads assets and activities into almost every location (Pedduzi et  
48 al., 2009; Hess, Malilay and Parkinson. 2008; Yohe and Tol, 2002).  
49

50 Poorer rural areas where livelihoods are heavily or solely dependent on farming or fishing often have housing that is  
51 easily damaged by weather events and have limited access to government and commercial services, are particularly  
52 susceptible to severe impacts from extreme events and may have limited capacity to recover (Dodman and  
53 Satterwaite, 2009). The food security of farmers, partly or wholly dependent on subsistence agriculture, is tied  
54 directly to an ability to reduce the impacts of extreme climate events. Response is seen in the pattern of land

1 cropped, in the mix of crops and the preference for low yielding reliable strains over high yielding modern varieties  
2 – in contrast to the worldwide trend in commercial agriculture to monocultures which increase the impact of  
3 droughts (Aggarwal and Singh, 2010). The occurrence or high chance of extremes force a search for livelihood  
4 diversification, dependence on relatives especially remittances from those working elsewhere, and aid funds.  
5 Although micro insurance is increasingly available, uptake has been limited (Levin and Reinhard, 2007). The  
6 livelihoods of the urban poor are not as directly tied to climate, but the security of their housing and well-being may  
7 be (Satterthwaite et al., 2007).

#### 10 4.2.1.1.1. *The role of wealth*

11  
12 Wealthier societies and areas expend much effort to reduce the impact of extremes and to adjust to regular weather  
13 events (Anbarci et al., 2005; Kahn, 2005; Toya and Skidmore, 2007). They do this through design standards for all  
14 infrastructure, buildings etc. Wealthy countries have the capacity to build roads, bridges, large dams and drainage  
15 systems (Cembrano, 2004) to withstand specified flood frequencies (Benedict and McMahon, 2002). Structures are  
16 designed for certain wind speeds (Baker, 2007), and in some cases to be resistant to earthquakes (Erdik, 2001). The  
17 result is a reasonably high level of protection against climate extremes. Certain sectors of any country are very  
18 susceptible to the impacts of extremes including; agriculture (Schmidhuber and Tubiello, 2007), transportation  
19 (Bureau of Transport Economics, 2001) and weather dependent tourism (Amelung, Nicholls and Viner 2007). There  
20 are also groups of people such as the homeless and many of the elderly whose circumstances expose them or render  
21 them vulnerable to certain climate extremes such as heatwaves and cold. Similar comments may also apply to other  
22 groups such as minority ethnic groups, indigenous people and women (Douglas, 2009; MacDonald and Calow,  
23 2009).

24  
25 Wealth and trade are employed to compete globally for scarce resources, such as food, thereby insulating their own  
26 societies from the impact of food and other shortages brought on by local extreme events. However, this may simply  
27 transfer the negative impacts of an extreme event from a wealthy area to a poorer one. More formal approaches to  
28 risk transfer have evolved (Benson and Clay, 2004) (and continue to evolve through micro insurance and by  
29 different approaches to risk analysis for example) in particular through the expanding use of insurance and various  
30 forms of post-impact aid both of which transfer the dollar costs of impacts in space and time. Some aspects of the  
31 approach in wealthier countries are very energy intensive and produce significant greenhouse gas emissions.

32  
33 People in poorer countries are generally far less insulated from climate extremes (Pедуzzi et al., 2009a) as well as  
34 geological extremes (Anbarci et al., 2005). Many people are preoccupied with day to day existence in a context  
35 where even frequent non-extreme events result in severe impacts (see Chapter 1). Richer countries generally suffer  
36 much larger economic losses from disasters when measured in terms of the dollar value of damaged assets and  
37 disrupted cash flow, but when measured in terms of proportion of GDP it is poorer countries, especially small  
38 countries, that suffer by far the most (Mirza, 2003; Benson and Clay, 2004; Kahn, 2005; Toya and Skidmore, 2007;  
39 Ibarraran et al., 2009; Lis and Nickel, 2010):

- 40 • Dominica, hurricanes David and Allen, 1979: 20% of GDP (Ibarraran et al., 2009; Benson and Clay, 2000)
- 41 • Turkey, Kocaeli and Duzce Earthquakes, 1999: 7% of GDP (Erdik, 2001)
- 42 • USA, Hurricane Andrew, 1992: <1% of GDP (Cashell, 2005)

43  
44 The current consensus is that the impacts of extreme events have a relatively linear relationship to a country's  
45 wealth – with small island countries being especially likely to suffer extreme impacts due primarily to the exposure  
46 of most of their assets and people to a single climatic event (FitzGerald, 2008; Hess, Malilay and Parkinson, 2008).  
47 This has been challenged by Kellenberg and Mobarak (2008), who suggest that the relationship instead falls on a  
48 normal distribution. This means that middle, richer than the poorest income countries are likely to suffer the worst  
49 damages.

50  
51 Most of the human impacts of natural disasters are in the developing world (Kahn, 2005; Toya and Skidmore, 2007;  
52 Peduzzi, 2009), as shown by the following figures illustrating the dramatic difference between rich and poor  
53 countries (IFRC, 2009 – from the IFRC database of 3950 disasters from 1999 to 2008):

- 54 • HDC (highly developed countries): 66 deaths per disaster

- 1 • MDC (countries with a medium level of development): 353 deaths per disaster
- 2 • LDC (least developed countries): 705 deaths per disaster.

3  
4 Climate extremes, exposure and vulnerability are characterised by uncertainty and continuous change. Major  
5 changes to any of these key risk components will have significant implications in terms of both the impact of  
6 extreme events and their likely role in human systems (Campbell-Lendrum and Corvalán, 2007). In the short term  
7 the main implications are for the groups that traditionally manage disasters and emergencies (Medonca and Wallace,  
8 2004). They are and likely will be seen as responsible for managing these evolving risks and the increased  
9 complexity in impacts they bring.

10  
11 Poverty and exposure are important factors in generating extreme impacts from climate events. However, a  
12 comparison between the 2007 cyclone Sidr in Bangladesh and cyclone Nagis in Myanmar in 2008, demonstrates  
13 dramatically the importance of other factors in vulnerability to extreme events. Bangladesh experienced relatively  
14 few casualties during Sidr (Paul, 2009), in contrast with Cyclone Nargis in Myanmar, where the death toll exceeded  
15 138,000 fatalities making it the eighth deadliest cyclone recorded worldwide (Fritz *et al.*, 2009). This comparison is  
16 examined in detail in Chapter 9.

#### 17 18 19 *4.2.1.2. Role in Natural Systems*

20  
21 Many ecosystems are dependent on extremes for reproduction (e.g. fire, floods, wind dispersal), disease control  
22 (cold, dry periods), and in many cases general ecosystem health (fires, windstorm allowing new growth to replace  
23 old).

24  
25 How these events interact with other trends and circumstances can be critical to the outcome. Floods that would  
26 normally be essential to river gum reproduction may carry disease and water weeds (Rogers, 2010); fires that are  
27 essential to the reproduction of eucalypt species may occur in very dry conditions when plants are stressed by other  
28 factors such as drought, disease and competition from weed species.

#### 29 30 31 *4.2.2. Complex Interactions between Climate Events, Exposure, and Vulnerability*

32  
33 There exist complex interactions between different climatic and non-climatic hazards, exposure and vulnerability  
34 that have the potential of triggering complex, scale-dependent impacts.

35  
36 Human-induced changes in atmospheric systems are driving changes in many climatic variables and the  
37 corresponding impacts (see Chapter 3). However, the impacts that climatic extremes have on humans and human-  
38 altered environments depends also on several other non-climatic factors (Adger, 2006). This section will explore  
39 these factors focussing on the impacts from extreme precipitation events and flooding. Box 4.1 illustrates some of  
40 these issues for wildfires.

41  
42 Changes in socio-economic status are a key component of exposure; in particular population growth is a major  
43 driver behind changing exposure and vulnerability (Barredo, 2009; Downton, Miller and Pielke, 2005). In many  
44 regions, people have been encroaching into floodplains and other flood-prone areas (Douglas *et al.*, 2008;  
45 McGranahan, 2007). In these areas both population and wealth are accumulating, thereby increasing the flood  
46 damage potential. In many developing countries, human pressure and lack of more suitable and available land often  
47 results in encroachment onto urban floodplains. Urbanization, often driven by rural poverty, drives poor people to  
48 migrate to areas where effective flood protection is not assured (Douglas *et al.*, 2008). Here we see a key tension  
49 between climate change adaptation and development; living in these areas without appropriate adaptation is mal-  
50 adaptive from a climate change perspective, but this may be a risk people are willing to take, or a risk over which  
51 they have limited choice, considering their economic circumstances (Wisner *et al.*, 2004). Furthermore, there is  
52 often a deficient risk perception present, stemming from an unjustified faith in the level of safety provided by flood  
53 protection systems and dikes in particular (Grothmann and Patt, 2005) (e.g. 2005 hurricane Katrina in New Orleans).



1 Economic development and land-use change can also lead to changes in all natural systems. Land-cover changes  
2 induce changes in rainfall-runoff patterns, which can impact on flood intensity and frequency. Deforestation,  
3 urbanization, reduction of wetlands and river regulation (channel straightening, shortening, embankments) change  
4 the percentage of precipitation becoming runoff by reducing the available water storage capacity (Few, 2003;  
5 Douglas *et al.*, 2008). The proportion of impervious areas (e.g. roofs, yards, roads, pavements, parking lots, etc.) and  
6 the value of the runoff coefficient are increased. As a result, water runs off faster to rivers or the sea, and the flow  
7 hydrograph has a higher peak and a shorter time-to-peak (Few, 2003; Cheng and Wang, 2002; Douglas *et al.*, 2008),  
8 reducing the time available for warnings and emergency action. In mountainous areas, developments extending into  
9 hilly slopes are potentially endangered by landslides and debris flows, triggered by intense rains. These changes  
10 have resulted in less extreme rain leading to serious impacts (Crozier, 2010).

11  
12 Similarly, droughts should not be viewed as exclusively physical or natural phenomena. Their socio-economic  
13 impacts may arise from the interaction between natural conditions and human water use, which can be  
14 conceptualized as a combination of supply and demand factors. Human activities (such as over-cultivation,  
15 overgrazing, deforestation) have exacerbated desertification of vulnerable areas in Africa and Asia (Dregne, 1986).  
16 Desertification is seen where soil and bio-productive resources became permanently degraded. An extreme example  
17 of a man-made, pronounced, hydrological drought comes from the Aral Sea basin in Central Asia. Due to excessive  
18 and non-sustainable water withdrawals from the tributaries (Syr Darya and Amu Darya), their inflow into the Aral  
19 Sea has shrunk in volume by some 75% (Micklin, 2007).

20  
21 The climate change impact on sectors, such as water and food, depend not only on changes in the characteristics of  
22 climate-related and sector-relevant variables, but also on such system properties as; pressure (stress) on the system,  
23 system management (also organizational and institutional aspects), and adaptive capacity. Climate change is likely  
24 to challenge existing management practices by contributing additional uncertainty and pressure (Kundzewicz, 2003).

25  
26 Possible interactions of several hazards may also be an increasing threat, where cascading and conjoint effects result  
27 in increasing threats to society (Cruz, 2005). A conjoint hazard may be defined as several climatic hazards, generally  
28 independent of each other, that have the potential to affect the same area, even in one season. Examples of conjoint  
29 hazards are: heat wave, drought and wildfire. A severe drought following a high intensity wildfire, which itself  
30 would most likely occur during a period of heat and water stress, will likely have major negative impacts on post-  
31 fire ecological recovery. In the case of cascading hazards, one hazard may influence other hazards (as heat wave and  
32 drought may create the condition for wildfire) or exacerbate their effects. The influence is also likely to be scale-  
33 dependent (Buzna *et al.*, 2006). For example, temperature rise leads to permafrost thaw, reduced slope stability and  
34 damage to buildings. Another example is that intense precipitation leads to flash flood, land slides and infrastructure  
35 damage – collapse of bridges, roads, and buildings, and interruption of power and water supplies. In the Philippines  
36 two tropical storms developed into two typhoons hitting the south of Luzon Island in 2004. This caused a significant  
37 flood disaster as well as landslides on the island leading to 900 fatalities (Pulhin *et al.*, 2010). It is worthwhile to  
38 note that cascading system failures (e.g. among infrastructure) can happen rapidly and over large areas due to their  
39 interdependent nature.

40  
41 \_\_\_\_\_ START BOX 4-1 HERE \_\_\_\_\_

#### 42 43 **Box 4-1. Evolution of Climate, Exposure, and Vulnerability – The Melbourne Fires, 7 February 2009**

44  
45 The fires in the Australian state of Victoria on February 7, 2009, demonstrate the evolution of risk through the  
46 relationships between the climate and weather related phenomena of a decade long drought, record extreme heat and  
47 record low humidity of 5% (Karoly, 2009; Trewin and Vermont, 2010) interacting with rapidly increasing exposure.  
48 Together the climate phenomena created the conditions for major uncontrollable wildfires (Royal Commission,  
49 2009). The long drought, record heat and a 35 day period with no rain immediately before the fires, turned areas  
50 normally seen as low to medium wildfire risk into very dry high risk locations. A rapidly expanding urban-bush  
51 interface and valuable infrastructure (Berry, 2003; Burnley and Murphy, 2004; Costello, 2007, 2009) provided the  
52 values exposed and the potential for extreme impacts which was realised with the loss of 173 lives and considerable  
53 tangible and intangible damage. There was a mixture of natural and human sources of ignition, showing that human  
54 agency can trigger such fires and extreme impacts.

1  
2 Many people were not physically or psychologically well-prepared for the fires, and this influenced the level of loss  
3 and damage they incurred. Levels of physical and mental health also affected people's vulnerability. Many  
4 individuals with ongoing medical conditions, special needs because of their age or other impairments struggled to  
5 cope with the extreme heat and were reliant on others to respond safely (Handmer *et al.*, 2010). However, capacity  
6 to recover in a general sense is high for humans and human activities through insurance, government support,  
7 private donations, and NGOs and poor for the affected ecosystem (Millenium Ecosystem Assesment, 2005)  
8

9 With climate change, such hot dry conditions are *very likely* to become more frequent.<sup>1</sup> (See for example:  
10 Goldammer and Price, 1998; Kitzberger, Swetnam *et al.*, 2001; Flannigan, *et al.*, 2005; Reinhard, *et al.*, 2005;  
11 Hennessy, *et al.*, 2006; Moriondo, *et al.*, 2006). Alexander and Arblaster (2009) report increases in temperature  
12 extremes and a significant increase in the length of heatwaves in Australia over the period 1957-1999.  
13

14 [INSERT FOOTNOTE 1 HERE: Fire energy is measured in watts per linear meter of fire front. Forest fires during  
15 February 7th reached intensities of 80,000 KWm<sup>-1</sup> (Royal Commission 2009, Fig 1.6), similar to levels seen during  
16 the 1983 Ash Wednesday fires in Victoria (Packham 1992). Unless the fires are very small at less than a hectare,  
17 suppression action by direct attack has an upper limit around the 4kW m<sup>-1</sup> in forest fuels (Luke and McArthur,  
18 1978; Buckley 1994). The use of aerial fire fighting appliances has little impact on this figure (Rawson and Rees  
19 1983, Loane and Gould 1986, Robertson et al 1997, McCarthy 2003, Royal Commission 2009, Fig 1.6). Asset  
20 protection may nevertheless be effective, and was effective for many on February 7 (REF).]  
21

22 \_\_\_\_\_ END BOX 4-1 HERE \_\_\_\_\_  
23  
24

#### 25 4.2.2.1. *Extreme Drought and Forest Fires: A Positive Feedback and Threat to Tropical Forests, Biodiversity, and* 26 *Climate in Asia and Latin America* 27

28 Forest Fires and Wildfires (FFW), including peat fires, are the only hazards which are both exacerbating and are  
29 exacerbated by climate change. After volcanic eruptions, FFW release the second largest quantities of GHG  
30 (Randerson *et al.*, 2002a–d; Page *et al.*, 2002; Cochrane, 2003; Nepstad *et al.*, 2004; Jones and Cox, 2005;  
31 Kasischke *et al.*, 2005; Randerson *et al.*, 2005; Van der Werf, 2008). The frequency and extent of FFW are *likely* to  
32 increase under a warmer climate (IPCC AR4, 2007). Old-growth forests have steadily accumulated vast quantities of  
33 carbon for centuries. They will lose much of this carbon to the atmosphere if they are disturbed (Luysaert *et al.*,  
34 2008).  
35

36 To this positive feedback (red loop in Figure 4-1) is added to the deforestation process. There is *robust evidence* and  
37 a *high degree of agreement* that deforestation decreases precipitation and increases local temperatures in tropical  
38 areas (Nobre, 1991; Olivry *et al.* 1993; Zheng *et al.* 1997; Mahé and Olivry, 1999; Costa and Foley, 2000; Zhang *et*  
39 *al.*, 2001; Kanae *et al.*, 2001; Delire *et al.*, 2001; Durieux et al, 2003; Sen *et al.*, 2004 ; Betts *et al.* 2004 ; Sampaio *et*  
40 *al.*, 2007 ; Ramos da Silva *et al.*, 2008). A dryer and warmer local climate is *very likely* to exacerbate forest fires  
41 (Hofmann *et al.*, 2003; Van der Werf *et al.*, 2008; Nepstad, 2008; Aragão *et al.*, 2008) and induce a second positive  
42 feedback (see orange loop in Figure 4-1).  
43

44 [INSERT FIGURE 4-1 HERE:

45 Figure 4-1: Simplified Diagram of the Positive Feedbacks between Drought, Forest Fires, and Climate Change.]  
46

47 While past studies, during the period from 1982 to 1999, suggest that more biomass would be produced under  
48 warmer temperatures and higher concentrations of CO<sub>2</sub> (Nemani *et al.*, 2003), measurements over the period 2000-  
49 2009 (the warmest decade ever recorded), revealed that the biomass decreased by 0.55 Mt (Zhao and Running,  
50 2010). This may be attributed to large-scale regional droughts and a general drying trend over the Southern  
51 Hemisphere (SH).  
52

53 Severe drought in moist tropical forests provokes large carbon emissions by increasing forest flammability and tree  
54 mortality, and by suppressing tree growth (Ray *et al.*, 2004). A reduced forested area leads to a decrease in

1 photosynthesis and thus a decrease in carbon sink capacities (Zhao and Running, 2010; FAO, 2010). These two  
 2 processes decrease carbon sink capabilities and lead to a third positive feedback (gray loop in Figure 4-1)  
 3 accelerating the processes in the two other loops. Photosynthesis needs not only CO<sub>2</sub> but also H<sub>2</sub>O, and the latter can  
 4 be the limiting factor. Studies of Amazonia confirm the link between water deficit and decrease in biomass  
 5 production (Phillips *et al.*, 2009).

6  
 7 More research on these processes is required since one cannot exclude teleconnection mechanisms where heat,  
 8 moisture, and/or wave energy are transferred to higher latitudes (Zhao *et al.*, 2001; Avissar and Werth, 2005; Hasler  
 9 *et al.*, 2009).

#### 10 11 12 4.2.2.1.1. *Deforestation, fires, drought, and climate change in Asia*

13  
 14 In AR4, it is already stated that “as a consequence of a 17% decline in spring precipitation and a rise in surface  
 15 temperature by 1.5°C during the last 60 years, the frequency and aerial extent of the forest and steppe fires in  
 16 Mongolia have significantly increased over a period of 50 years” (Erdnethuya, 2003 in AR4). The observations in  
 17 the past 20 years show that the increasing intensity and spread of forest fires in North and South-East Asia were  
 18 largely related to rises in temperature and declines in precipitation, in combination with increasing intensity of land  
 19 use (IPCC AR4, Section 10.2.4.4).

20  
 21 In recent studies, Sumatra’s fire emissions show a positive linear trend, approximately doubling between 2000 and  
 22 2006. Furthermore, Van der Werf *et al.* found that a “strong nonlinear relation between drought and fire emissions in  
 23 southern Borneo highlights the sensitivity of the region to climate change” (2008, pg. 20351). They also indicated  
 24 that “increased anthropogenic use of fire with drought may be an important positive feedback between climate and  
 25 the carbon cycle during the 21st century” (Van der Werf *et al.*, 2008, pg. 20353) In a dryer and warmer climate,  
 26 emissions from this region have the potential to increase substantially (Van der Werf *et al.*, 2008) (see Figure 4-2).

27  
 28 [INSERT FIGURE 4-2 HERE:

29 Figure 4-2: Dry Season Length and Fire Detections for the Strong 2000 La Niña and 2002 and 2006 Moderate El  
 30 Niño Years.]

31  
 32 In tropical Asia, although humans are igniting the fires, droughts act as triggers for fire occurrence and large fire  
 33 events were found to occur when precipitations dropped below 609 mm (Field *et al.*, 2009). Drought episodes, forest  
 34 fires, drainage of rice fields and oil palm plantations are drying the peatlands which are then more vulnerable to fires  
 35 (Van der Werf *et al.*, 2008). Peatland fires are an important issue given the difficulties to extinguish them and their  
 36 potential high impact on climate. In Indonesia and Papua New Guinea, the formation of peatland during the  
 37 Holocene period led to the accumulation of potentially 70 Mt of carbon (Immerzi *et al.*, 1992). This is comparable to  
 38 the carbon stored in aboveground vegetation in the Amazon or to nine years of contemporary global fossil fuel  
 39 emissions (Van der Werf *et al.*, 2008). Fires of peatlands in this region can therefore have significant impacts on  
 40 climate.

41  
 42 The southern Borneo region is boxed and the dry season length and number of fire detections for this study region  
 43 are shown in separate insets. The length of the dry season is given as number of months with < 100mm month<sup>-1</sup>  
 44 precipitation (blue-white) and the number of detected fires each year is shown in red-yellow. From Van der Werf *et al.*  
 45 *et al.*, (2008), reproduced with kind permission from the authors and courtesy of the National Academy of Science.

#### 46 47 48 4.2.2.1.2. *Deforestation, fires, drought, and climate change in Central and South America*

49  
 50 “More frequent wildfires are *likely* (an increase in frequency of 60% for a temperature increase of 3°C) in much of  
 51 South America” (AR4, 2007). Contributing to this are dryer conditions which are *likely* to increase. A tendency  
 52 towards ‘savannisation’ of eastern Amazonia (Nobre *et al.*, 2005) and the tropical forests of central and South  
 53 Mexico might occur (Peterson *et al.*, 2002; Arriaga and Gómez, 2004). In North-East Brazil the semi-arid vegetation

1 could be replaced by the vegetation of arid regions (Nobre *et al.*, 2005), as in most of central and Northern Mexico  
2 (Villers and Trejo, 2004).

3  
4 Due to the interrelated nature of forest fires, deforestation, drought and climate change, isolating one of the  
5 processes is less relevant than looking at the new dynamic as a whole.

6  
7 To illustrate the complexity of this dynamic, studies since AR4 confirm that drought is a factor in forest fires, which  
8 is subsequently a trigger for deforestation (Van der Werf *et al.*, 2008; Nepstad, 2008; Aragão *et al.*, 2008; Aragão  
9 and Shimabukuro, 2010). Yet deforestation feeds back into this loop; in the Amazon and Cerrado regions,  
10 deforestation was found to increase the duration of the dry season (Costa and Pires, 2009). In addition drought has  
11 caused Amazon forests to lose significant biomass. Forests that had a 100-millimeter increase in water deficit lost  
12 5.3 Mg of aboveground biomass of carbon per hectare. Amazon forests therefore appear vulnerable to increasing  
13 moisture stress, with the potential of large carbon losses that will exert feedback on climate change (Phillips *et al.*,  
14 2009). Tropical deforestation contributes to climate change which substantially increases fire risk. “Both local and  
15 regional climate changes are likely to contribute to a positive feedback loop in which deforestation results in  
16 increased fire frequency and further reductions in tree cover” (Hoffmann *et al.*, 2003).

17  
18 A drastic deforestation scenario would result in a severe restructuring of land-atmosphere dynamics, partially  
19 explaining why most Atmospheric General Circulation Model (AGCMs) have predicted weakened water fluxes as a  
20 result of extensive deforestation (D’Almeida *et al.*, 2007).

21  
22 In eastern Amazonia the fires are initially lit in forest fragments on the edge of the main forest, but then penetrate  
23 deep into the forest interior (Cochrane and Laurance, 2002). Forest fragmentation, logging and human-ignited fires  
24 pose critical threats to Amazonian forests and may *trigger the transition of these seasonal forests into fire-*  
25 *dominated, low biomass forests* (Malhi *et al.*, 2009).

26  
27 One way to slow down these processes is to induce negative feedbacks into the loops described in Figure 4-1 such as  
28 combining protection and reforestation. An inventory of over 225,000 trees of tropical forest in Panama, (Chave *et*  
29 *al.*, 2003) revealed that small trees were providing much of the biomass increase, however 60% of the biomass is  
30 contained in 1% of the larger diameter trees, while 97.6% of the smaller diameter trees contain less than 15% of the  
31 biomass. In this view, stopping (or slowing down) deforestation, combined with an increase in forestation and other  
32 management measures to improve forest ecosystem productivity, could conserve or sequester significant quantities  
33 of carbon (Dixon *et al.*, 1994).

34  
35 For tropical areas, there is robust evidence and high agreement that deforestation results in decreased precipitation  
36 and increased local temperatures in tropical areas (Nobre, 1991; Olivry *et al.* 1993; Zheng *et al.* 1997; Mahe and  
37 Olivry, 1999; Costa and Foley, 2000; Zhang *et al.*, 2001; Kanae *et al.*, 20001; Delire *et al.*, 2001; Durieux *et al.*,  
38 2003; Sen *et al.*, 2004; Betts *et al.*, 2004; Sampaio *et al.*, 2007; Raamos da Silva *et al.*, 2008).

39  
40 In all regions a drier and wamer climate is very likely to exacerbate forest fire risk (hofmann *et al.*, 2003; Van der  
41 Werf *et al.*, 2008; Nepstad, 2008; Aragao *et al.*, 2008).

#### 42 43 44 **4.2.3. How Do Climate Extremes Impact on Humans and Ecosystems?**

##### 45 46 **4.2.3.1. Concepts and Human Impacts**

47  
48 The impacts of weather and climate extremes are mediated by exposure and vulnerability. This is occurring in a  
49 context where all three components, the social and political elements of exposure and vulnerability, and the physical  
50 element of climate, are highly dynamic and subject to continuous change. For instance now, a less extreme rain  
51 (compared with past records) may lead to very serious flooding impacts, due to increased economic exposure of  
52 people and activities. Reduced volumes of natural water storage on floodplains and wetlands; and increases in  
53 ground imperviousness and in runoff coefficients may cause higher river runoff from a given rainfall (Millenium  
54 Ecosystem Assesment, 2005).

1  
2 Some changes to exposure and vulnerability can be considered as adaptive action. For example, migration away  
3 from high hazard areas (see Chapter 1 for a definition of hazard) reduces exposure and the chance of disaster and is  
4 also an adaptation to increasing risk from climate extremes (Revi, 2008; Adger et al., 2001; Dodman and  
5 Satterthwaite, 2009). Similar remarks could be made for changes to building regulations and livelihoods, among  
6 numerous other examples.  
7

8 “Vulnerability” is defined here to mean susceptibility to harm and ability (or inability) to recover (EMA, 1998; also  
9 see Chapter 1.1.3.2). This section will also refer to “resilience” (developed in an ecological context by Holling,  
10 1978; in a broad social sustainability context by Handmer and Dovers 2005; and by the Resilience Alliance, Adger,  
11 2006), which emphasises the positive components of resistance or adaptability in the face of an event and ability to  
12 cope and recover. The language of “resilience” is often seen as a positive way of expressing a similar concept to that  
13 contained in the term “vulnerability” (Handmer, 2003).  
14  
15

#### 16 4.2.3.2. *Disaster* 17

18 Extreme impacts on humans and ecosystems can be conceptualised as “disasters” or “emergencies”. Charles Fritz  
19 (1961: 655) was probably the first to articulate a definition in the research and policy literature: Disasters are  
20 “...uncontrollable events that are concentrated in time or space, in which a society undergoes severe danger and  
21 incurs such losses ... that the social structure is disrupted and the fulfilment of all or some of the essential  
22 functions... is prevented.”  
23

24 Many contemporary definitions are similar, emphasising either that a disaster results when the impact is such that  
25 local capacity to cope is exceeded or that it severely disrupts normal activities.) There is a significant literature on  
26 the definitional issues which include factors of scale and irreversibility (Quarantelli, 1998). Despite the emphasis in  
27 official definitions, in practice:

28 “Disasters are subject to numerous definitions: to an investment bank they mark an investment opportunity, in  
29 the same genre as investing in shares; they are research opportunities; and the livelihoods of many NGOs and  
30 professionals are built on them. To governments, disasters offer the opportunity to legitimise themselves, to  
31 parade their power by mobilising resources, and to empathise with the victims by offering sympathy and  
32 assistance. Seen like this, disasters are social, political or economic phenomena, not visitations by some force  
33 external to human control or as a result of calculated engineering risk” (Handmer and Dovers 2007).  
34

35 Disasters result from impacts that require both exposure to the climate event and a susceptibility to harm by what is  
36 exposed. Impacts can include major destruction of assets and disruption to economic sectors, loss of human lives,  
37 mental health effects, loss and impacts on plants, animals and ecosystem services (see section 4.6).  
38

39 Exposure can be conceptualised as human and ecosystem tangible and intangible assets and activities (including  
40 services) exposed to the weather or climate event and its energy (see chapter 2.2 for a detailed definition), without  
41 exposure there is no impact. Time and space scale is important. Exposure can be more or less permanent or  
42 transitory; for example, exposure can be increased by people visiting an area or decreased by evacuation of people  
43 and livestock after a warning. As human activity and settlements expand into an exposed area, more people will be  
44 subject to and affected by local climatic events. Population increase is predominantly in poor countries that are  
45 disproportionately affected by climatic hazards (Mendelsohn et al., 2006). In addition, many of the newly occupied  
46 areas were previously left vacant because they are hazardous, especially on the fringes of or in poorly-built infill in  
47 ever-growing urban areas (Satterthwaite et al., 2007). This is best seen in areas prone to flooding (Huq et al., 2007),  
48 landslides (Anderson et al., 2007) and industrial pollution, now occupied by squatters or informal settlements  
49 (Costello et al., 2009). “Informal settlements” are characterised by an absence of involvement by government in  
50 planning, building or infrastructure and lack of secure tenure. They often occupy areas prone to hazards and may be  
51 considered illegal. At the other end of the wealth spectrum, there are those seeking environmental amenity through  
52 coastal canal estates, riverside and bush locations - areas that are often at greater risk from floods and fires  
53 (Handmer and Dover, 2007).  
54

1 Exposure is a necessary but not sufficient condition for impacts. For exposed areas to be subjected to significant  
2 impacts from a climate event there must be vulnerability. Vulnerability is composed of (i) susceptibility of what is  
3 exposed to harm (loss, damage) from the weather event, and (ii) its capacity to recover (Cutter and Emrich, 2006;  
4 see chapter 2.2). For example, those whose livelihoods are weather dependent or whose housing offers limited  
5 protection from weather events will be particularly susceptible to harm (Dodman and Satterwaite, 2009). Others  
6 with limited capacity to recover include those with limited personal resources for recovery or with no access to  
7 external resources such as insurance or aid after an event, and those with limited personal support networks  
8 (Handmer and Dovers, 2007). Knowledge, health and access to services of all kinds including emergency services  
9 and political support help reduce both key aspects of vulnerability.

10  
11 Refugees, internally displaced people and those driven into marginal areas as a result of violence are often the most  
12 dramatic examples of people vulnerable to the negative effects of natural events, cut off from coping mechanisms  
13 and support networks (Handmer and Dovers 2007). Reasons for the increase in vulnerability associated with warfare  
14 include destruction or abandonment of infrastructure (transport, communications, health, education) and shelter,  
15 redirection of resources from social to military purposes, collapse of trade and commerce, abandonment of  
16 subsistence farmlands, lawlessness and disruption of social networks (Levy and Sidel 2000). The proliferation of  
17 weapons and minefields, the absence of basic health and education and collapse of livelihoods can ensure that the  
18 effects of war on vulnerability to disasters are long lasting. These areas are also characterized by an exodus of  
19 trained people and an absence of inward investment.

20  
21 \_\_\_\_\_START BOX 4-2 \_\_\_\_\_

#### 22 23 **Box 4-2. Extreme Impacts and Successful Paths to Adaptation**

24  
25 The Montreal protocol is often provided as a successful example of adaptation. The depletion of the ozone layer  
26 mostly by chlorofluorocarbons (CFCs) and also halocarbons was analysed and attempts to solve it are showing  
27 encouraging results (Eyring *et al.*, 2007), although a reduction in NO<sub>2</sub> emissions would ease both Ozone layer  
28 recovery and climate change (Ravishankara *et al.*, 2009). Without saying that it was straightforward, it was at least  
29 eased by the fact that it was addressing a single issue: the use of CFCs and halocarbons in industry, for which  
30 substitutes were available. In contrast climate change issues are much more complex; they have multiple roots  
31 embedded at the heart of human activities: agriculture, forestry, deforestation, waste, energy supply, transport,  
32 residential heating/cooling and industry (IPCC, 2007). Governments are trying to find solutions to contain the global  
33 warming to +2°C and this will be difficult (Meinshausen *et al.*, 2009). Even if this can be achieved, a 2°C rise will  
34 have severe consequences in terms of extremes (see chapter 3). In some situations (extreme events or extreme  
35 impacts) adaptation may no longer be an option and might lead to extreme impacts such as evacuating the  
36 population of a selected region, abandoning a whole economic sector in a specific location, or the extinction of  
37 species.

38  
39 This is specifically of concern for some societies and cultures living in places that are highly sensitive to climate  
40 change: e.g. populations living in low elevation areas (especially islands) whose territories may be submerged by sea  
41 level rise or by storm surges; populations living in areas where water supply during the dry season is provided by  
42 small glaciers; agriculture in dryland areas facing decreases in precipitation and thus increasing risk of crop failure.  
43 Tourism is a sector of activities which, in some locations, can be deeply affected by extreme events or by extreme  
44 impacts from incremental changes. This is true for tourism depending on beaches facing high erosion from sea level  
45 rise, diving activities where coral bleach may decrease the attractiveness of a specific diving spot; but also low  
46 elevation ski resorts (IPCC, 2007b), where warming temperatures will reduce the length of, or confidence in, the  
47 snowy season or increase the variability in snow precipitation (see 4.4.2.4).

48  
49 In our globalised world, individuals can migrate and economic sectors may change to seek alternative forms of  
50 revenue. However, this is not the case of several ecosystems, e.g. polar and mountainous ecosystems or coral reefs  
51 (Hoegh-Guldberg, 2007, see Section 4.5.9 and 4.3.3.1) where there are temperature thresholds above which survival  
52 of selected species is no longer possible. In these cases the only solution relies on international efforts in mitigating  
53 GHG.

1 Climate change share many aspects with unsolved issues (white area in Figure 4-3). In his popular book "*Collapse*",  
2 Jared Diamond (2005) discusses several examples of past collapses of societies. Some of the examples chosen are  
3 debated by the scientific community. For example, there are disagreements on the cause of collapse of the Maya  
4 civilization, which may not have collapsed from careless deforestation (McNeil et al., 2010), but from a severe  
5 drought (Peterson and Haug, 2005). Other scientists challenge the supposed causes of the collapse of the past  
6 civilization of Easter Island (Rapa Nui). It has been suggested that the collapse followed the removal of all trees for  
7 building statues, but the collapse may have in fact resulted from an invasion of Pacific rats introduced to the island  
8 by Polynesian colonists (Hunt and Lipo, 2007). The main trigger, which seems unchallenged, for the collapse of the  
9 Anasasi society was a prolonged drought (Benson, Petersen and Stein, 2006). Other authors argue that the only  
10 options for avoiding collapse in the cases of the Anasasi, Rapa Nui, Maya and the Sumerians civilizations was  
11 population control (Good and Reuveny, 2009). Although scientists may disagree on the causes, nobody disputes the  
12 fact that these societies have collapsed. In some cases we may never know why and however as interesting it might  
13 be, the reasons cannot necessarily be transposed to our globalised world. All the societies which are described in  
14 Diamond's book were isolated societies (Good and Reuveny, 2009).

15  
16 [INSERT FIGURE 4-3 HERE:

17 Figure 4-3: Path for Successful Problem Solving in Past Societies]

18  
19 Beyond the reasons for past collapses, the paths that lead to successful or collapsing societies are more interesting.  
20 Current political approaches in dealing with climate change share many aspects with past cases where no attempts  
21 were made to solve the problem. Figure 4-3 provides examples of processes which lead to successful societies or to  
22 their collapse. Successful paths required either that the threat was anticipated or that it was perceived, or decisions  
23 were made to take action and the capacities and time available were sufficient to solve the issue.

24  
25 At the other extreme a threat might not be perceived (because it is *imperceptible*, at least with the technology  
26 available) or because the process is so slow that it remains unnoticed until it is too late (*creeping normalcy* or  
27 *landscape amnesia*) (Diamond, 2005). In these cases, decision makers cannot be blamed, they did not know until it  
28 was too late.

29  
30 The threat due to climate change is not part of these two extremes. Clearly it was not anticipated when the industrial  
31 revolution started that GHG may lead to climate change, but the issue is now well known. This corresponds to the  
32 range of situations in the white area (Figure 4-3). Aside from the questions about having enough time, capacities and  
33 funds to solve this challenging threat; the issue is: Are we attempting to solve it? And if not, why not? Several  
34 reasons from past collapses where decisions makers failed to even attempt to solve the issue are listed below and  
35 have similarities with the approaches taken in dealing with climate change:

36  
37 *a) Rational behaviour*

38 Decision makers employ correct reasoning, but perpetrators taking advantage of the situation for personal benefits  
39 over common welfare and know that they will get away either because there is no law or that the law is not enforced.  
40 They feel safe because they are few in number, while the losses are spread over a large number of individuals.

41  
42 *b) Detachment between decisions and consequences*

43 Decision makers are not affected by the consequences. The distance can be spatial (e.g. distance between GHG  
44 emissions and impacts from climate change), or temporal (e.g. future generations).

45  
46 *c) Tragedy of the commons*

47 This describes a situation in which individuals or group act in their short-term interests to deplete a common access  
48 resource, rather than managing the resources for longer term gain. Consider a situation in which many consumers  
49 are harvesting a communally owned resource, e.g. timber: "if I don't cut that tree, someone else will anyway, so it  
50 makes no sense for me to refrain from deforesting". One obvious solution comes from collective action by for  
51 example governments or outside forces to step in and to enforce quotas (Hardin, 1968; Hardin, 1998).

1 *d) Irrational behaviour*

2 Reluctance to abandon policy (or change minds) in the face of strong evidence that we should is often termed:  
3 "persistence in error", "wooden-headedness", "refusal to draw inference from negative signs", "mental standstill, or  
4 stagnation" (Diamond, 2005). In Figure 4-3 the successful paths, when anticipation is no longer an option, are to  
5 perceive new threats (meaning capacity in monitoring), the willingness to take action and attempt to solve the issues  
6 and finally to have the necessary funds and capacities (technologies, know-how) to adapt.

7  
8 \_\_\_\_\_ END BOX 4-2 \_\_\_\_\_  
9

10  
11 *4.2.3.3. Impacts on Ecosystems*

12  
13 Even without considering the role of climate change, ecosystems are under significant threat. We are currently  
14 experiencing the sixth major biodiversity extinction and the first from human origins (Wilson, 1999). The current  
15 rate of species extinction is substantially enhanced by human activities.

16  
17 Climate change will exacerbate the effects of land use and cover change; modify water regimes; and deposit  
18 anthropogenic nutrients (mainly nitrogen) into the environment. Wildlife may have a significant increase of  
19 exposure to toxic and foreign substances, hunting and exploitation.

20  
21 The frequency and magnitude of extreme events is projected to increase (IPCC WGI, 200) and there is a risk that  
22 impacted ecosystems will never recover fully, with far-reaching consequences for human wellbeing (Cardoso, et al.,  
23 2008). Extreme events have consequences which are difficult to predict, given that such situations are often  
24 unprecedented. Extreme events could include, among other possibilities: sudden and transient temperature changes,  
25 rapid retreat of sea- and lake ice, bouts of abnormally intense or lengthy precipitation or extended droughts,  
26 wildfires, the sudden release of water from melting glaciers, and slumping of permafrost. These are examples of  
27 stochastic events that may have disproportionately large effects on ecological dynamics (Post et al., 2009). Other  
28 factors induced by climate change include "false springs" and midsummer frost, which has been directly observed  
29 to cause extinction of species (Easterling et al., 2000).

30  
31 Increased frequency of large-scale disturbances caused by extreme weather events will cause increasing gaps and an  
32 overall contraction of the distribution range for species habitat. This will be particularly evident in areas with a  
33 relatively low level of ability for sustainability (Opdam and Wascher, 2004). On the basis of mid-range climate-  
34 warming scenarios for 2050, 15 to 37% of species in a sample of regions and organism groupings will be  
35 'committed to extinction' (see Thomas, 2004).

36  
37 Extreme events can cause mass mortality of individual species and contribute significantly to determining which  
38 species exist in ecosystems (Parmesan et al., 2000). For example, drought plays an important role in forest  
39 dynamics, a major influence of the mortality of trees in the Argentinean Andes (Villalba and Veblen, 1997); North  
40 American woodlands (Breshears and Allen, 2002; Breshears et al., 2005); and in the Eastern Mediterranean (Korner  
41 et al., 2005b). Drought can also affect wildlife where, in Monteverde preserve (Costa Rica), 40% of the 50 local  
42 amphibian species have become extinct since 1983 (Easterling et al., 2000) due to three severe droughts associated  
43 with El Niño events (Easterling et al., 2000).

44  
45 Loss of habitat due to hurricanes can also lead to greater conflict between animals and humans. Hurricanes can  
46 cause widespread mortality of wild organisms, and their aftermath may cause more declines due to the loss of  
47 resources required for foraging and breeding, creating competition between species (Wiley and Wunderle, 1994).  
48 For example, fruit bats (*Pteropus spp.*) descended recently on American Samoa due to a combination of direct  
49 mortality events and increased hunting pressure (Craig et al., 1994) [see also IPCC, AR4, GWII, 4.2.1]. Increased  
50 storm and other extreme events will also disturb regimes in coastal ecosystems, leading to changes in diversity and  
51 hence ecosystem functioning. Saltmarshes, mangroves and coral reefs are likely to be particularly vulnerable (e.g.  
52 Bertness and Ewanchuk, 2002; Hughes et al., 2003). [see also IPCC, AR4, GWII, 4.2.1]



1 Prior to the 1993 flood in the Upper Mississippi River floodplain, the ecosystem ‘Quercus’ constituted for 14% of  
2 the total number of trees and 28% of the total basal area, where as *Carya* only constituted for 10% of the total  
3 number of trees and 2% of the total basal area. During the post-flood recovery period through 2006, *Quercus* only  
4 made up 4% of the trees and 17% of the basal area. In the same period, *Carya* recovered greatly and made up 11% of  
5 trees and 2% of the basal (Yin et al., 2009).

6  
7 An increase in heat leads to an increase of nitrogen in summer, influencing the effect of heat waves. Field  
8 experiments suggest that heat waves, though transient, could have significant effects on plants, communities, and  
9 ecosystem nitrogen cycling (Wang et al., 2008). Experimental and observational data have shown that crowberry  
10 (*empetrum*) can be damaged heavily by recurrent extreme winter warming, but flourish from an increase in the  
11 levels of nitrogen in the soil during summer warming (Aeryt, 2010)

12  
13 Warming temperatures decreases net ecosystem carbon dioxide exchange (NEE) by inducing drought that  
14 suppresses net primary productivity. This is because the drying of the soil limits the capacity of the trees to absorb  
15 CO<sub>2</sub>. Two years are required for NEE to recover to levels measured before warming. More frequent warm years may  
16 lead to a sustained decrease in carbon dioxide uptake by terrestrial ecosystems (Arnone et al., 2008). As a result,  
17 over the next 50 to 100 years the warming and drying of the Eastern Amazonia is expected to contribute  
18 significantly to climate change. A suggested solution is to breed trees with a deeper root system in order to absorb  
19 more moisture (Fisher, *et al.*, 2007). In both the Canadian Rockies (Luckman, 1994) and European Alps (Bugmann  
20 and Pfister, 2000) extreme cold through a period of cold summers from 1696 to 1701 caused extensive tree  
21 mortality. Heat waves such as the recent 2003 event in Europe (Beniston, 2004; Schär et al., 2004) have both short-  
22 term and long-term (century-scale) implications for vegetation, particularly if accompanied by drought conditions.

23  
24 Animals are affected in many different ways. An extreme flood event affected a desert rodent community (that had  
25 been monitored for 30 years) by: inducing a large mortality rate; eliminating the advantage of previously dominant  
26 species; resetting long-term population and community trends; altering competitive and metapopulation dynamics;  
27 and rapid, wholesale reorganization of the community (Thibault, *et al.*, 2008). Climatic extremes appear to influence  
28 juvenile survival in large mammal species, primarily during winter (Milner et al., 1999). Single extreme temperature  
29 events influence the adult sex of turtle, as this is determined by the maximum temperature experienced by the  
30 growing embryo (Bull, 1980 cited in Easterling et al., 2000). The gradual northward and upward movement of a  
31 given butterfly species’ range since 1904 is likely due to the effects of a few extreme weather events (mainly  
32 extreme warm and/or dry years) on population extinction rates (Parmesan, 2006).

#### 33 34 35 **4.2.4. Detection and Attribution of Climate Change Impacts**

36  
37 Detection and attribution of climate change impacts can be defined and used in a way that parallels the well-  
38 developed applications for the physical climate system (IPCC 2010). Detection is the process of demonstrating that a  
39 system affected by climate has changed in some defined statistical sense, without providing a reason for that change  
40 (IPCC 2007). Attribution is the process of establishing the most likely causes, natural or anthropogenic, for the  
41 detected change with some defined level of confidence.

42  
43 The IPCC Working Group II Fourth Assessment Report found, with very high confidence, that observational  
44 evidence from all continents and most oceans shows that many natural systems are being affected by regional  
45 climate changes, particularly temperature increases (IPCC 2007). This material is reviewed in Chapter 3.

46  
47 IPCC (2010) sets out four methods that have been used in detection and attribution of climate change impacts. There  
48 may be some overlap between the four methods.

49  
50 “Single-step” attributions are assessments that are based on explicitly modelling the response of the variable to  
51 external forcings and drivers (see 3.2.2.3). Few such studies have been carried out and are limited to cases where the  
52 affected system and its interaction with climate are either relatively well modelled (e.g. hydrological cycle; Barnett  
53 et al., 2008) or reasonably described empirically (e.g. area burnt by forest fires; Gillett et al., 2004).

1 “Multi-step” attribution to external forcings “comprise assessments that attribute an observed change in a variable...  
2 to a change in climate and/or environmental conditions”. The climate or environmental change would separately be  
3 attributed to external drivers (see 3.2.2.3; IPCC, 2010). Using this approach, changes within many physical (e.g.  
4 glaciers, river flow, coastal erosion) and biological systems (e.g. polar bear behaviour, spring flowering, bird  
5 migration, grape harvests) have been linked to regional warming and, in turn, the warming attributed primarily to  
6 increasing anthropogenic greenhouse gas concentrations (Rosenzweig et al., 2008; Dauvfresne et al., 2004; Root et  
7 al., 2003; Parmesan and Yohe, 2003; Menzel et al., 2006; Parmesan, 2006; Richardson and Schoeman, 2004;  
8 Edwards and Richardson, 2004; Root et al., 2005; Gillett et al., 2004; Menzel et al., 2006).

9  
10 The third and fourth methods are “Associative patterns attribution” and “Attribution to a change in climatic  
11 conditions” (IPCC, 2010).

12  
13 In the case of weather and climate extremes and rare events, attribution to anthropogenic forcing is complicated by  
14 the fact that any such event might have occurred by chance in an unmodified climate. For example, a change in the  
15 frequency of flooding or heatwaves may not be detectable. A solution to this problem is to look at the risk of the  
16 event occurring, rather than the occurrence of the event itself (Stone and Allen, 2005). For example, human-induced  
17 changes in mean temperature have been shown to increase the likelihood of extreme heat waves (Stott et al., 2004;  
18 see Chapter 3).

19  
20 There is considerable evidence that economic losses from weather-related disasters are increasing, as evident from  
21 Figure 4-4 below (Munich Re, 2010; Swiss Re 2010; UN-ISDR, 2009). The principal challenge is the attribution to  
22 climate change of both the occurrence of and losses from extreme events. Changes in impacts over time need to be  
23 controlled for exposure and vulnerability. Another challenge is ensuring that the damages from climate change  
24 induced extreme events are examined not on current populations and economies, but on how future scenarios will  
25 affect future economies and people. See Section 4.3.2.2 for a discussion of this with respect to cyclones.

26  
27 [INSERT FIGURE 4-4 HERE:

28 Figure 4-4: The Total Economic Losses and Insured Losses from “Great Weather Related Disasters” Worldwide  
29 (1950-2010, adjusted to present values)]

30  
31 Most studies of disaster loss records attribute these increases in losses to increasing exposure of people and assets in  
32 at-risk areas (Miller et al., 2008), and by underlying societal trends - demographic, economic, political, social - that  
33 shape our vulnerability to impacts (Pielke et al, 2005; Bouwer et al., 2007). A few studies claim that an  
34 anthropogenic climate change signal can be found in the records of disaster losses (Mills, 2005; Höppe and Grimm,  
35 2009; Malmstadt et al., 2009; Schmidt et al., 2009). Attempts have been made to normalize loss records for changes  
36 in exposure and wealth. This allows detection of observed changes in weather hazard rather than the disaster impact.  
37 The weight of evidence is that no long-term trends can be found in normalized losses that can be attributed to  
38 climate change. This is reasonably consistent when data are aggregated for different types of weather hazards, and  
39 across larger geographic areas (Choi and Fisher, 2003; Miller et al., 2008; Crompton and McAneney, 2008;  
40 Neumayer and Barthel, 2010).

41  
42 The absence of climate change induced trends holds for tropical and extra-tropical storms and tornados (Boruff et  
43 al., 2003; Pielke et al., 2003; Raghavan and Rajesh, 2003; Pielke et al 2008; Miller et al 2008; Schmidt et al., 2009;  
44 Zhang et al., 2009; Barredo, 2010; see also Section 4.XX). Increases found in hurricane losses in the USA since the  
45 1970s (Schmidt et al., 2009; Miller et al., 2008) are likely related to the natural variability observed since that time  
46 (Miller et al., 2008; Pielke et al., 2008). An exception is the study by Nordhaus (2010), who finds a significant  
47 increase in tropical cyclone losses in the US since 1900, but normalized for national level GDP, rather than exposure  
48 and wealth increases that have been higher locally (Pielke et al., 2008; Schmidt et al., 2009).

49  
50 It also holds for flood losses (Pielke and Downton, 2000; Downton et al., 2005; Barredo, 2009; Hilker et al., 2009);  
51 although some studies did find recent increases in losses, related to changes in intense rainfall events (Fenqing et al.,  
52 2005; Chang et al., 2009). For precipitation related events (intense rainfall, hail and flash floods), the picture is more  
53 diverse. Some studies suggest an increase in damages related to a changing incidence in extreme precipitation  
54 (Changnon, 2001; Changnon, 2009a), although no trends was found for losses from flash floods and landslides in

1 Switzerland (Hilker et al. 2009). Similarly, a study of normalized damages from bushfires in Australia also shows  
2 that increases are due to increasing exposure and wealth (Crompton et al., 2010).  
3

4 There is no conclusive evidence that anthropogenic climate change has led to increasing losses, and increasing  
5 exposure of people and economic assets is *virtually certain to be* the major cause of the long-term changes in  
6 economic disaster losses. This conclusion depends on data availability (most data are available for developed  
7 countries); type of hazards studies (most studies focus on windstorms, where few anthropogenic changes have been  
8 established in the hazard – see Chapter 3); and the processes used to normalize loss data over time. Different studies  
9 use different approaches to normalisation, and most normalization approaches take account of changes in exposure,  
10 but use only partial measures of wealth for vulnerability trends which is questionable. Different approaches are also  
11 used to handle variations in the quality and completeness of longitudinal loss data. These are areas of potential  
12 weakness in the methods and conclusions of longitudinal loss studies and more empirical and conceptual effort is  
13 needed. Nevertheless, the studies mentioned above show similar results, although they have applied different  
14 datasets and methodologies. A second area of uncertainty concerns the impacts of modest weather and climate  
15 events on the livelihoods and people of informal settlements and economic sectors, especially in developing  
16 countries. These impacts have not been systematically documented with the result that they are largely excluded  
17 from longitudinal impact analysis.  
18  
19

### 20 **4.3. Observed Trends in Exposure and Vulnerability**

#### 21 **4.3.1. Climate Change Contributes to and Exacerbates Other Trends**

22 On the global scale, annual material damage from large weather events has increased 8-fold between 1960s and  
23 1990s, while the insured damage has by 17-fold in the same interval, in inflation-adjusted monetary units (Mechler  
24 and Kundzewicz, 2010). Between 1980 and 2004 the total costs of extreme weather events totaled US\$1.4 trillion, of  
25 which only a quarter were insured (Mills, 2005). Material damages caused by natural disasters, mostly weather and  
26 water-related have increased more rapidly than population or economic growth, so that these factors alone may not  
27 fully explain the observed increase in damage. The loss of life has been brought down considerably (Mills, 2005).  
28  
29  
30

31 The drought and flood losses may have grown due to a number of non-climatic factors, such as increasing water  
32 withdrawals effectively exacerbating the impact of droughts, decrease in storage capacity in catchments  
33 (urbanization, deforestation, sealing surfaces, channelization) adversely affecting both flood and drought  
34 preparedness, increase in runoff coefficient, and mushrooming settlements in floodplains around urban areas (see  
35 Section 4.2.2; Field et al., 2009).  
36

37 On average, 2% of agricultural land has been lost to urbanization per decade in the European Union. Van der Ploeg  
38 et al. (2002) attributed the increase in flood hazard in Germany to climate (wetter winters), engineering  
39 modifications, but also to intensification of agriculture, large-scale farm consolidation, subsoil compaction, and  
40 urbanization. The urbanized area in West Germany more than doubled in the second half of 20th century.  
41

42 Since water resources have always been distributed unevenly in space and time, people have tried to reduce this  
43 unevenness and smoothen the spatial-temporal variability. Regulating flow in time can be achieved by storage  
44 reservoirs, capturing water when abundant and releasing it when it is scarce, while regulating flow in space can be  
45 achieved via water transfer. Dams and reservoirs have been built for millennia, but most large dams have been  
46 constructed since the second half of the twentieth century. Now, the total volume of reservoirs exceeds 6000 km<sup>3</sup>,  
47 whereas the total water surface area reaches 500 000 km<sup>2</sup>. In result of dams and reservoirs, the natural runoff regime  
48 of many rivers has been considerably altered (Vörösmarty, 2002).  
49

50 Until a century ago, when the number of people on Earth was relatively low, and the human impact on water  
51 resources (using and drinking freshwater) was generally insignificant, and local rather than global in impact. The  
52 situation dramatically changed as water withdrawals strongly increased due to dynamic population growth (from  
53 1.65 billion in 1900 to 2.56 billion in 1950 and 6 billion in 1999, and 6.9 billion in 2010) and socioeconomic  
54 development driving improvements in living standards, including more water-intense diet and improving hygiene.

1 Freshwater, which is a necessary condition of life and a raw material used in very high volumes in virtually every  
2 human activity, has become increasingly scarce in many places and times. Water use has risen considerably in the  
3 past hundred years, at a pace twice as fast as the relative population growth (Kundzewicz, 2008). There has been a  
4 dramatic expansion of water demands (and water withdrawals) for food production, hygiene and human well-being,  
5 and industry, including by the power sector. This exacerbated the severity of droughts and societal vulnerability to  
6 droughts and water deficits (Aggerwal and Singh, 2010).

7  
8 In much of the developed world, the societies are ageing, hence more sensitive to weather extremes, such as heat  
9 wave (Hennessy et al., 2007).

10  
11 It is now reasonable to assume that climate stationarity does not exist, and the past is not really a key to the future, as  
12 we are entering a situation with no analogy in past records (Milly et al., 2008). This is of vast importance for design  
13 rules. What used to be a 100-year river flow (exceedance probability of 0.01) is projected to be exceeded less  
14 frequently over some areas and more frequently over other areas. In the latter case, if the existing defences are  
15 designed for a 100-year flood, they do not have to be strengthened in order to maintain the same level of protection.  
16 However, in the areas where the level of past 100-year flood is projected to be exceed more frequently (e.g. every 50  
17 years, on average), there will be a need to strengthen and heighten the existing protection system, in order to  
18 maintain the same protection level (Kundzewicz et al., 2010).

#### 19 20 21 **4.3.2. Observed Trends in Exposure (demographic, to all climatic extremes, and to specific types of hazard)**

22  
23 In general, a given population living in a hazard prone area is not hit every year by hazardous events. The average  
24 number of people yearly exposed to hazards is known as "physical exposure" and mathematically can be obtained by  
25 multiplying the number of people living in hazard prone area by the frequency of occurrence of a selected hazard per  
26 year (Peduzzi, et al., 2009b). For example a population of one million, exposed in average every five years, has a  
27 physical exposure of 200,000. This is useful for comparison purpose and for computing insurances primes: For crisis  
28 management, this is not appropriate as the level of assistance should be designed for the one million exposed. In  
29 some locations, the frequency can be higher than 1, for instance the north of Philippines is - on average - hit several  
30 times per year by tropical cyclones. In limited amount of cases the physical exposure can be higher than the  
31 population living in hazard prone area.

32  
33 Population exposure to hazards is fluctuating quantitatively depending on changes in demographics and hazard  
34 frequency (IFRC, 2009). Qualitatively it changes with exposure to types of hazards and to their intensity, for  
35 example categories of cyclone hazard or rier and costal flooding (Check Alcantra-Ayala, 2002).

36  
37 The world population is currently increasing at a rate of about 80 million people per year. The population increased  
38 from 4 billion in 1970 to 5.3 and 6.9 billion in 1990 and 2010 respectively. UN projections for 2030 are up to 7.8  
39 billion (United Nations Population Division, 2009). This change in population size will influence the exposure to  
40 hazards. More than 50% of the population is now urban. Urban populations are usually less vulnerable to hydro-  
41 meteorological hazards (UN, 2009), however, one shouldn't forget that about a third of the urban population lives in  
42 informal settlements, and thus more vulnerable to floods and tropical cyclones (Satterthwaite et al., 2007; also see  
43 section 4.3.4.2).

##### 44 45 46 **4.3.2.1. Issues in Unveiling Trends**

47  
48 International losses databases such as EM-DAT, NatCat and Sigma (maintained by CRED, Munich Re and Swiss Re  
49 respectively) present an increase in reported disasters through time. However, we see an increase of reported  
50 Tropical Cyclone disasters (from 21.7 to 63). One should not too quickly conclude that the number of disasters is  
51 increasing. There are four possibilities that may explain this increase: it could be due to improved access to  
52 information, due to higher population exposure, due to higher vulnerability, or due to higher frequency and/or  
53 intensity of hazards (Dao and Peduzzi, 2004; Peduzzi *et al.* 2009). To better understand this trend, one cannot use  
54 these international loss databases and other solutions need to be explored.

1  
2 [INSERT TABLE 4-1 HERE:

3 Table 4-1: Trend of Reported Disasters from Tropical Cyclones Versus Events as Detected by Satellite for the Last  
4 Four Decades. The percentage of reported disasters increased three-fold.]

5  
6 It is important to note that due to uncertainties in the significance of the role for each of these four variables, a  
7 vulnerability and risk trend analysis cannot be performed based on reported losses from EM-DAT or Munich Re.  
8 Here the analysis is only based on figures derived from modelling; they are independent from information reported  
9 by international database. It uses values modelled based on intersection between tropical cyclones footprints (events  
10 detected by satellite and footprints modelled by UNEP/GRID-Europe) and population distribution models based on  
11 Landscan (2008)<sup>2</sup> but extrapolated to reflect the population distribution from 1970 to 2030.

12  
13 [INSERT FOOTNOTE 2 HERE: LandScan (2008)<sup>TM</sup>, High Resolution global Population Data Set ©UT-Battelle,  
14 LLC, operator of Oak Ridge National Laboratory, <http://www.ornl.gov/sci/landscan/> extrapolated for 1970 to 2010  
15 by UNEP/GRID-Europe.]

#### 16 17 18 4.3.2.2. Human Exposure to Tropical Cyclones by Region

19  
20 There are currently an estimated of 1.15 billion people living in tropical cyclone prone areas. The physical exposure  
21 (yearly average number of people exposed) to tropical cyclones is estimated to 122.7 million (Peduzzi et al. 2011).  
22 Computing trends in physical exposure requires information on both hazard frequency and demographic changes.  
23 Chapter 3 (3.4.4) provides detailed information on projected changes in tropical cyclone hazards, but a brief  
24 summary is provided here. For exposure, only the change in the number of tropical cyclones that intersect with  
25 population is relevant. By modelling past tropical cyclones detected between 1970 and 2009 and intersecting with  
26 populations using Geographical Information Systems (GIS) it is possible to estimate the population exposed to  
27 tropical cyclones in the past 40 years (Peduzzi *et al.*; 2011). The number of time that countries are being hit by  
28 tropical cyclones is relatively steady (between 140 and 155 countries per year on average<sup>3</sup>, see Table 4-2 (Peduzzi  
29 et. al. 2011)).

30  
31 [INSERT FOOTNOTE 3: This is the number of intersection between countries and tropical cyclones. One cyclone  
32 can affect several countries, but also many tropical cyclones are only observed over the oceans.]

33  
34 [INSERT TABLE 4-2 HERE:

35 Table 4-2: Average Physical Exposure to Tropical Cyclones Assuming Constant Hazard (in Million People per  
36 Year)]

37  
38 In most oceans, tropical cyclones are *likely* to decrease in frequency (see Figure 4-3 and Section 3.4.4) except in  
39 North Atlantic where the uncertainties go both ways. At constant hazard, the physical exposure to tropical cyclones  
40 would increase by about 11.6% due to demographic factors only. However, with the projected lower frequencies,  
41 this increase might be limited to 7.9% (between 5.7 and 12.4%). On a less positive note, except in North Indian  
42 Ocean, tropical cyclone winds and related rainfall is *likely* to increase (see chapter 3.4.4 and Figure 4-5), meaning  
43 that population are *likely* to be exposed to higher intensities.

44  
45 [INSERT FIGURE 4-5 HERE:

46 Figure 4-5: Forecast Changes in Tropical Cyclones Hazards Frequencies by 2030 (Source: Peduzzi et al. 2011;  
47 Review of Models Based on Knutson et al. 2010)]

48  
49 The change in physical exposure will be very different from one region to another. This is mostly due to differences  
50 in projected changes of population numbers and hazard activity. Given this last perspective, a further refining of the  
51 IPCC regions was made. For instance Asia was split into two parts: Asia I includes Asian countries influenced by  
52 tropical cyclones from North Indian Ocean, while Asia II includes Asian countries affected by tropical cyclones  
53 from north-west Pacific Ocean. Similarly the region islands were split in three parts: Caribbean, Indian Ocean,

1 Pacific Ocean islands to account for the specificities of tropical cyclones trends in North Atlantic, South Indian  
2 Ocean and South Pacific Ocean.

3  
4 In relative terms, Africa (i.e. mostly Madagascar and Mozambique) will have the main percentage increase in  
5 physical exposure to tropical cyclones and with projected higher intensities (see Figure 4-6), followed by South and  
6 Central America (i.e. central America, South America being only marginally hit by tropical cyclones). In absolute  
7 terms, Asia, with more than 113 million people exposed per year, has 92% of exposure to tropical cyclones. Thus  
8 this region will face the highest increase with more than 6.1 million per year for Pacific Asia and greater than 1.8  
9 million per year for Indian Ocean Asia.

10  
11 [INSERT FIGURE 4-6 HERE:

12 Figure 4-6: Forecast Changes In Tropical Cyclones Hazard Intensities by 2030 (Source: Peduzzi et al. 2011; Review  
13 of Models Based on Knustson et al. 2010)]

14  
15 [INSERT FIGURE 4-7 HERE:

16 Figure 4-7: Forecast Changes in Tropical Cyclones Population Exposure (Source: Peduzzi et al. 2011)]

17  
18 [INSERT TABLE 4-3 HERE:

19 Table 4-3: Average Physical Exposure to Tropical Cyclones as Observed and as Projected Assuming Change in  
20 Frequency (Median of all Models, in Million People per Year and Percentage Changes).]

21  
22 Worldwide, the exposure by category is 77.7, 17.0, 5.0, 0.4% for tropical cyclones category 1, 2, 3 and 4  
23 respectively. Also, several tropical cyclones can have a maximum of Category 5, population exposed to such  
24 category remains - hopefully - marginal. The average (1970 - 2009) percentage of population exposed for the  
25 different tropical cyclones Saffir-Simpson categories are provided in Peduzzi et al. (2011).

26  
27 [INSERT TABLE 4-4 HERE:

28 Table 4-4: Average Percentage Exposure to Different Category of Tropical Cyclones by Regions (1970 - 2009)  
29 Sources: Peduzzi et al. 2011.]

30  
31 Despite uncertainties in trends of tropical cyclones frequency, *it is virtually certain* that population exposure to  
32 tropical cyclone will increase in the next 20 years, as a result of demographic pressure and despite likely expected  
33 reduction in tropical cyclones frequency. How the forecast likely increase in intensity will affect risk is another  
34 question, where more researches are needed.

#### 35 36 37 4.3.2.2.1. Exposure for floods by region

38  
39 About 800 million people are currently living in flood prone areas and an average of 70.7 million of those is exposed  
40 yearly to floods (Peduzzi et al., 2011). Given the lack of complete datasets on past flood events and the lack of clear  
41 projections on future precipitation trends, it is difficult to estimate the trend in flood hazards. However, the exposure  
42 trend is clear with a steady growth and expected 21.4% increase between 2010 and 2030 (Table 4-5). Due to model  
43 constraints areas north of 60°N and south of 60°S, as well as catchments smaller than 1000 km<sup>2</sup> (typically small  
44 islands) are not modelled. The figures provided below correspond to river flooding.

45  
46 [INSERT TABLE 4-5 HERE:

47 Table 4-5 Trend in Floods Physical Exposure (In Thousand People Per Year) (Peduzzi et al. 2011)]

#### 48 49 50 4.3.2.2.2. Exposure for landslides triggered by precipitations by region

51  
52 In 2010, about 53.7 million people lived in areas prone to landslides triggered by precipitations and it is estimated  
53 that more than one hundred thousand people are being hit by landslides every year (Peduzzi et al. 2011).

1 Given the lack of a complete dataset on past landslide events and the lack of clear projections on future precipitation  
 2 trends, it is difficult to estimate the trend in precipitation-triggered landslide hazards. However, the exposure trend is  
 3 clear with a steady growth and expected 23.8% increase between 2030 and 2010 (Table 4-6). Due to model  
 4 constraints areas north of 60°N and south of 60°S are not included. It should be noted that change in climate  
 5 conditions is not the only trigger for change in precipitation-triggered landslides. Landcover changes, especially  
 6 deforestation, is also a major cause for higher landslides susceptibility (Peduzzi, 2009)

7  
 8 [INSERT TABLE 4-6 HERE:

9 Table 4-6: Trend in Floods Triggered by Precipitation Physical Exposure (In Thousand People Per Year) (Disaster  
 10 Risk Index, *Nat. Hazards Earth Syst. Sci.*, 9, 1149–1159.)]

### 13 **4.3.3. Observed and Projected Trends in Hazards and impacts, Changing Frequency of Different Intensities, 14 and New Locations Affected**

#### 16 *4.3.3.1. Coastal Systems: Natural and Human*

17  
 18 Coastal systems are among the world's most vulnerable areas to climate extremes. Superimposed upon the intrinsic  
 19 long-term trends of coastal systems (due e.g. to tectonic movements (Vött, 2007) or sediment auto-compaction  
 20 (Massey et al., 2006)), are impacts by both marine (e.g. sea level rise, storm surges and waves) and terrestrial (e.g.  
 21 precipitation/run-off) extremes of potentially increasing frequency and intensity (e.g. Lozano et al., 2004; Wang et  
 22 al., 2008; Allan and Soden, 2008; Steffen, 2009; Fiore et al., 2009; Ruggiero et al., 2010), the effects of which on  
 23 the system morpho-sedimentary dynamics are controlled by inherent environmental change thresholds (Nicholls et  
 24 al., 2007). Moreover, as the size/permanence of coastal communities and infrastructure has increased very  
 25 significantly over recent decades, the ability of coastal systems to respond has decreased; thus the exposure of  
 26 coastal communities/assets has increased (Lenton et al., 2009). Although predictions of exposure to climatic  
 27 extremes are required at decadal to century scales (e.g. Viles and Goudie, 2003), most of the available data/models  
 28 are based on studies at either millennium (e.g. Masters, 2006; Nott et al., 2009) or annual (e.g. Quartel et al., 2008;  
 29 Greenwood and Orford, 2008) or even storm event (e.g. Callaghan et al., 2008) scales. There have been already  
 30 several attempts to develop global coastal hazards data bases (Gornitz, 1991; Vafeidis et al., 2008), as well as  
 31 methodologies/tools to assess the vulnerability of coastal systems to sea level rise and extreme events (e.g. Bernier  
 32 et al., 2007; Purvis et al. 2008; Hinkel and Klein, 2009) and this work is still ongoing (Nicholls et al., 2007). Coasts  
 33 comprise several sedimentary environments and ecosystems such as beaches, seacliffs and deltas, back-barrier  
 34 environments (estuaries and lagoons), saltmarshes and mangroves, seagrass meadows and coral reefs. Each of these  
 35 environments is characterised by different vulnerability to climate change-driven hazards (Table 4-7).

36  
 37 [INSERT TABLE 4-7 HERE:

38 Table 4-7: Coastal systems: Summary table of observed and predicted exposure trends]

#### 41 *4.3.3.1.1. Coastal wetlands, coral reefs, and seagrasses*

42  
 43 Coastal wetlands (saltmarshes, mangroves) are controlled by sea-level changes, with modeling studies (e.g.  
 44 McFadden et al., 2007) indicating large global losses by 2080, depending on sea level rise rates. Wetland losses are  
 45 *likely* to be most severe in micro-tidal and/or sediment starved coasts, as wetlands in meso- and macro-tidal settings  
 46 and/or in areas with increased sedimentary inputs are considered to be better equipped to deal with changes in sea  
 47 level (Cahoon et al., 2006). At the same time, as wetlands have the potential to attenuate storm surges and waves  
 48 (Neumeier and Amos, 2006; Wamsley et al., 2010), their loss will probably result in further increase in storm surge  
 49 and wave exposure (Loder et al., 2009).

50  
 51 Saltmarshes accumulate both organic and inorganic sediments and are graded landward from salt, to brackish, to  
 52 freshwater assemblages. Climate change will force changes in their hydrodynamic and sediment dynamic regime,  
 53 their biogeochemical conditions and their exposure to extreme events, with the effects considered to be more  
 54 pronounced in brackish and freshwater marshes (Nicholls et al., 2007). While feedbacks between vegetation growth

1 and sediment deposition tend to promote morphological equilibrium under constant sea level rise rates,  
2 observations/modeling suggest that changes in the rise rates may induce marshland losses; carbon accumulation has  
3 been found to be non-linearly related to both inorganic sediment supply and sea level rise rates, increasing with the  
4 rise rate until a critical threshold that limits the process and forces marsh drowning (Mudd et al., 2009). Simulation  
5 of the saltmarsh response to sea level rise (100 year predictions) suggests that under low rise scenarios there may be  
6 marsh progradation, whereas under rapid rise rates vegetation zones are likely to transgress landward (Kirwan and  
7 Murray, 2008). With regard to the effects of storm surges and waves, accretion rates in micro-tidal, wave dominated  
8 marshes have been found to respond mostly to short-term sea level changes, whereas those in macro-tidal, wave  
9 protected coasts mostly to long-term changes (Kolker et al., 2009). Saltmarsh elevation and resilience has been  
10 found to be controlled by both groundwater (Cahoon et al., 2010) and surface water fluctuations; storm surges have  
11 been associated with substantial reductions of supratidal saltmarshes in back-barrier environments (Riddin and  
12 Adams, 2010). Finally, storm surge and wave energy propagation onto saltmarsh areas has been found to be  
13 sensitive to sea level, being greater in areas with increased relative sea level rise (McKee Smith et al., 2010).

14  
15 Mangrove forests, found in sub-tropical and tropical coasts, may show both positive and negative responses to  
16 climatic changes and extremes, depending on site-specific factors (Saenger, 2002). Sediment surface elevations in  
17 mangrove forests are subject to biological controls (McKee, 2010), with precipitation/run off being also a significant  
18 factor (Eslami-Andargoli et al., 2009). Relative sea level rise may pose the greatest threat to mangroves, as most  
19 mangal sediment surface elevations do not appear able to keep pace (Gilman et al., 2008). Although mangrove  
20 accretion rates can be much higher than the average global sea level rise rates (commonly up to 5 mm/yr, see  
21 Saenger, 2002), mangal coasts are generally characterized by relatively rapid relative sea level rise (Cahoon et al.,  
22 2003); this may result in either a mangrove transgression onto adjacent wetlands, as is the case in the US Gulf coast  
23 (Doyle et al., 2009) and southeast Australia (Rogers et al., 2005), or drowning and/or die-offs (Williams et al., 2003;  
24 Van Soelen et al., 2010). Storm surges and waves due to tropical cyclones have been found to have negative effects  
25 on both the sedimentary structure (Cahoon et al., 2003) and the spatial distribution of mangroves (Paling et al.,  
26 2008), with potential negative feedbacks on the resilience of mangal coasts (also see Chapter 8).

27  
28 Coral reefs are subject to a variety of impacts in relation to climate change (James and Crabbe, 2008). Although  
29 coral reefs have shown some resilience to climatic (and anthropogenic) changes (McClanahan et al., 2009), they  
30 could be subjected to increased strain, or even collapse above some critical thresholds (Veron et al., 2009),  
31 introducing concerns for the fate of small islands on the rim of atolls (Dickinson, 2004; Nicholls et al., 2007). Sea  
32 level rise itself appears to present a minor threat to coral reefs, as they have been found to be able to adapt  
33 effectively if not subjected to other environmental stresses (Hallock, 2005). In comparison, high sea water  
34 temperatures promote bleaching and pose an extreme threat to the persistence of coral populations in the projected  
35 warming regime of the next few decades. Mass bleaching events have been found to be associated with extreme  
36 warm temperature anomalies (Miller et al., 2010), with bleaching depending more on the variability of sea surface  
37 temperature (SST) than its background values (e.g. Atweberhan and McClanahan, 2010; Williams et al., 2010). It  
38 must be noted that although coral communities might be able to acclimatize in environments exhibiting significant  
39 temperature fluctuations (e.g. in the Persian-Arabian Gulf), they can still be threatened by habitat shortages brought  
40 about by climate-driven geochemical dissolution of the lithified seabed on which they rely for colonization (Purkis  
41 et al., 2010). Other extreme events, such as tropical cyclones and high energy storms, can also inhibit reef growth  
42 (Montagionni, 2005) by e.g. (a) enhancing sediment mobility and water turbidity (e.g. Lambrechts et al., 2010;  
43 Ouillon et al., 2010; Williams et al., 2010), (b) decreasing coral recruitment (James et al., 2008) and (c) increasing  
44 water flows past bleaching corals and, thus, affecting heat shock protein synthesis (Carpenter et al., 2010). Storms  
45 can also result in mechanical reef degradation (Yu et al., 2004; Lugo-Fernandez and Gravois, 2010) with the reef  
46 debris deposited as reef talus at their lee (Harris and Heap, 2009), or as ridges to adjacent beaches (Nott and Hayne,  
47 2001; Woodroffe, 2008). Other climatically-driven changes to the hydrodynamic regime of coral reef platform  
48 islands, such as changes in the direction of storm wave approach, may also result in significant morphological  
49 changes of the coral reef-beach systems (Kench et al., 2009).

50  
51 Seagrasses appear to be in decline in many coastal areas, due mainly to human-induced interferences (e.g. seagrass  
52 bed removal for tourism purposes, see Daby, 2003), with the situation expected to deteriorate further due to climate-  
53 forced changes in the salinity and temperature of coastal waters, sea levels, atmospheric and dissolved CO<sub>2</sub>  
54 concentrations and ultraviolet irradiance (Short and Neckles, 1999). Changes in coastal sediment dynamics can also



1 affect seagrasses; studies on the effects of sediment deposition/erosion on shoot mortality, plant size, growth,  
2 biomass and density have shown species- and size-dependent sediment burial or erosion thresholds (Cabaço et al.,  
3 2008). Extreme precipitation and/or heat events (floods, droughts and heat waves) have also been observed to affect  
4 estuarine seagrass ecology (Cardoso et al., 2008). Seagrass meadows can provide protection to adjacent coasts by  
5 attenuating storm waves (RiVAMP, 2010). At the same time, storms/storm waves can have significant impacts on  
6 seagrass meadows by (a) burying them under large volumes of sediments (Knudby et al., 2010), (b) promoting seed  
7 mortality (e.g. Ballestri et al., 2006) and (c) modifying seagrass community structure, with solid, deeply anchored  
8 root–rhizomes or rhizoid systems combined with a flexible or modular above-ground structure being able to better  
9 resist storm-driven perturbations (Cruz-Palacios and van Tussenbroek, 2005).

#### 10 11 12 4.3.3.1.2. *Human systems* 13

14 Although coastal inundation due to sea level rise (and/or relative sea level rise) will certainly be a significant  
15 problem for coastal landforms and populations, activities, infrastructure and assets in Low Elevation Coastal Zones  
16 (LEZs, i.e. coastal areas with an elevation less than 10 m above present MSL, see McGranahan et al., 2007), the  
17 most devastating impacts are thought to be associated with extreme sea levels due to tropical and extra-tropical  
18 storms (e.g. Ebersole et al., 2010; Mosumder et al., 2010) that will be superimposed upon the long-term sea level  
19 rise (e.g. Frazier et al., 2010). The impacts are considered to be more severe for large urban centers built on deltas  
20 and Small Island States-SIS (Wardekker et al., 2010; Love et al., 2010), particularly for those at the low end of the  
21 international income distribution (Dasgupta et al., 2009). The extent/distribution of exposure in each particular  
22 coastal area/urban center will be controlled by the intrinsic natural characteristics of the system (e.g. the  
23 occurrence/distribution of protecting barrier islands and/or coastal wetlands that may attenuate surges, see e.g. Irish  
24 et al., 2010 and Wamsley et al., 2010) or human-induced changes such as land reclamation (Guo et al., 2009).

25  
26 With regard to the economic impacts of extreme events on coastal areas, recent studies (Nicholls et al., 2008;  
27 Hanson et al. in press) have assessed the asset exposure of port cities with more than one million inhabitants (in  
28 2005). They demonstrated that large populations are already exposed to coastal inundation (~40 million people or  
29 0.6% of the global population) due to a 1-in-100-year extreme event, while the total value of exposed assets was  
30 estimated as 3,000 billion US dollars (~ 5% of the global GDP in 2005). By the 2070s, population exposure was  
31 estimated to triple, whereas asset exposure could grow tenfold to ~ 35,000 billion US dollars; these estimations,  
32 however, do not account for the potential construction of effective coastal protection schemes (see also Dawson et  
33 al., 2005). They also found that 2/3 of the projected exposure will be due to socio-economic reasons (e.g. population  
34 changes/urbanization and economic development), with the exposure growth rate being more rapid in developing  
35 countries, particularly their urban centers which are the most common destinations of environmental migration  
36 inflows (e.g. Adamo, 2010). Lenton et al. (2009), who included tipping point scenarios, such as the effects of the  
37 partial collapse of the Greenland and West Antarctic Ice Sheets (Rahmstorf, 2007; Richardson et al., 2009),  
38 estimated a significant increase, by 2050, in the asset exposure in the same 136 port cities to ~28,200 billion US  
39 dollars. They also estimated a very substantial increase in the exposure of coastal population to inundation (see  
40 Table 4-8).

41  
42 [INSERT TABLE 4-8 HERE:

43 Table 4-8: Current and future population exposure in low elevation coastal zones.]  
44

45 Although the overall growth of economic globalization may be also affected by climatic extreme events (e.g. Oh and  
46 Reuveny, 2010; Fink et al., 2010), the most immediate effects are *likely* to be associated with the coastal  
47 infrastructure/services and, particularly, with ports, the key-nodes of international supply-chains. This may have far-  
48 reaching implications for international trade, as more than 80% of global trade in goods (by volume) is carried by  
49 sea (UNCTAD 2009a). Transportation will be affected by extremes in temperature, precipitation/river floods and  
50 storm surges. All coastal modes of transportation are considered vulnerable, but exposure and impacts will vary e.g.  
51 by region, mode of transportation, location/elevation and condition of transport infrastructure (National Research  
52 Council, 2008; UNCTAD, 2009b). Coastal inundation due to storm surges and river floods can affect terminals,  
53 intermodal facilities, freight villages, storage areas and cargo and disrupt intermodal supply chains and transport  
54 connectivity (see Figure 4-8). These effects would be of particular concern to Small Island States (SIS), whose

1 transportation facilities are mostly located in the low elevation coastal zones LECZ (UNCTAD, 2009b; for further  
 2 examples, see Love et. al. 2010). One of the most detailed studies on the potential impacts of climate change on  
 3 transportation systems was carried out in the US Gulf Coast (CCSP, 2008). According to this study, a sea level rise  
 4 of ~1.2 m could inundate more than 2,400 miles of roadway, over 70% of port facilities, 9% of the operational rail  
 5 miles and 3 airports, while more than 50% of interstate and arterial roads, 98% of port facilities, 33% of rail miles  
 6 and 22 airports in the US Gulf coast would be affected by a ~5.4 m storm surge (CCSP, 2008). Experts at an  
 7 UNCTAD Expert meeting (UNCTAD 2009b) highlighted the need for an increased focus on responding to the  
 8 climate change challenges, and the development of appropriate adaptation responses (UNCTAD 2009b). It should  
 9 be noted that the International Association of Ports and Harbours (IAPH), representing some 230 ports in about 90  
 10 countries which handle over 60% of the world’s sea-borne trade, has tasked its Port Planning and Development  
 11 Committee to undertake the necessary studies (see IAPH, 2009; Becker et al., 2010).

12  
 13 [INSERT FIGURE 4-8 HERE:

14 Figure 4-8: Freight Handling Port Facilities at Risk from Storm Surge of 5.5 and 7.0m in The US Gulf Coast  
 15 (Source: CCSP, 2008)]  
 16

17 Housing in coastal areas will also be severely affected by climate change-driven extremes (e.g. Maunsell, 2008).  
 18 Lloyd’s (2008) has considered flood hazard for coastal properties at a number of locations around the world due to  
 19 sea level rise and storm surges and, at one location, changes in land use. The case-studies suggest that unless  
 20 adaptation measures are taken, a 0.3 m sea level change could significantly increase the average loss exposure of  
 21 high-risk coastal properties, even in coastal areas with well-maintained flood-defenses. Neumayer and Barthel  
 22 (2010) have not, however, discerned any significant upward trends in normalized disaster damages over the period  
 23 1980–2009 globally, regionally, for specific disasters or for specific disasters in specific regions  
 24

25 Tourism has, over recent years, increasingly become synonymous with beaches (Phillips and Jones, 2006), a coastal  
 26 landform that is under an increasing threat of erosion. Island/archipelago destinations, one of the main focuses of the  
 27 “sun and beach” mass tourism, are going to be particularly exposed to erosion (Bardolet and Sheldon, 2008;  
 28 Schlepner, 2008). In addition to beach erosion, inundation of tourist infrastructure in coastal areas due to climate  
 29 extremes (e.g. Snoussi et al., 2008; Dwarakish et al., 2009), salinization of the groundwater resources due to relative  
 30 sea level rise, land reclamation and overexploitation of coastal aquifers (e.g. Alpa, 2009) as well as changing  
 31 weather patterns (Hein et al., 2009) will pose additional stresses to the industry (e.g. Rigall-Torrent et al., 2010;  
 32 Pacheco and Lewis-Cameron, 2010). There are also expected to be shocks relating to tourist flow changes due to  
 33 adjustments in consumption preferences, as well as regional income reallocation; these shocks are predicted to affect  
 34 regional economies and lead to unevenly-distributed economic losses (Berrittella et al., 2006). Nevertheless, the  
 35 potential impacts on the tourist industry will depend also on tourists’ perceptions of the coastal destinations (e.g. of  
 36 destinations experiencing beach erosion) which, however, can not be easily predicted (Buzinde et al., 2009) (also see  
 37 Section 4.4.5.3).  
 38  
 39

#### 40 **4.3.4. Observed and Projected Trends in Human Systems and Sector Vulnerability to all Climatic Extremes** 41 **and to Specific Types of Hazards**

##### 42 **4.3.4.1. Global and Regional Trends in Vulnerability Factors**

43  
 44 Section 4.3.2 shows that human exposure to climatic hazards is increasing. This is to some extent inevitable as  
 45 population increases, as humanity expands activities in all regions and as resources are increasingly won from more  
 46 difficult and expensive sources. However, the severity of the resulting impacts of climatic extremes depends on the  
 47 vulnerability of what is exposed: on its susceptibility to harm and capacity for recovery. Much data on impacts  
 48 conflate the effects of exposure with vulnerability as defined in this chapter.  
 49  
 50

51 One indicator of trends in vulnerability may be provided by the impacts of climatic hazards, with appropriate  
 52 controls for changes in exposure, data quality, and the value of the assets exposed. However, as discussed in Chapter  
 53 2, care is needed in ascribing impact trends to vulnerability. Another approach is to examine trends in factors that  
 54 increase or decrease vulnerability.

1  
2 Higher levels of vulnerability may evolve from the sequence of natural and technological events and the interactions  
3 between them. For example, the initial disaster agent may be an invisible contaminant which affects the mental and  
4 physical health of those involved, with impacts persisting for years. Such impacts may undermine local resilience  
5 for subsequent events (see section 4.2.2).  
6

7 Overall vulnerability appears to be fairly stable (UNISDR, 2009b), although this general statement conceals a  
8 diverse range of trends including areas and groups where the vulnerability is decreasing. Some of these are  
9 discussed below. Others include lack of good governance (Hardor and Paniella, 2009), and the absence of ready  
10 access to education and health services (Haines et al., 2006).  
11

#### 12 *Dispossession by war or civil strife*

13 Refugees and those driven into areas where livelihoods are marginal are susceptible to impact from extreme events  
14 are often the most vulnerable to the impacts of extreme events because they are cut off completely from coping  
15 mechanisms and support networks. As a result of war or civil strife nearly half the world's countries (sixty  
16 countries) are directly linked to uprooted populations with people being forced to flee (Handmer and Dovers, 2007).  
17 Where warfare is involved, these areas are also characterized by an exodus of trained people and an absence of  
18 inward investment. Reasons for the increase in vulnerability associated with warfare include destruction or  
19 abandonment of infrastructure (transport, communications, health, education) and shelter, redirection of resources  
20 from social to military purposes, collapse of trade and commerce, abandonment of subsistence farmlands,  
21 lawlessness and disruption of social networks (Levy and Sidel 2000). Those who are displaced for years also suffer  
22 nutritional shortfalls as well as physical and mental incapacities increasing vulnerability to extreme events (Toole,  
23 1995).  
24

#### 25 *Poverty*

26 The impacts of disaster are greatest on poorest households. Prevention's *Global risk assessment* (2009) found that  
27 "Poor households are usually... less resilient to loss and are rarely covered by insurance or social protection. Disaster  
28 impacts lead to income and consumption shortfalls and negatively affect welfare and human development, often  
29 over the long term." Disaster impacts produce other poverty outcomes as well. Evidence from the 1984 drought and  
30 famine in Ethiopia shows that school enrolment tend to fall and children may grow at a slower rate due to nutritional  
31 shortfalls following disasters (UNISDR, 2009). If people do not have enough to eat in normal times, they will be  
32 particularly badly impacted by extreme climatic events.  
33

34 At the global level, it appears that poverty is decreasing. An important exception is the poorest billion people for  
35 whom income increased only slightly over the last decade. For the poorest ten percent the situation is much worse  
36 with a decrease in income (Nielsen, 2009). The number of those going hungry is increasing at about four million a  
37 year (FAO – SOFI, 2009) with a total of about 820 million. Over the last decade the proportion of people suffering  
38 from hunger in developing countries has gone down very slightly from 20 to 17 percent (FAO – SOFI, 2009).  
39

#### 40 *Urban poor and informal settlements (from Global assessment report on disaster risk reduction, 2009)*

41 Approximately one billion people worldwide live in informal settlements and the numbers are growing by  
42 approximately 25 million per year. Poor people in informal urban settlements typically have higher levels of  
43 everyday risk, even without considering the impact of natural hazards. For example, in Nairobi under-five mortality  
44 rates were 61.5 per 1,000 live births for the city as a whole in 2002, but approximately 150 per 1,000 in informal  
45 settlements. Evidence from cities in Africa, Asia and Latin America, shows that the expansion of informal  
46 settlements is closely associated with the rapid increase in weather-related disaster reports in urban areas. The  
47 comments on poverty and vulnerability above apply here as well (see section 4.4.5).  
48

#### 49 *Small island countries (from Global assessment report on disaster risk reduction, 2009)*

50 "Countries with small and vulnerable economies, such as many small-island developing states (SIDS) and land-  
51 locked developing countries (LLDCs), have the highest economic vulnerability to natural hazards. Many also have  
52 extreme trade limitations." (UNISDR, 2009; pg. 3)  
53  
54

1 *Emergency support (from Global assessment report on disaster risk reduction, 2009)*

2 “In general terms, countries are making significant progress in strengthening capacities, institutional systems and  
3 legislation to address deficiencies in disaster preparedness and response. Good progress is also being made in other  
4 areas, such as the enhancement of early warning. In contrast, countries report little progress in mainstreaming  
5 disaster risk reduction considerations into social, economic, urban, environmental and infrastructural planning and  
6 development.” (UNISRD, 2009; pg. 4)

7  
8 *Ecosystems*

9 The Millennium Assessment (2005) found that the supply of approximately 60% of the ecosystem services  
10 evaluated (15 of 24) was in decline. However, consumption of almost all ecosystem services is increasing. Demand  
11 and service flow is increasing as the stock is decreasing. People have modified ecosystems to increase the supply of  
12 provisioning services; these same modifications have led to the decline of regulating ecosystem services, including  
13 those responsible for mitigating hazards, such as fires and floods (Millennium Ecosystem Assessment, 2005).  
14 Recent experimental evidence from central European grassland suggests that annually recurrent 100-year and 1000-  
15 year extreme drought events might have no effect on primary productivity there, whereas other services such as gas  
16 exchange, nutrient cycling and water regulation are clearly stimulated (Kreyling et al., 2008)

17  
18  
19 *4.3.4.2. Examples of Observed and Projected Trends in Human and Sector Vulnerability*

20  
21 *Water sector*

22 The “water sector” includes:

- 23 • Provision of water supplies to customers (municipal, industrial, agricultural)
- 24 • Management of the flood hazard (coastal, river and pluvial)
- 25 • Management of water quality (for environmental and public health reasons)
- 26 • Management of freshwater ecosystems.

27  
28 Changes in vulnerability to climate extremes in the water sector are driven by both changes in the volume, timing  
29 and quality of water and changes in the property, lives and systems using the water resource or exposed to water-  
30 related hazard (Aggarwal and Singh, 2010; see Section 4.4.2). With a constant resource or physical hazard, there are  
31 two opposing drivers of change in vulnerability. On the one hand, vulnerability increases as more demands are  
32 placed on the resource (due to increased water consumption, for example, or increased discharge of polluting  
33 effluent) or more property, assets and lives are exposed to flooding. On the other hand, vulnerability is reduced as  
34 measures are implemented to improve the management of resources and hazards, and to enhance the ability to  
35 recover from extreme events. For example; enhancing water supplies, improving effluent treatment and flood  
36 management measures (including the provision of insurance or disaster relief) would all lead to reductions in  
37 vulnerability in the water sector. The change in vulnerability in any place is a function of the relationship between  
38 these two opposing drivers, which also interact. Flood or water management measures may reduce vulnerability in  
39 the short term, but increased security may generate more development and ultimately lead to increased vulnerability.

40  
41 The number of water-related disaster has increased at global scale in recent years (see Figure 4-9). The factors that  
42 have led to increased water-related disasters are thought to include natural pressures, such as climate variability;  
43 management pressures, such as the lack of appropriate organizational systems and inappropriate land management;  
44 and social pressures, such as an escalation of population and settlements in high-risk areas (particularly for poor  
45 people) (Adikari and Yoshitani, 2009). Contribution of factors to the increasing trend in water-related disasters is  
46 site-specific and cannot be concluded without detailed analysis. However, through the analysis of historical time-  
47 series data of disaster, trend in vulnerability to water-related hazards can be roughly understood.

48  
49 [INSERT FIGURE 4-9 HERE:

50 Figure 4-9: Water-Related Disaster Events Recorded Globally, 1980 to 2006 (Source: Adikari and Yoshitani, 2009)]

51  
52 Adikari and Yoshitani (2009) analyzed trends in water-related disasters based on CRED data for the period 1980 to  
53 2006. Table 4-9 summarizes the recent trend of water-related disasters by hazard. Water-related disasters are clearly  
54 increasing every year and that future development is just as much at risk. However, the number of fatalities has

1 decreased drastically, due to the efforts of those involved in the process of disaster management. As typical  
2 successful practice, we can exemplify the experience of Bangladesh where the numbers of fatalities due to similar  
3 magnitude cyclones decreased from more than 300,000 in 1970 to just over 5000 people in 2007 (Adikari and  
4 Yoshitani, 2009), and the experience of Mozambique whose death tolls of serious floods in 2007 and 2008 were  
5 much smaller than that in 2000 (International Federation of Red Cross and Red Crescent Societies, 2009). Both  
6 cases can be linked to the progress in disaster management including effective early warning system. However,  
7 these good cases do not mean that early warning systems have evolved sufficiently to avoid massive casualties from  
8 natural hazards, as demonstrated by the 138,000 deaths in 2008 from Cyclone Nargis in Myanmar (International  
9 Federation of Red Cross and Red Crescent Societies, 2009).

10  
11 [INSERT TABLE 4-9 HERE:

12 Table 4-9: Trend of water-related disasters from 1980 to 2006 by hazards (based on Adikari and Yoshitani, 2009).]

13  
14 For thinking about historical change in vulnerability to droughts, it would be worth capturing trends of water  
15 withdrawal, demand side. With rapid population growth water withdrawals have tripled over the last 50 years. This  
16 trend is explained largely by the rapid increase in irrigation development stimulated by food demand in the 1970s  
17 and by the continued growth of agriculture-based economies. Emerging market economies (such as China, India and  
18 Turkey) still have an important rural population dependent on water supply for food production. They are also  
19 experiencing rapid growth in domestic and industrial demands linked to urbanization and related changes in  
20 lifestyle. There are hot spots in these countries where rural and urban demands are in competition (World Water  
21 Assessment Programme, 2009).

22  
23 \_\_\_\_\_START BOX 4-3 \_\_\_\_\_

#### 24 25 **Box 4-3. Extraordinary Heat Wave in Europe, Summer 2003**

26  
27 The extraordinarily severe heat wave over large parts of the European continent in the summer of 2003 produced  
28 record-breaking temperatures particularly during June and August (Beniston, 2004; Schär *et al.*, 2004). Absolute  
29 maximum temperatures exceeded the record highest temperatures observed in the 1940s and early 1950s in many  
30 locations in France, Germany, Switzerland, Spain, Italy and the UK. In many places of southern Europe, the peak  
31 temperatures exceeded 40°C.

32  
33 Average summer (June to August) temperatures were by up to five standard deviations above the long-term mean,  
34 implying that this was an extremely unlikely event (Schär and Jendritzky, 2004). The 2003 heat wave resembles  
35 simulations by regional climate models of summer temperatures in the latter part of the 21st century under the A2  
36 scenario (Beniston, 2004). Anthropogenic warming may therefore already have increased the risk of heatwaves such  
37 as the one experienced in 2003 (Stott *et al.*, 2004).

38  
39 Impacts of the heatwave were mainly health- and health-service related, with excess deaths of about 35,000  
40 (Kosatsky, 2005). Elderly people were among those most affected (WHO, 2003; Kovats and Ebi, 2006), but deaths  
41 were also associated with housing and social conditions. For example being socially isolated or living on the top  
42 floor. Electricity demand increased with the high heat levels. The impacts were combined with those from a drought  
43 created stress on health, water supplies, food storage and energy systems – e.g. reduced river flows reduced the  
44 cooling efficiency of thermal power plants (conventional and nuclear) and that flows of rivers were diminished; six  
45 power plants were shut down completely (Létard *et al.*, 2004). Many major rivers (e.g., the Po, Rhine, Loire and  
46 Danube) were at record low levels, resulting in disruption of inland navigation, irrigation and power-plant cooling  
47 (Beniston and Díaz, 2004; Zebisch *et al.*, 2005). In France, electricity became scarce, construction productivity fell,  
48 and the cold storage systems of 25-30% of all food-related establishments were found to be inadequate (Létard *et al.*,  
49 2004). The (uninsured) economic losses for the agriculture sector in the European Union were estimated at €13  
50 billion (Sénat, 2004). A record drop in crop yield of 36% occurred in Italy for maize grown in the Po valley, where  
51 extremely high temperatures prevailed (Ciais *et al.*, 2005). The hot and dry conditions led to many very large  
52 wildfires. The extreme glacier melt in the Alps prevented even lower river flows in the Danube and Rhine (Fink *et al.*,  
53 2004).

1 \_\_\_\_\_END BOX 4-3\_\_\_\_\_

2  
3  
4 **4.3.5. Observed Trends in Ecosystem Vulnerability to all Climatic Extremes and to Specific Types of Hazards**

5  
6 Extreme climatic events have increased in frequency and magnitude, but their ecological impacts are far from fully  
7 understood. Climatic extremes (drought, heat wave, flood, frost, ice, and storm) and specific hazards were observed  
8 to have widespread effects on ecosystems, including physiology, development, biodiversity, phenology and carbon  
9 balance.

10  
11  
12 **4.3.5.1. Drought and Heat Wave**

13  
14 The effects of drought and heat wave were widespread. A higher sensitivity to drought was found in the beech, and  
15 surprisingly, in the broadleaved Mediterranean forests; the coniferous stands (spruce and pine) appeared to be less  
16 drought-sensitive (Granier, Reichstein et al. 2007). The effects of drought accompanied by extreme warm  
17 temperature mainly include growth decline, species death or mortality, spatial shift and carbon balance.

18  
19  
20 **4.3.5.1.1. Growth decline**

21  
22 The aboveground net primary productivity declined at a short grass steppe site in Colorado, USA at the two years of  
23 extreme drought (1954 and 1964) (Lauenroth *et al.*, 1992). A crown condition declined following severe droughts  
24 for beech such as drought in 1976 (Power, 1994), 1989 (Innes, 1992) and 1990 (Stribley *et al.*, 2002)). The  
25 percentage of moderately or severely damaged trees displayed an upward trend after the 1989's drought in Central  
26 Italy, especially for *Pinus pinea* and *F. sylvatica* (Bussotti *et al.*, 1995). Defoliation and mortality in Scots pine  
27 observed in each year during 1996–2002 was related to the precipitation deficit and hot conditions of the previous  
28 year in the largest inner-alpine valley of Switzerland (Valais) (Rebetez *et al.*, 2004). Both gross primary production  
29 and total ecosystem respiration decreased in 2003 in many regions of Europe (Granier, Reichstein et al. 2007).

30  
31 The time-lag between climatic extremes and forest decline is widespread, which may enhance vulnerability to more  
32 frequent climate extremes. Five years after the exceptional 2003 summer, forest declines are mentioned in many  
33 forests all over Europe. The unusual heat and drought in summer 2003 caused a severe reduction in water  
34 availability and transpiration of several forests stands in Central Europe. This led to leaf loss increase on these plots  
35 for many species as soon as 2004 and the following years (Bréda *et al.*, 2008). The growth reduction in beech was  
36 more pronounced in the year following the drought (2004) (Granier, Reichstein et al. 2007). Although precipitation  
37 recovered to near normal levels in the ensuing years after extreme drought, the aboveground net primary  
38 productivity showed a lag in recovery of 1 to 3 years, which they attribute to changes in vegetative structure  
39 (Lauenroth *et al.*, 1992).

40  
41  
42 **4.3.5.1.2. Species death or mortality**

43  
44 The death of species was the ultimate stage triggered by extreme drought that acts as a bottleneck event affecting  
45 changes in co-occurring species. Abnormal mortality was observed either soon after the climatic event (autumn  
46 2003), or at the beginning of 2004 when spring budburst did not arise for a lot of trees. A mortality rate of 1.3% for  
47 coniferous trees was observed in French, representing a spectacular increase in comparison with the average normal  
48 level of 0.2%. At the European scale, tree mortality varied from 0.8 to 1.2%, with a continuous increase up to 2006  
49 after recurrent droughts, especially for broad-leaved species. The exceptional increase of coniferous species  
50 mortality in 2004 was the result of earlier, stronger and longer soil water deficit, direct impact of heat wave on  
51 crowns (Bréda *et al.*, 2008).

52  
53 A rapid, drought-induced die-off of overstory woody plants at sub-continental scale was triggered by the recent  
54 drought (2000-2003) in southwestern North America. After 15 months of depleted soil water content, >90% of the

1 dominant, overstory tree species (*Pinus edulis*, a piñon) died. The limited, available observations suggest that die-off  
2 from the recent drought was more extensive than that from the previous drought of the 1950s, extending into wetter  
3 sites within the tree species' distribution (Breshears *et al.*, 2005). Regional-scale pinon pine mortality was following  
4 an extended drought (2000–2004) in northern New Mexico (Rich *et al.*, 2008). Dominant species from diverse  
5 habitat types (i.e., riparian, chaparral, and low-to-high-elevation forests) exhibited significant mortality during a  
6 drought in the southwestern United States; and average mortality differed among dominant species was 3.3%-41.4%  
7 (Gitlin *et al.*, 2006).

#### 10 4.3.5.1.3. *Spatial shift*

11  
12 A rapid shift of a forest ecotone was caused by *Pinus ponderosa* mortality in response to the 1950s drought (Allen *et al.*, 2005). The severe drought in 2004–2005 was responsible for spatial shifts in the estuary regarding zooplankton  
13 community and inter-annual variability, with an increase in abundance and diversity during the period of low  
14 freshwater flow in a shallow temperate southern European estuary, the Mondego Estuary Portugal. The occurrence  
15 of such estuarine community contributed to the increase in zooplankton abundance which is ascribed to the estuarine  
16 species *Acartia tonsa* (Marques *et al.*, 2007).

#### 20 4.3.5.1.4. *Carbon balance*

21  
22 More frequent anomalously warm years may lead to a sustained decrease in carbon dioxide uptake by terrestrial  
23 ecosystems. The extreme conditions pushed many forest ecosystems from being a net C sink to being a net C source.  
24 Tall-grass prairie net ecosystem carbon dioxide exchange levels decreased in both the extreme warming year (2003)  
25 and the following year in central Oklahoma, USA (Arnone *et al.*, 2008). A 30% reduction in gross primary  
26 productivity together with decreased ecosystem respiration over Europe during the heatwave in 2003, which resulted  
27 in a strong anomalous net source of carbon dioxide (0.5 Pg Cyr(-1)) to the atmosphere and reversed the effect of  
28 four years of net ecosystem carbon sequestration. Such a reduction in Europe's primary productivity is  
29 unprecedented during the last century (Ciais *et al.*, 2005). As for grassland ecosystems, the significant decrease in  
30 the efflux of CO<sub>2</sub>, which was equal to about 1/5 of that during the corresponding period of 1998, resulted from  
31 extreme drought in Inner Mongolia, China in 2001 (Li *et al.*, 2004).

#### 34 4.3.5.2. *Flood*

35  
36 An extreme flood caused large, rapid population- and community-level changes that were superimposed on a  
37 background of more gradual trends driven by climate and vegetation change (Thibault *et al.*, 2008).

38  
39 An extreme flood event affected a desert rodent community near Portal, AZ (USA) since 1977 by causing  
40 catastrophic, species-specific mortality and resulting in rapid, wholesale reorganization of the community (Thibault  
41 *et al.*, 2008). Floods were observed to directly impact on Huelva (Spain), by wiping out part of its population in the  
42 Mondego estuary, located on the Atlantic coast of Portugal. Over the period when the estuary experienced  
43 eutrophication, extreme weather events contributed to the overall degradation of the estuary, while during the  
44 recovery phase following the introduction of a management programme, those extreme weather episodes delayed the  
45 recovery process significantly (Cardoso *et al.*, 2008).

#### 48 4.3.5.3. *Storm*

49  
50 Winter storms are considered key climate risks, particularly in prealpine and alpine areas (Fuhrer *et al.*, 2006). Since  
51 1868 European forests were impacted at least 16 times by the effects of several severe storms (Schelhaas *et al.*,  
52 2003), and 10 times since the early 1950s with windthrow of over 20 million m<sup>3</sup>; damages in 1990 and 1999 were  
53 by far the worst of all these years (UN/ECE Timber Committee, 2000). A damaging ice storm struck northern New  
54 England, NY, and adjacent Canada in 1998, affecting nearly 7 million ha of forest lands (Faccio, 2003).

1  
2 Cyclones are discussed elsewhere in Chapter 4.  
3  
4

#### 5 4.3.5.4. ENSO 6

7 The El Niño-Southern Oscillation (ENSO) events have strong ecological consequences, especially changes in  
8 marine ecosystems. Particularly striking were widespread massive coral bleaching events that followed the 1982-  
9 1983 (Glynn, 1988) and 1997-1998 (Wilkinson, 1999) El Niño events. There has been significant bleaching of hard  
10 and soft corals in widely separate parts of the world from mid-1997 to the last months of 1998. Much of this  
11 bleaching coincided with a large El Niño event, immediately switching over to a strong La Niña. Some of the reports  
12 by experienced observers are of unprecedented bleaching in places as widespread as (from west to east) the Middle  
13 East, East Africa, the Indian Ocean, South, Southeast and East Asia, far West and far East Pacific, the Caribbean and  
14 Atlantic Ocean. Catastrophic bleaching with massive mortality was reported, often near 95% of shallow (and  
15 sometimes deep water) corals such as in Bahrain, Maldives, Sri Lanka, Singapore, and parts of Tanzania (Wilkinson,  
16 1999).  
17

18 By contrast, the effects of ENSO events on terrestrial ecosystems have been seldom investigated. ENSO-induced  
19 pulses of enhanced plant productivity can induce the spectacular greening and flowering of deserts (Dillon *et al.*,  
20 1990), and can cause open dry-land ecosystems to shift to permanent woodlands (Holmgren *et al.*, 2001).  
21

22 An absence of information does not mean that there are no adverse impacts from extreme events on ecosystems in  
23 developing societies. (Because of a lack of research or perhaps lack of papers in English, there is relatively little  
24 published on climate extremes and on ecosystems. It is likely that the research in developing countries was  
25 published in other languages than English. For example, the on-going second National Assessment Report on  
26 Climate Change in China would include such information of China. The report is not yet available for citation or  
27 reference).  
28  
29

#### 30 4.3.5.5. Case Study – Coral Reef Bleaching 31

32 Coral reefs are common features in tropical and subtropical coasts, providing ecosystem service that includes food  
33 production, tourism and recreation, and disturbance regulation (coastal protection). The economic value of the  
34 world's coral reefs was estimated to be 29,830 million US\$ and 797,530 million US\$ for net benefit per year and net  
35 present value over a 50-year timeframe, respectively (Cesar, 2003). Coral reefs, however, suffer rapid degradation  
36 (Hoegh-Guldberg *et al.*, 2007). Recent estimate shows that 20% have been destroyed, and 50% are threatened  
37 (Wilkinson, 2004). One-third of coral species face elevated extinction risk (Carpenter *et al.*, 2008).  
38

39 One of the major causes is coral reef bleaching, due to the loss of symbiotic algae, which has most commonly been  
40 associated with anomalously high sea surface temperatures (SSTs), typically with 1.0-1.5 °C above seasonal  
41 maximum mean SSTs (e.g., Baker *et al.*, 2008). The number of bleaching events observed is increasing (see Figure  
42 4-10), possibly in response to SST rise due to global warming. Retrospective analysis of SSTs and bleaching  
43 occurrences indicated that bleaching was correlated well with anomalously high SST (e.g., Berkelmans *et al.*, 2004;  
44 McWilliams *et al.*, 2005).  
45

46 [INSERT FIGURE 4-10 HERE:  
47 Figure 4-10: Coral Bleaching Record]  
48

49 Of all the years, the 1998 bleaching was unprecedented and most devastating in its geographical extent and severity.  
50 It was caused by anomalously high SST because of pronounced El Niño events in one of the hottest year on record  
51 (Lough, 2000). This event caused mass mortality of corals and damaged coral reefs' ecosystem service not only in  
52 food production and tourism and recreation but also in disturbance regulation. For example, in Seychelles of the  
53 Indian Ocean, the function of coastal protection due to coral reefs was partially lost due to coral mortality (Sheppard



1 *et al.*, 2005). Overall, the total economic damage estimated over a 20-year timeframe was calculated to be maximum  
2 8,190 million US\$ for the Indian Ocean (Wilkinson *et al.*, 1999).

3  
4 The rising SST could cause higher bleaching intensity in the future. Results from atmosphere-ocean general  
5 circulation models (GCMs) from the third assessment of IPCC indicated that bleaching could become an annual or  
6 biannual event for the vast majority of the world's coral reefs in the next 30-50 years (Donner *et al.*, 2005). Using  
7 more recent GCMs, Donner *et al.* (2007) and Yara *et al.* (2009) showed similar trends in the eastern Caribbean and  
8 northwestern Pacific, respectively. As evidenced in 1998, pronounced El Nino events caused by climate change  
9 would make bleaching more severe.

10  
11 Though anomalously high SSTs have been accepted as the major cause of widespread bleaching, refining the  
12 prediction and consequences may be required, because bleaching and the resulting coral mortality can be a result of  
13 interaction of various environmental variables (including SST) and acclimatization of corals. Bleaching could be  
14 caused by other stressors, including ocean acidification (Anthony *et al.*, 2008), high solar radiation, freshwater  
15 discharge and sedimentation, all of which are related to climate change and human activities. On the other hand,  
16 bleaching may be mitigated by strong water motion (Nakamura *et al.*, 2005), sometimes caused by typhoons  
17 (Manzello *et al.*, 2007), which are also related to climate change. Further, adaptation and acclimatization of corals to  
18 high SST could happen (Baker *et al.*, 2008). These recent advances in knowledge of coral bleaching may require  
19 considering multiple variables to estimate susceptibility of current and future coral reefs (e.g., Donner *et al.*, 2005,  
20 2007; McClanahan *et al.*, 2007; Maina *et al.*, 2008).

#### 21 22 23 **4.4. System- and Sector-Based Aspects of Vulnerability, Exposures, and Impacts**

##### 24 25 **4.4.1. Introduction**

26  
27 In this sub-section, existing studies which assessed impacts and risks of extreme events or extreme impacts are  
28 surveyed for each major affected sectors/systems. Sectors/systems considered are: water, ecosystem, food,  
29 settlements/industry/infrastructure, and human health. Generally, there is limited literature on the potential future  
30 impacts of extreme events, while most literature is subject to work on analyzing current risks of extreme events  
31 based on observed states and trends of factors. It might be partially due to the limited availability of reliable detailed  
32 knowledge on change in extreme events as well as other various factors related to vulnerabilities in future. However,  
33 if factors constituting current risks are understood, stakeholders including policymakers could make use of the  
34 knowledge for preparing for them with various kinds of policy and measures. Therefore analyses of observed  
35 impacts due to extreme events as well as of projected future risks are taken up. Below, coverage of knowledge on  
36 current and future risks of extreme events is evaluated and the findings of major research are introduced by  
37 sectors/systems.

38  
39 [INSERT TABLE 4-10 HERE:

40 Table 4-10: Links between sectors, exposure, vulnerability and impacts]

##### 41 42 43 **4.4.2. Water**

44  
45 This section assesses the literature on potential future changes in extreme aspects of water, focusing on water supply  
46 and floods (coastal floods are covered in Section 4.4.2.4). The literature is assessed at the "local" scale (the scale at  
47 which water supplies and floods are generally managed), the national scale and the international scale.

48  
49 In terms of water supply, an extreme event is one which threatens the ability of the water supply "system" (from  
50 highly-managed systems with multiple sources to a single rural well) to supply water to users. This may be because  
51 a surplus of water affects the operation of systems, but more typically results from a shortage of water relative to  
52 demands – a drought. Water supply shortages may be triggered by a shortage of river flows and groundwater,  
53 deterioration in water quality, an increase in demand, or an increase in vulnerability to water shortage. Future  
54 reductions in river flows or groundwater recharge may be a result of climate change (see Section 3.5.1.3), of

1 changes in catchment land cover, or changes in upstream interventions. A deterioration in water quality may be  
2 driven by climate change (as shown for example by Whitehead et al. (2009), Delpla et al. (2009) and Park et  
3 al.(2010)), change in land cover or upstream human interventions. An increase in demand may be driven by  
4 demographic, economic, technological or cultural drivers (see Section 2.6.4). An increase in vulnerability to water  
5 shortage may be caused by, for example, increasing reliance on specific sources or volumes of supply, or changes in  
6 the availability of alternatives (see Chapter 2). Indicators of hydrological and water resources drought impact  
7 include lost production (of irrigated crops, industrial products and energy), the cost of alternative or replacement  
8 water sources, and altered human well-being, alongside consequences for freshwater ecosystems (impacts of  
9 meteorological and agricultural droughts on production of rain-fed crops are summarised in Section 4.4.2.3).

10  
11 Few studies have so far been published into the effect of climate change on the impacts of drought in water  
12 resources terms at the local catchment scale. Virtually all of these have looked at water system supply reliability  
13 during a drought, or the change in the yield expected with a given reliability, rather than indicators such as lost  
14 production, cost or well-being. Changes in the reliability of a given yield, or yield with a given reliability, of course  
15 vary with local hydrological and water management circumstances, the details of the climate scenarios used, and the  
16 influence of changes in other drivers on drought risk. Some studies show large potential reductions in supply  
17 reliability due to climate change that challenge existing water management systems (e.g. Fowler et al., 2003,  
18 Vanham et al., 2009; Kim et al., 2009; Takara et al., 2009), some show relatively small reductions that can be  
19 managed – albeit at increased cost – by existing systems (e.g. Fowler et al., 2007), and some show that under some  
20 scenarios the reliability of supply increases (e.g. Kim and Kalvarachi, 2009; Li et al. 2010). Climate change is in  
21 many instances only one of the drivers of future changes in supply reliability, and is not necessarily the most  
22 important local driver. Macdonald et al. (2009), for example, demonstrate that the future reliability of small-scale  
23 rural water sources in Africa is largely determined by local demands, biological aspects of water quality or access  
24 constraints, rather than changes in regional recharge - because domestic supply requires only 3-10 mm of recharge  
25 per year. However, they noted that up to 90 million people in low rainfall areas (200-500mm) would be at risk if  
26 rainfall reduces to the point at which groundwater resources become non-renewable.

27  
28 There have been several continental or global scale assessments of potential change in hydrometeorological drought  
29 indicators (see Section 3.5.1.3), but relatively few on measures of water resources drought or drought impacts. This  
30 is because these impacts are very dependent on context. The one published large-scale assessment (Lehner et al.,  
31 2006) used a generalised drought deficit volume indicator, calculated by comparing simulated river flows with  
32 estimated abstractions for municipal, industrial and agricultural uses. The indicator was calculated across Europe,  
33 using two climate change scenarios and assuming changes in abstractions over time. They showed substantial  
34 changes in the future return period of the present 100-year return period drought deficit volume (Figure 4-11a).  
35 Across large parts of Europe, the present 100-year drought deficit volume would have a return period of less than 10  
36 years by the 2070s. Lehner et al. (2006) also demonstrated that this pattern of change was generally driven by  
37 changes in climate, rather than the projected changes in withdrawals of water (Figure 4-11b). In Southern and  
38 Western Europe, changing withdrawals alone only increases deficit volumes by less than 5%, whereas the combined  
39 effect of changing withdrawals and climate change increases deficit volumes by at least 10%, and frequently over  
40 25%. In Eastern Europe, increasing withdrawals increase drought deficit volumes by over 5%, and more than 10%  
41 across large areas, but this is offset under both climate scenarios by increasing runoff.

42  
43 Climate change has the potential to change river flood characteristics through changing the volume and timing of  
44 precipitation, by altering the partitioning of precipitation between snow and rain and, to a lesser extent, by changing  
45 evaporation and hence accumulated soil moisture deficits (Section 3.5.2.3). Changes in catchment surface  
46 characteristics (such as land cover), floodplain storage and the river network can also lead to changes in the physical  
47 characteristics of river floods (e.g. along the Rhine: Brontsert et al., 2007). The impacts of extreme flood events  
48 include direct effects on livelihoods, property, health, production and communication, together with indirect effects  
49 of these consequences through the wider economy. There have, however, been very few studies which have looked  
50 explicitly at the human impacts of flooding, rather than changes in flood frequencies and magnitudes (Chapter 3).  
51 One study has so far looked at changes in the area inundated in floods with defined return periods (Veijalainen et al.,  
52 2010), showing that the relationship between change in flood magnitude and flood extent depended strongly on local  
53 topographic conditions.

1 An early study in the US (Choi and Fisher, 2003) constructed regression relationships between annual flood loss and  
2 socio-economic and climate drivers, concluding that a 1% increase in average annual precipitation would, other  
3 things being equal, lead to an increase in annual national flood loss of around 6.5%. However, the conclusions are  
4 highly dependent on the regression methodology used, and the spatial scale of analysis. More sophisticated analyses  
5 combine estimates of current and future damage potential (as represented by a damage-magnitude relationship) with  
6 estimates of current and future flood frequency curves to estimate event damages and average annual damages  
7 (sometimes termed expected annual damage). For example, Mokrech et al. (2008) estimated damages under the  
8 current 10-year and 75-year events in two regions of England. Their published results combine fluvial and coastal  
9 flooding, but it is possible to draw two main conclusions from their work. First, the percentage change in cost was  
10 greater for the rarer event than the more frequent event. Second, the absolute value of impact, and therefore the  
11 percentage change from current impact, was found to be highly dependent on the assumed socio-economic change.  
12 In one region, event damage under one socio-economic scenario was, in monetary terms, between four and five  
13 times the event damage under another scenario. An even wider range in estimated average annual damage was found  
14 in the UK Foresight Future Flooding and Coastal Defence project (Hall et al., 2005; Evans et al., 2004) which  
15 calculated average annual damage in 2080 of £1.5 billion, £5 billion and £21 billion under similar climate scenarios  
16 but different socio-economic futures (current average annual damage was estimated at £1 billion). The Foresight  
17 project represented the effect of climate change on flood frequency by altering the shape of the flood frequency  
18 curve using precipitation outputs from climate models and rainfall-runoff models for a sample of UK catchments.  
19 The EU-funded PESETA project (Ciscar, 2008; Feyen et al., 2009) used a hydrological model to simulate river  
20 flows, flooded areas and flood frequency curves, from climate scenarios derived from regional climate models, but –  
21 in contrast to the UK Foresight project – assumed no change in economic development in flood-prone areas. Table  
22 4-11 summarises estimated changes in the numbers of people affected by flooding (i.e. living in flood-prone areas)  
23 and average annual damage, by European region (Ciscar, 2008). There are strong regional variations in impact, with  
24 particularly large increases (over 200%) in central and Eastern Europe; in parts of North-Eastern Europe, average  
25 annual flood damages decrease.

26  
27 At the global scale, two studies have estimated the numbers of people affected by increases (or decreases) in flood  
28 hazard. Kleinen and Petschel-Held (2007) calculated the percentage of population living in river basins where the  
29 return period of the current 50-year return period event reduces, for three climate models and a range of increases in  
30 global mean temperature. With an increase in global mean temperature of 2°C (above late 20th century  
31 temperatures), between (approximately) 5 and 28% of the world's population would live in river basins where the  
32 current 50-year return period flood occurs at least twice as frequently. Hirabayashi & Kanae (2009) used a different  
33 metric, counting each year the number of people living in grid cells where the flood peak exceeded the (current)  
34 100-year magnitude, using runoff as simulated by a high-resolution climate model fed through a river routing model.  
35 Beyond 2060, they found that at least 300 million people would be affected by substantial flooding even in years  
36 with relatively low flooding, with of the order of twice as many being flooded in flood-rich years. This compares  
37 with a current range (using the same index) of between 20 and 300 million people. The largest part of the increase is  
38 due to increases in the occurrence of floods, rather than increases in population.

39  
40 [INSERT TABLE 4-11 HERE:

41 Table 4-11: Impact of climate change by 2071-2100 on flood risk in Europe (Ciscar, 2008). Note that the numbers  
42 assume no change in population or development in flood-prone areas.]

43  
44 [INSERT FIGURE 4-11 HERE:

45 Figure 4-11: Change in Indicators of Water Resources Drought across Europe by the 2070s (Source: Lehner et al.,  
46 2006)]

#### 47 48 49 **4.4.3. Ecosystems**

50  
51 Extreme events could have serious impact on terrestrial ecosystems. Extreme events, such as high temperature, severe  
52 drought, and floods etc., could exceed the physiological limits of some species, damage their habitats or food supply  
53 or result in bio-diversity loss.

1 Desert biodiversity is likely to be vulnerable to climate change (Reid et al., 2005), with winter-rainfall desert  
2 vegetation and plant and animal species especially vulnerable to drier and warmer conditions (Lenihan et al., 2003;  
3 Simmons et al., 2004; Musil et al., 2005; Malcolm et al., 2006). In the Succulent Karoo biome of South Africa,  
4 2,800 plant species face potential extinction as bioclimatically suitable habitat is reduced by 80% with a global  
5 warming of 1.5-2.7°C above pre-industrial levels. Daytime in situ warming experiments suggest high vulnerability  
6 of endemic succulent (see Glossary) growth forms of the Succulent Karoo to high-end warming scenarios for 2100  
7 (mean 5.5°C above current ambient temperatures), inducing appreciable mortality in some (but not all) succulent  
8 species tested within only a few months (Musil et al., 2005; see also IPCC, AR4, GWII, section 4.4.2)  
9

10 Experimental evidence has shown, that extreme drought events advance flower onset (the mid-flowering date) and  
11 extend the flowering period of Central European plant species (Jentsch et al. 2009). The magnitude of the shift  
12 (around 4 days) is remarkable when compared with findings from long-term observational datasets accounting for  
13 gradual warming over recent decades: warming has advanced the first flowering date of plants by 4 days, 1°C on  
14 average in the temperate zone (Memmott et al., 2007). On short-term time scales, extreme weather events might be  
15 even more powerful than gradual warming in disturbing the synchronization between organisms (e.g. Both et al.,  
16 2006) and community organization, because their occurrence and return interval is much less predictable and the  
17 vigor of their effects may reach a decadal scale of warming. Furthermore, interaction effects of extreme weather  
18 events with plant diversity are emerging as a one of the most challenging research frontiers in studying shifts in  
19 plant phenology.  
20

21 Ecosystem function and species composition of grasslands and Savanna are likely to respond mainly to precipitation  
22 change and warming in temperate systems but, in tropical systems, CO<sub>2</sub>- fertilization and emergent responses of  
23 herbivory and fire regime will also exert strong control. Sahelian woody plants, for example, have shown drought-  
24 induced mass mortality and subsequent regeneration during wetter periods (Hiernaux and Turner, 2002). Climate  
25 change is likely to increase fire frequency and fire extent. Greater fire frequencies are noted in Mediterranean Basin  
26 regions (Pausas et al., 2004) with some exceptions (Mouillot et al., 2003; see also IPCC, AR4, GWII, section 4.4.3)  
27

28 Nonlinear system dynamics are ubiquitous. For example, internal feedbacks of ecosystems, such as fuel-triggered  
29 fire regimes, can interact with large-scale external forces, such as global weather patterns or restoration efforts, and  
30 trigger shifts to either alternative regimes or to novel trajectories. Nonlinear system dynamics imply that a systems'  
31 retransformation leads to novel conditions instead of prior structures and functions (e.g., "hysteresis"; Beisner et al.  
32 2003). This phenomenon has been documented, e.g., in Australia, where shifts of open dryland ecosystems to  
33 permanent woodlands occurred due to El Nino Southern Oscillation effects interacting with human land use  
34 dynamics (Holmgren et al. 2001). Often, nonlinearity of ecosystem dynamics or regimes shifts is neither very  
35 obvious nor dramatic. For example, factors that undermine resilience slowly, such as eutrophication in resource-  
36 limited systems (e.g., Jentsch et al. 2002), disturbance mediated introduction of invasive species (Sharp & Whittaker  
37 2003), or climate change (e.g., Jentsch & Beierkuhnlein 2003), can be responsible for altered successional  
38 trajectories. Current extreme climatic events provide an indication of potential future effects. For example, the  
39 warm-water phase of ENSO is associated with large-scale changes in plankton abundance and associated impacts on  
40 food webs (Hays et al., 2005), and changes to behaviour (Lusseau et al., 2004), sex ratio (Vergani et al., 2004) and  
41 feeding and diet (Piatkowski et al., 2002) of marine mammals and seabirds (see also IPCC, AR4, GWII, section  
42 4.4.9)  
43

44 The magnitude of impacts depends not only on the degree of warming but also on the number of species at risk, their  
45 physiological sensitivity to warming and their options for behavioural and physiological compensation. For  
46 example, warming will not only further depress lizards' physiological performance in summer, but will also enable  
47 warm-adapted, open-habitat competitors and predators to invade forests (Huey et. al., 2009). A model of avian  
48 evaporative water requirements and survival times during the hottest part of day reveals that the predicted increases  
49 in maximum air temperatures will result in large fractional increases in water requirements (in small birds,  
50 equivalent to 150–200% of current values), which will severely reduce survival times during extremely hot weather  
51 (Mc Kechnie et. al., 2010).  
52

53 Climate change could trigger massive range contractions among amphibian and reptile species in the southwest of  
54 Europe. Araujo et al, 2006 projected distributions of 42 amphibian and 66 reptile species 20-50 years into the future

1 under 4 emission scenarios. One model proposed by the Intergovernmental Panel on Climate Change and another  
2 three alternative climate models (HadCM3, CGCM2, and CSIRO2). They found that increases in temperature are  
3 not likely to constitute a major threat to amphibian and reptile species in Europe. Indeed, a global cooling scenario  
4 would be much worse. However, increases in aridity could trigger contractions in the distributions of nearly all  
5 species occurring in the southwest of Europe, including Portugal, Spain and France. Impacts in these three countries  
6 are not trivial because, together, they hold 62% of the amphibian and reptile species present in Europe. The high  
7 proportion of amphibian and reptile species occurring in these three countries is due to the key role played by the  
8 Iberian Peninsula as refugia against extinctions during past glacial periods. With projected climate changes these  
9 hotspots of persistence might be at risk of becoming hotspots of extinction (Araújo, et al., 2006).

10  
11 Ecological surprises include rapid and abrupt changes in temperature and precipitation, leading to an increase in  
12 extreme events such as floods, fires and landslides, increases in eutrophication, invasion by alien species, or rapid  
13 and sudden increases in disease (Carpenter et al., 2005). This could also entail sudden shifts of ecosystems to less  
14 desired states (Scheffer et al., 2001; Folke et al., 2004; e.g., Chapin et al., 2004) through, for example, the exceedance  
15 of critical temperature thresholds, possibly resulting in the irreversible loss of ecosystem services, which were  
16 dependent on the previous state (Reid et al., 2005). [see also IPCC, AR4, GWII, 4.4.10] Heat waves could also  
17 impact on: increase of likelihood of catastrophic avian mortality events (McKeechne, et al., 2010); decline of  
18 amphibians and reptiles in Europe (Araújo, et al., 2006).

19  
20 ENSO events could lead to some extremes that impact on ecosystems. For example, Hawaiian rainforests and dry  
21 forests exhibit asynchronous leaf phenology during seasonal and El Niño-driven drought. During dry seasons, dry  
22 forest NDVI showed decreasing greenness while rainforest NDVI showed increasing greenness. Dry forest NDVI  
23 was more tightly coupled with precipitation compared to rainforest NDVI. A reduction in clouds over the rainforest  
24 during dry periods may have increased solar radiation resulting in a dry season green-up. Rainforest green-up and  
25 dry forest browndown was particularly apparent during the 2002–2003 El Niño, which was a period of low  
26 precipitation and few clouds (Pau, et al., 2010).

27  
28 The other example is that the timings of droughts and floods coincided with strong episodes in the activities of the  
29 ENSO phenomenon. Above-average rainfall often accompanied cold ENSO episodes and below-average rainfall  
30 warm ENSO events, contrary to past generalizations suggesting that warm ENSO events are only associated with  
31 above-average rainfall whereas cold ENSO events with below-average rainfall in equatorial East Africa (Ogutu et  
32 al., 2007). Both minimum and maximum temperatures were below-normal during cold ENSO episodes and above-  
33 normal during warm ENSO events. Rising temperatures and declining rainfall throughout the 1990s and early 2000s,  
34 with unprecedentedly prolonged and strong ENSO episodes, engendered progressive habitat desiccation and reduction  
35 in vegetation production in the ecosystem. This exacerbated the debilitating effects of adverse weather on local plant  
36 and animal communities, resulting in high mortalities of ungulates (Both, 2006).

37  
38 Ecosystems provide essential services to maintain human life and quality of life, these include (among other things);  
39 water provision, waste composting, management of atmospheric and climatic elements, soil maintenance, pest  
40 control, pollination, habitat maintenance and biodiversity (Cork, 2001). Not only do ecosystems provide these  
41 services to support human lives and economies, they can also protect us from disasters and extreme weather.

42  
43 Ecosystem services that are damaged or altered as a result of disaster may increase the chances of another extreme  
44 event occurring. A forest may protect an alpine settlement from avalanches, or a wildfire that consumes a forest  
45 depletes that ecosystem of its capacity to absorb CO<sup>2</sup> leading to further temperature increases and possibly a drought  
46 or another wildfire. However, disasters can also have positive impacts on ecosystem services. In the case of  
47 flooding, the moving water can bring essential nutrients to new areas of the ecosystem allowing it to thrive (DFID,  
48 2005).

49  
50 Biodiversity can limit the damages sustained on ecosystem services after a disaster as with more species present in a  
51 particular environment, the greater the opportunity for a species to survive the disaster and aid the ecosystem  
52 recovery process (Cairns, 1997).

#### 4.4.4. Food Systems and Food Security

Food systems and food security can be affected by extreme events that impair food production and that impair food storage and delivery systems (food logistics). Some economies are dependant solely on food systems.

Changes in temperature and precipitation patterns will affect food production systems and food security. Combinations of high temperature and variable precipitation will impact plant growth, development, and grain yield. In a recent assessment of high temperature as a component of climate trends, Battisti and Naylor (2009) concluded that future high temperature events will cause major impacts on food security around the world. Future food security will depend upon adaptation of agronomic practices and genetic resources to cope with the extremes in high temperature and precipitation and our ability to match supply and demand under a changing climate. High temperatures stresses can manifest themselves in different ways during the growth cycle of plants. During the vegetative period of development, higher temperatures will cause a more rapid rate of development in crops. As a result water is used at an increased rate linking it to a water shortage.

Extreme temperatures will have their greatest effect if they occur just prior to or during critical pollination phases of the crop (Hatfield et al, 2008, 2011). The impact is not universal across all crop species because of the duration and timing of the pollination phase of crop development but has been observed through numerous experimental studies throughout the world. Crop sensitivity and ability to compensate during later improved weather, will depend on the length of time for anthesis in each crop.

Extreme temperatures will have negative impacts on grain yield. (Kim et al., 1996; Prasad et al., 2006). For example, Tian et al. (2010) observed in rice that a combination of high temperatures (>35°C) coupled with high humidity, and low windspeed caused the panicle temperatures to be as much as 4°C higher than air temperature inducing floret sterility. Impacts of temperature extremes may not be limited to daytime events and Mohammed and Tarpley (2009) observed rice yields were reduced by 90% when the ambient temperatures were increased from 27 to 32°C. Diurnal max/min day/night temperatures of 40/30°C (35°C mean) cause zero yield. There are combinations of high temperature events wich are likely to negatively impact crop growth and yield. The effects of temperature extremes on a number of different crop species have been summarized in Hatfield et al. (2011).

These extreme events in temperature will negatively impact crop yield and will be increased in areas which are subjected to increased probability of variable precipitation. (Ben-Asher et al., 2008; Fonseca and Westgate, 2005). In cool season crops, e.g., Brassica, high mean temperatures reduce the number of flowers and prolonged heat stress during seed development decreased yield (Morrison and Stewart, 2002). Both cool and warm season plants exposed to high temperatures will exhibit reductions in growth and seed production.

Drought causes yield variation and an example from Europe demonstrates that historical yield records show that drought has been the primary cause of interannual yield variation (Hlavinka et al., 2009). The scientific literature detailing the impacts of water deficits on crop production is voluminous and is beyond the scope of this report to provide a detailed review. Water supply for agricultural production will be critical to sustain production and even more important to provide the increase in food production required to sustain the world's growing population. With glaciers retreating due to global warming and El Niño episodes, the Andean region faces increasing threat on water supply. With most of the precipitation coming in 3-4 months, the glaciers plays a temporal buffer by stocking precipitations in ice and snow and redistribution of the water by melting during the dry season. The glaciers recession reduces the buffering role of the glaciers, hence inducing a double threat: more floods during raining season and more water shortage during the dry season. Physically, the glaciers are holding rocks and other debris. With retreating of glaciers, debris is exposed and could lead to debris flows after heavy rainfalls or after earthquakes. The recession of the glaciers also induces the formation of high altitude lakes and some of them include a risk of being suddenly released after earthquakes, of if an avalanche creates a GLOF. The risk of collapse of such dams can have drastic consequences. (Silverio and Jaquet, 2005; Vuille et al., 2008; Zemp, 2008)

The economies of many developing countries rely heavily on agriculture; dominated by small-scale and subsistence farming. People's livelihoods in this sector are especially exposed to weather extremes (Easterling, W and Apps, M, 2005). The majority of households produce maize in many African countries, but only a modest proportion sells it –

1 the great majority eat all they produce. In Kenya for example, nearly all households grow maize, but only 36% sell  
2 it, with 20% accounting for the majority of sales. This pattern sees a growing inequality of income which is likely to  
3 continue as farms get smaller due to population growth and environmental degradation (FAO, 2009). Both such  
4 famers and their governments have limited capacity for recovery (Easterling, W and Apps, M, 2005). Farmers do not  
5 usually have insurance although micro insurance is increasingly available.  
6

7 The initial obvious impact is a shortage of food for those entirely dependent on their own produce for their food  
8 supply, and those whose cash livelihood depends on their own food crops. Crop-failure is a key driver for rural  
9 urban migration, which is expected to be exacerbated under climate change. For example: since 1970, Malawi has  
10 faced increasing frequency and severity of drought and flood events, less seasonal rain and higher temperatures. A  
11 hybrid drought tolerant maize variety has been promoted, but requires expensive inputs such as chemical fertiliser to  
12 achieve adequate levels of production, which is unaffordable for small holder farmers unable to find cash  
13 employment. These combined production factors create significant hardship for smallholder farmers (ActionAid,  
14 2006). A more complex impact followed Cyclone Nargis in the Ayeyarwaddy delta region of Myanmar. The  
15 disaster's convergence with the global financial crisis has seen the rural economy collapse as credit has been  
16 withdrawn, resulting in lessened food security (Stone, 2009).  
17

18 Subsistence farmers, who have a marginal existence under normal conditions, are probably the most severely  
19 impacted by climate and weather events. The most vulnerable to food price increases are poor, urban residents in  
20 food-importing developing countries; the landless poor and female-headed households are also particularly  
21 vulnerable (FAO, 2008). (Global food price increases are burdened disproportionately by low-income countries,  
22 where many people spend up to 50% of their income on food (OECD-FAO, 2008)). In some locations women and  
23 girls bear the initial brunt of food scarcity, which is both a result of, and contributes to, systemic gender inequality  
24 (Vincent et al., 2008).  
25

26 Unless agricultural production is consumed where it is produced, it must be transported, and often processed and  
27 stored. This process is partly global and involves complex interdependent supply chains which are exposed to  
28 multiple hazards. At every step of the process, transport and associated infrastructure such as roads, railways,  
29 bridges, warehouses, airports, ports and tunnels are at risk from direct damage from climate events. The processing  
30 and delivery chain is also at risk from disruption resulting from damage or blockages at any point of the chain. The  
31 threat of damage will rise with increased frequency and severity of extreme events, including extreme precipitation  
32 events (CSIRO 2007a). This could increase the vulnerability of the food logistics industry in the event of a disaster  
33 by reducing the amount of food available to consumers (Keating, 2010). The impacts could be severe in some  
34 countries like Australia which have only a few days supply of food available in storage and transport (Keating,  
35 2010). Port and coast infrastructure are at particular risk when storm surges combine with rises in sea level. Rail  
36 operations could be increasingly compromised if, as predicted, climate change increases the frequency of lightning  
37 strikes.  
38  
39

#### 40 **4.4.5. Human Settlements, Infrastructure, and Tourism**

##### 41 *4.4.5.1. Human Settlements*

42  
43  
44 Settlements concentrate the exposure of humans, their assets and activities. In the case of very large cities these  
45 concentrations can represent a significant proportion of national wealth and may result in additional forms of  
46 vulnerability (Mitchell 1998). Flooding, landslides (UN/POP/EGM-URB/2008/16), storms, heat waves (Kovats and  
47 Aktar, 2008) and wildfires (ref) have produced historically important damages in human settlements. All these  
48 hazards are expected to increase with climate change. The massive concentration of economic assets and people  
49 creates the possibility of very large impacts, but also the capacity for recovery (Cutter et al., 2008). Coastal  
50 settlements are especially at risk with sea level rise and increases in coastal storm activity (see Case study 9.9 –  
51 Vulnerable coastal and mega cities).  
52

53 At highest risk of impacts are the urban poor in informal settlements ((UN/POP/EGM-URB/2008/16; Douglas,  
54 2009; MacDonald and Calow, 2009; Swiss Re, 2006). Worldwide, about one billion people live in informal

1 settlements, and this proportion is growing at about twice the rate of formal settlements (ref). Informal settlements  
2 are also found in developed countries; for example there are about 50 million people in such areas in Europe  
3 (UNECE 2009). Occupants of informal settlements are typically more exposed to climate events with no or limited  
4 hazard-reducing infrastructure. The vulnerability is high due to makeshift housing and limited capacity to cope due  
5 to a lack of assets, insurance, and marginal livelihoods, with less state support and limited legal protection (Dodman  
6 and Satterthwaite, 2008).

7  
8 The number and size of coastal settlements and their associated infrastructure has increased significantly over recent  
9 decades (ref). In many cases these settlements have affected the ability of natural coastal systems to respond  
10 effectively to extreme climate events, in turn increasing the exposure of coastal communities and assets at an  
11 accelerating rate (Emanuel, 2005). Small island states, particularly SIDS (see Case study 9.10), are likely to be very  
12 severely affected by climate change related extremes; and in some cases there may be a need to consider evacuation  
13 (ref).

14  
15 Urbanization exacerbates the negative effects of flooding – expected to increase with climate change (see Case study  
16 9.5) - through greatly increased runoff concentration peak and volume, the increased occupation of flood plains,  
17 limited waste management and inadequate drainage planning (Douglas, 2008; McGranahan, Balk and Anderson,  
18 2007). These urbanization issues are universal but often at their worst in informal settlements which are generally  
19 the most exposed to flooding, and usually do not have the capacity to deal with the issues (Hardoy, Mitlin and  
20 Satterthwaite, 2001). Flooding regularly disrupts cities, and urban food production can be severely effected by  
21 flooding undermining local food security in poor communities (Aggarwal and Singh, 2010; Douglas, 2009). A  
22 further concern for low and middle income cities as a result of flooding is human waste, as most of these cities are  
23 not served by proper water services such as sewers, drains or solid-waste collection services (Hardoy, Mitlin and  
24 Satterthwaite, 2001).

25  
26 Slope failure risk affects settlements in tropical mountainous areas especially if deforested (e.g. Vanacker et al.  
27 2003), and hilly areas (Loveridge, 2010) especially following heavy prolonged rain (Case study section 9.1.1).  
28 Informal settlements are often exposed to high risk of slope failure as they are often located on unstable land, in the  
29 absence of engineering or drainage works (Anderson, Holcombe and Renaud, 2007). Informal settlements were  
30 disproportionately impacted by landslides in Colombia and Venezuela in 2010 during unusual heavy rains associated  
31 with the La Niña weather phenomenon (Ref).

32  
33 Cities can significantly increase local temperatures and reduce temperature drop at night (see section 9.3.1 - Case  
34 study 9.2). This is the urban heat island effect resulting from the large amount of heat absorbing material, building  
35 characteristics, and emissions of anthropogenic heat from air conditioning units and vehicles. Heat waves combined  
36 with urban heat islands (UHI) can result in massive death tolls with the elderly and outdoor workers being most  
37 vulnerable. When combined with climate change they pose a challenge to the future of major cities (e.g. London,  
38 Wilby, 2003a). In urban areas, heat waves have also negative effects on air quality and the number of days with high  
39 pollutants, ground level ozone suspended particle concentrations (Casimiro and Calheiros, 2002; Sanderson et al.,  
40 2003; Langner et al., 2005; Stevenson et al., 2006).

41  
42 The frequency and severity of most forms of storms are predicted to increase (FitzGerald, et al., 2008; Hess, Malilay  
43 and Parkinson, 2008; Swiss Re, 2006; Chapter 3.XX). The destructive potential of cyclones is likely to increase set  
44 to develop, putting those in the increasing coastal populations at further risk (Emanuel, 2005). Storms generally  
45 result in considerable disruption and local destruction, but cyclones and their associated storm surges have destroyed  
46 modern cities (eg New Orleans and Darwin; Case study 9.1.2). Small island states probably have the highest risk due  
47 to exposure, capacity and because beach erosion leads to the loss of their land (McGranahan, et al., 2007).

#### 48 49 50 *4.4.5.2. Infrastructure*

51  
52 Climate-related extremes impact infrastructure, although detailed analysis of potential impacts are limited to a few  
53 countries (e.g. Australia, Canada; Holper et al., 2007), infrastructure types (e.g. power lines) and sectors (e.g.  
54 transport, tourism). Inadequate infrastructure also increases the impacts of climate events. Some infrastructure is



1 likely to become inadequate as climate change alters the frequency of extremes, for example an increase in flood  
2 producing rainfall is likely to affect the capacity and maintenance of storm water, drainage and sewerage  
3 infrastructure (Douglas, 2008). In many parts of the world including Central Asia and parts of Europe aging  
4 infrastructure, high operating costs, low responsiveness to customers and poor access to capital markets means poor  
5 sewerage systems (Evans and Webster, 2008). Most urban centers in sub-Saharan Africa and in Asia have no sewers  
6 (Hardoy, Mitlin and Satterthwaite, 2001). Current problems of pollution and flooding will be exacerbated by an  
7 increase in climatic extremes.

8  
9 Major settlements contain extensive infrastructure and are dependent on lengthy infrastructure networks for water,  
10 power, telecommunications, and transport, in particular for trade. Aspects of these networks in particular trade and  
11 transport are likely to be exposed to a wide range of extreme events as they likely extend far from the settlement in  
12 question. Modern logistics systems are intended to minimise slack and redundancies and as a result are particularly  
13 vulnerable to disruption by extreme events (Love, Soars and Puempel 2010). In early 2000, the Bruce highway in  
14 Tulley, Australia was flooded with major consequences to the transport system. In total 290 vehicles (150 cars and  
15 140 trucks) were delayed at an estimated cost of AU\$638,000.

16  
17 Electricity transmission infrastructure is highly vulnerable to extreme storm events, particularly wind and lightning,  
18 and in some cases heat waves (EA, 2007 Science Report – SC20061/SR6). In France, the passage of Lothar and  
19 Martin storm across France caused the greatest devastation to an electricity supply network ever seen in a developed  
20 country (Abraham et al., 2000). According to a report by Abraham et al. (2000), citing sources of Electricité de  
21 France, 120 high-voltage transmission pylons were toppled, 36 high-tension transmission lines, a quarter of the total  
22 lines in France, were lost. The increase in storm activity could potentially generate significant increases in the cost  
23 of power supply and infrastructure maintenance from increased frequency and length of power blackouts and  
24 disruption of services. Droughts may also affect the supply of cooling water to power plants, disrupting the ongoing  
25 supply of power (Rubbelke and Vogele, 2011).

26  
27 Transport infrastructure is highly vulnerable to extreme rainfall events leading to flood damage to road, rail, bridge,  
28 airport, port and especially tunnels (Love, et al., 2010). Increased temperatures and solar radiation could reduce life  
29 of asphalt on road surfaces (Meizhu, et al., 2010). Extreme temperature may cause expansion and increased  
30 movement of concrete joints, protective cladding, coatings and sealants on bridges and airport infrastructure, and  
31 stresses the steel in bridges and disrupts rail travel.

32  
33 Damage to buildings and urban facilities result from the increased frequency and intensity of extreme rainfall, wind  
34 and lightning events. Buildings and facilities close to the coast are particularly at risk when storm surges are  
35 combined with sea level rise. In commercial buildings, vulnerable elements are lightweight roofs commonly used for  
36 warehouses, causing water spoilage to stored goods and equipment. During the Lothar and Martin storms, the most  
37 vulnerable public facilities were schools, particularly those built in the 1960s/70s and during the 1990s with the use  
38 of lightweight architectural elements of metal, plastic, and glass in walls and roofs (Abraham et al., 2000).

#### 39 40 41 4.4.5.3. *Tourism*

42  
43 The tourism sector is highly sensitive to climate, since climate is the principal driver of global seasonality in tourism  
44 demand (Lise and Tol, 2002; Becken and Hay, 2007.). Approximately 10% of global GDP is spent on recreation and  
45 tourism, being a major source of income and foreign currency in many developing countries (Berrittella et al, 2006).  
46 It is widely recognized that extreme weather events like floods, excessive heat, and windstorms, affect human life  
47 and environments more than changes in the mean climate, and therefore a potential increase in extreme events may  
48 play an important role on tourist decisions (Yu et al., 2009).

49  
50 There are three broad categories of climate extreme impacts that can affect tourism destinations, their  
51 competitiveness and sustainability: (a) direct impacts on tourist infrastructures (hotel, access roads, etc), on  
52 operating costs (heating-cooling, snowmaking, irrigation, food and water supply, evacuation and insurance costs),  
53 on emergence preparedness requirements, and on business disruption (e.g., sun-and-sea or winter sports holidays);  
54 (b) indirect environmental change impacts of extreme events on biodiversity and landscape change (eg. coastal

1 erosion), which are likely to be largely negative on quality of tourism attractions and perception of a location; and  
2 (c) tourism adverse perception to particular touristic regions after occurrence of the extreme event itself, questioning  
3 a tourist destination in a longer-term (annual basis). It is not unlike that as result of adverse weather conditions or  
4 occurrence of an extreme event is produced a reduction of confidence in the area by tourists during the follow up  
5 season.  
6

7 Apart from extreme events, long-term climate change effects (e.g. sea level rise and coral bleaching) may produce  
8 large impacts on some tourist destinations. Salinization of the groundwater resources due to SLR, land reclamation  
9 and overexploitation of coastal aquifers (e.g. Alpa, 2009) as well as changing weather patterns (Hein et al., 2009)  
10 will pose additional stresses to the industry. Nevertheless, the potential impacts on the tourist industry will depend  
11 also on tourists' perceptions of the coastal destinations (e.g. of destinations experiencing beach erosion) which,  
12 however, can not be easily predicted (Buzinde et al., 2009). Capacity to recover is likely to depend on the degree of  
13 dependence on tourism with diversified economies being more robust (Ehmer and Heymann, 2008). However, low  
14 lying coastal areas and areas currently on the edge of the snow line may have limited alternatives. Some ski resorts  
15 will be able to adapt using snowmaking which has become an integral component of the ski industry in Europe and  
16 North America, although at expenses of high water and energy consumption (Elsasser and Bürki, 2002).  
17

18 In some regions, the main impact of extreme events in tourism will be decline in revenue, with loss of livelihoods  
19 for those working in the sector, and provokes mistrust on tourism and operating companies in the affected area  
20 (Hamilton et al., 2005; Scott et al., 2008; Hein et al., 2009). Regional projections in the frequency or magnitude of  
21 certain weather and climate extremes (e.g. heat waves, droughts, floods, tropical cyclones; see Chapter 3) provide a  
22 qualitative understanding of regional impacts on tourism activities (Table 4-12). The vulnerable hotspot regions in  
23 terms of extreme impacts of climate change on tourism includes the Mediterranean, Caribbean, small island of the  
24 Indian and Pacific oceans, Australia and New Zealand, (see Figure 4-12; Scott et al., 2008). Direct and indirect  
25 effects of extremes in these regions will vary greatly with location (Gössling and Hall, 2006a, b; Wilbanks et al.,  
26 2007).  
27

28 [INSERT FIGURE 4-12 HERE:

29 Figure 4-12: Climate Change Vulnerability Hotspots in the Tourism Sector (Source: Scott et al., 2008)]  
30

31 A number of potential of climate extreme impacts on tourism regions and activities can be pointed out.  
32

33 *Tropics:* Global tropical cyclone intensity is projected to increase during the 21st century between 3 and 11% under  
34 conditions roughly equivalent to A1B emissions scenarios (Chapter 3 SREX report). In the Caribbean, tourist  
35 activities are reduced as beaches erode with sea level rise, and coral is bleached, impacting snorkelers and divers  
36 (Uyarra et al, 2005). Increasing incidence of vector-borne diseases as result of increased temperatures and humidity  
37 will all impact tourism to varying degrees in the tropics For example, Ross River fever outbreaks in Cairns,  
38 Australia, have a significant impact on the local tourist industry (Tong and Hu, 2001).  
39

40 Small island states are dependent on tourism, and the tourism infrastructure that lies on the coast is threatened by  
41 climate change (Berrittella et al., 2006). Sea level rise since 1880 with an average rate of 1.6 mm/year (Bindoff and  
42 Willebrand 2007) poses in risk many touristic resorts of small islands in the Pacific and Indian oceans (Becken and  
43 Hay, 2007. Scott et al., 2008).  
44

45 *Alpine regions:* Warming temperatures will raise the snow line elevation (Elsasser and Bürki, 2002; Scott et al.,  
46 2006). In Switzerland only 44% of ski resorts will be above the 'snow-reliable' altitude (snow for 100 days a  
47 season) by approximately 2030, as opposed to 85% today (Elsasser and Bürki, 2002). In Austria, 83 percent of ski  
48 resorts are currently snow-reliable but an increase in temperature of one and two degree Celsius will reduce this  
49 number to 67% and 50% respectively (Abegg et al., 2007).. In Austria, ski season simulations shows that  
50 snowmaking technology can maintain snow reliable conditions until until the 2040s (A1B) to the 2050s (B1), but by  
51 the end of the century the required production in snow volume is projected to increase by up to 330% (Steiger,  
52 2010).  
53

1 *Mediterranean countries*: More frequent heat waves and tropical nights in summer may lead to exceeding  
2 comfortable temperature levels and reduce the touristic flow by 2060 (Hein et al., 2009). Increase on travelling and  
3 holidays during transition seasons (spring and autumn; Perry, 2003, Esteban Talaya et al., 2005). Change on the  
4 tourist behavior, decreasing the stay period, delaying the travel decision, changing the selection of destination.  
5 Northern European countries are expected to become relatively more attractive closing the gap on the currently  
6 popular southern European countries (Hamilton et al., 2003)

7  
8 There are major regional gaps in understanding how climate change may affect the natural and cultural resources in  
9 Africa and South America that prevents for further insight on their impacts on tourism activities (Scott et al., 2008).

10  
11 [INSERT TABLE 4-12 HERE:

12 Table 4-12: Identification of extreme impacts affecting the tourism sector by regions. Sources: IPCC 2007; Ehmer  
13 and Heymann, 2008; Scott et al., 2008]

#### 14 15 16 **4.4.6. Human Health, Well-Being, and Security**

17  
18 IPCC AR4 reported that malnutrition and diarrheal diseases are the two leading cause of climate change related  
19 health problems, although published reports from developing countries are limited. This finding is important,  
20 because these two leading problems are closely related to extreme events as described below.

21  
22 Research conducted includes those of heat wave, flood, drought, cyclone, and combination of the above. These  
23 extreme weather events can occur even if climate change did not occur. However, the frequency and severity of  
24 heatwaves, floods, droughts and the magnitude in cyclones increases as global warming occurs (see Chapter 3).

25  
26 Heat waves have affected developed countries, as exemplified by the 2003 European heat wave. Heat extremes can  
27 claim casualties even in tropical countries; Hajat et al. (2005) reported that heat extremes affected Delhi, India. He  
28 also demonstrated that the mortality pattern due to heat in Delhi was different from that of developed countries; In  
29 Delhi, the heat effect lasted longer than that in London, England, for example. In this regard, more research on heat  
30 waves should be conducted in developing countries in order for the adaption plans to be based on each local  
31 condition.

32  
33 Floods directly cause deaths, injuries, followed by infectious diseases (such as diarrhea) and malnutrition due to  
34 crop damage, as with heat waves (see section 4.4.4). In Dhaka, Bangladesh, the severe flood in 1998 caused diarrhea  
35 during and after the flood, and the risk of non-cholera diarrhea was higher among those from a lower socio-  
36 economic group and not using tap water (Hashizume M et al., 2008). In 2002 report, WHO assumed that climate  
37 change would not cause diarrhea in countries with 6,000+ US dollars of per capita GDP. On the contrary, diarrhea as  
38 well as injuries occurred after a 2002 flood in Germany, one of the developed countries (Schnitzler J, et al., 2007).  
39 In some cases, but floods can increase the patients of malaria in some cases. In Mozambique, the incidence of  
40 malaria increased by 4 to 5 times after the flood in 2000 (compared with non-disaster periods) (Kondo, et al., 2002).

41  
42 In 1991, 138,000 people died due to a cyclone in Bangladesh. The risk factors for mortality were those who did not  
43 reach shelters, those under 10 years of age, and women older than 40 years (Bern et al, 1993). The authors discussed  
44 that more effective warning system and better access to cyclone shelters were necessary.

45  
46 Drought is a trigger for human ignited forest fires leading to widespread deforestation and carbon emissions. (Field  
47 et al., 2009; Van Der Werf et al., 2008; Costa and Pires, 2009; D'almeida et al., 2007; Phillips et al., 2009).

48  
49 Studies indicate that there is a strong climate signal in forest fires throughout the American West and Canada and  
50 that there is a projected increase in severe wildfires in many areas (Gillett, Weaver et al. 2004; Westerling, Hidalgo  
51 et al. 2006; Westerling and Bryant 2008). The direct effects of these fires on human health are burns and smoke  
52 inhalation but ecosystem degradation by loss of vegetation on slopes leading to increase soil erosion and increased  
53 risk of landslides will further increase indirect health impacts (McMichael, 2008; Campbell-Lendrum et al., 2007).

1 Evaluation of how impacts of extreme climate effect human health tend to focus on the direct, immediate effects of  
2 the event, using parameters that are often easier to obtain and quantify like death statistics or hospitalizations. These  
3 direct observable outcomes are used to demonstrate the extremity of an event and as a comparison metric to measure  
4 against other extreme events. What are not often reported, because they are one step removed from the event, are the  
5 indirect health impacts. Because indirect impacts are hard to monitor and are often temporally separated from the  
6 event, they are effectively removed from the cause-and-effect linkage to that event. Examples of indirect health  
7 impacts from extreme weather events include illnesses or injury resulting from disruption of human infrastructure  
8 built to deal with basic needs like medical services; exposure to infectious or toxic agents after an extreme event like  
9 cyclones or flooding (Schmid, Lederer et al. 2005); stress, anxiety and mental illness after evacuation or  
10 geographical displacement (Fritze, Blashki et al. 2008) as well as increased susceptibility to infection (Yee, Palacio  
11 et al. 2007); disruption of socio-economic structures and food production that lead to increases of malnutrition that  
12 might not manifest until months after an extreme event (Haines, Kovats et al. 2006; McMichael, Woodruff et al.  
13 2006). Indirect health impacts are therefore a potentially large but under-examined outcome of extreme weather  
14 events that lead to a substantial underestimation of the total health burden.  
15

16 There is a growing body of evidence that mental health impact from extreme events is substantial (Neria, Nandi et  
17 al. 2007; Berry, Bowen et al. 2010). Often overshadowed by the physical health outcomes of an event, the  
18 psychological effects tend to be much longer lasting and can affect a larger portion of the population than the  
19 physical effects (Morrissey and Reser 2007). An extreme event may affect mental health directly from acute  
20 traumatic stress to an event with common outcomes of anxiety and depression. It can also have indirect impacts  
21 during the recovery period associated with the stress and challenges of loss, disruption and displacement.  
22 Furthermore, indirect mental health impacts could even affect individuals not directly associated with an event like  
23 grieving friends and family of those who die from an event or the rescue and aid workers who suffer post-traumatic  
24 stress syndrome (PTSD) after their aid efforts. Long term mental health impacts are not often adequately monitored  
25 but the body of research conducted after natural disasters in the past three decades suggests that the burden of PTSD  
26 among persons exposed to disasters is substantial (Neria, Nandi et al. 2007). A range of other stress-related  
27 problems such as complicated grief, depression, anxiety disorders, somatoform disorders and drug and alcohol abuse  
28 (Fritze, Blashki et al. 2008) have lasting effects, long after the causative event.  
29

30 Although the above mentioned impacts were identified, we still have large limitations in evaluating health impact of  
31 climate change. The largest research gap is a lack of information on impact outcomes themselves in developing  
32 countries in general. This includes the mortality/morbidity data and information on other contributing factors such as  
33 nutritional status or access to safe water, medical facilities. Only limited number of places in developing countries  
34 has been investigated. As Byass (2009) showed, among 731 of health and climate change subjects, only 31 (4.2%)  
35 was on Africa. The lack of information is inherent in developing countries, where public health infrastructure is poor  
36 and where the impact would be hardest due to both severe hazards and lower coping capacity.  
37  
38

#### 39 **4.5. Regionally Based Aspects of Vulnerability, Exposures, and Impacts**

##### 40 **4.5.1. Introduction and Overview**

41 The regional sections presented below are about extreme impacts related to weather and climate within the context  
42 of other issues and trends. Regional perspective, in social and economic dimensions, is very important since the  
43 policy interventions have a strong regional context.  
44  
45

46 In dealing with extreme climate events and impacts the following are considered; exposure of humans and their  
47 activities to the climatic phenomenon, the vulnerability of what is exposed to the phenomenon and the resulting  
48 impacts. There is strong interest in the observed and projected trends in climatic events, exposure, vulnerability,  
49 impacts and the role of climate change in explaining detected trends.  
50  
51

52 Each region has its own priorities and they influence the structure of the individual sections.  
53  
54

#### 4.5.2. Africa

##### *Introduction*

Climate extremes exert a significant control on the day-to-day economic development of Africa, particularly in traditional rain-fed agriculture and pastoralism, and water resources, at all scales. The frequency and intensity of extreme events, such as floods and droughts, has increased in Africa over the past few years (IPCC, 2007; Scholes and Biggs 2004), causing major human and environmental impact and disruptions to the economies of African countries, thus exacerbating vulnerability (Washington et al. 2004; AMCEN/UNEP 2002). The expected warming trend (see Christensen et al., 2007) is likely to produce extreme impacts (Boko et al., 2007) including: an increase of arid and semi-arid land, increase in the number of people exposed to increased water stress, decrease of yield from rain-fed agriculture in some countries, and a widespread increase in evapotranspiration and reduction in runoff and in ecosystem net primary production (Delire et al. 2008). However, there is still limited information available on observed frequency and projections of extreme events (Christensen et al., 2007, and Chapter 3.2.3 of SREX report), despite frequent reporting of such events, including their impacts.

Agriculture is the economic sector that is most vulnerable and most exposed to natural hazards in Africa. It contributes approximately 50% to Africa's total export value and approximately 21% of its total GDP (Mendlesohn et al., 2000; PACJA, 2009). With the least efficient agriculture industry in the world, increasing variability in seasons and rainfall, drought and weather extremes is making Sub-Saharan Africa extremely vulnerable. This vulnerability is exacerbated by poor health, education and governance standards (Brooks et al., 2005).

Disasters are likely to have some negative impacts on biodiversity and the tourism industry. Projected climate impacts on Namibia's natural resources would cause annual losses of 1 to 6 per cent of GDP, from which livestock production, traditional agriculture and fishing are expected to be hardest hit, with a combined loss of US\$461-2,045 million per year by 2050 (Reid et al., 2007).

[INSERT FIGURE 4-13 HERE:

Figure 4-13: People Affected by Natural Hazards from 1971-2001.]

[Updated figure on climatic distasters needed]

##### *Droughts and heat waves*

The number of warm spells has increased in Southern and Western Africa over the last decades, together with a decrease in the number of extremely cold days (New et al., 2006). Droughts have mainly affected the Sahel, the Horn of Africa and Southern Africa, particularly since the end of the 1960s (Richard et al., 2001; L'Hôte et al., 2002; Brooks, 2004; Christensen et al., 2007; Trenberth et al., 2007). One of the main consequences of multi-year drought periods is severe famine, such as the one associated with the drought in the Sahel in 1980s, causing many casualties and important socio-economic losses (see case study 9.3, "Drought and Famine in Ethiopia in the Years 1999-2000"). It is estimated that one-third of the people in Africa live in drought-prone areas and are vulnerable to the direct impacts of droughts (e.g. famine, death of cattle, soil salinisation), and indirect (e.g. illnesses such as cholera and malaria) (Few et al., 2004).

The water sector is strongly influenced by, and sensitive to, periods of prolonged drought conditions in a continent with limited water storage infrastructures. Natural water reservoirs such as lakes experience a marked interannual water level fluctuation related to rainfall interannual variability (Nicholson et al., 2000, Verchusen et al., 2000). Since the early 1980's there is a decreasing trend in the water lake levels (e.g., in lakes Tanganyika, Victoria and Turkana), with a major decrease during the early 1990's, followed by a minor recovery between 1998-2004 (Swenson and Wahr, 2009). This is particularly evident in 2004/2005, when large water bodies such as Lake Victoria, recorded the lowest water levels since the beginning of the century old instrumental register.

Large changes in hydrology and water resources linked to climate variability have led to water stress conditions in human and ecological systems in Southern Africa (Schulze et al., 2001; New, 2002), south-central Ethiopia (Legesse et al., 2003), Kenya, Tanzania (Eriksen et al., 2005) and more generally, over the continent (de Wit and Stankiewicz, 2006; Nkomo et al., 2006). In terms of water availability, 25% of the contemporary African population experience high water stress (drought sensitive population), whereas 69% of the population live under conditions of relative

1 water abundance (Vörösmarty et al., 2005). However, this relative abundance does not take into account access to  
2 safe drinking water and sanitation, which effectively reduces the quantity of freshwater available for human use and  
3 negatively impacts on vulnerability. Despite the considerable improvements in access to freshwater in the 1990s,  
4 only about 62% of the African population had access to improved water supplies in 2000 (WHO/UNICEF, 2000).  
5 As water demand increases, the population exposed to different drought conditions (agricultural, climate, urban) is  
6 expected to increase as well.

7  
8 One third of Africans now live in drought-prone areas, mainly in the Sahel, around the Horn of Africa and in  
9 southern Africa. Increasing drought risk will cause a decline in tourism, fisheries and cropping (UNWTO, 2003).  
10 This could reduce the revenue available to governments, enterprises and individuals, and hence further deteriorate  
11 the capacity for adaptation investment. For example, the 2003-2004 drought cost the Namibian Government N\$275  
12 million in provision of emergency relief. Cameroon's economy is highly dependent on rain-fed agriculture; a 14%  
13 reduction in rainfall is projected to cause significant losses, of up to around US\$4.65 billion (Molua & Lambi,  
14 2006).

#### 15 16 *Extreme rainfall events and floods*

17 Recent studies on observed rainfall trends are not conclusive about changes in extreme precipitation (Trenberth et  
18 al., 2007, reported in Chapter 3). Some regional investigations observed an increase in heavy rainfall events in  
19 southern Africa (Usman and Reason, 2004), including evidence for changes in seasonality, inter-annual variability  
20 and weather extremes (Richard et al., 2001, Tadross et al., 2005a). It is known that heavy precipitation is likely to  
21 induce landslides and debris flows in tropical mountain regions (Thomas and Thorp, 2003) with potential extreme  
22 impacts on human settlements. Increase in temperatures together with increased inter-annual variability of rainfall in  
23 the post-1970 period (e.g. southern Africa and Sahel,) have led to higher rainfall anomalies and more intense and  
24 widespread droughts (Richard et al., 2001; Fauchereau et al., 2003). In the arid and semi-arid areas of countries of  
25 the Horn of Africa, extreme rainfall events are often associated with a higher risk of the vector and epidemic  
26 diseases of malaria, dengue fever, cholera, Rift Valley fever (RVF), and hantavirus pulmonary syndrome (Anyamba  
27 et al., 2006; McMichael et al., 2006). This arthropod-borne viral disease (Geering et al., 2002) affects both humans  
28 and domestic ruminants.

29  
30 The periods of extreme rainfall and recurrent floods seem to correlate with El Niño phase of ENSO events (e.g.  
31 1982-83, 1997-98, 2006-07). When such events occur, important economic and human losses result. In 2000, floods  
32 in Mozambique (Case Study 9.5), particularly along the valleys of the rivers Limpopo, Save and Zambezi, resulted  
33 in 700 reported deaths and about half a million homeless. The floods had a devastating effect on livelihoods,  
34 destroying agricultural crops, disrupting electricity supplies and demolishing basic infrastructure (Osman-Elasha,  
35 2006). However, floods can be highly beneficial in African drylands (e.g. Sahara and Namib deserts) since the  
36 floodwaters infiltrate and recharge alluvial aquifers along ephemeral river pathways, extending water availability to  
37 dry seasons and drought years (Morin et al., 2009; Benito et al., 2010), and supporting riparian systems and human  
38 communities (e.g. Walvis Bay in Namibia with population 65,000).

39  
40 Costly damage to African port cities from flooding, storm surge and high winds is predicted under climate change.  
41 For instance, it is indicated that in Alexandria, US\$563.28 billion worth of assets could suffer damage or be lost  
42 because of coastal flooding alone by 2070 (Nicholls et al., 2007).

43  
44 In 1975-2007, the estimated average annual economic loss caused by tropical cyclones and floods accounted for  
45 0.55% and 0.19% of GDP, respectively, in affected countries of Sub-Saharan Africa. This indicates a higher  
46 exposure under an increasing occurrence of disasters (UNISDR, 2009b).

#### 47 48 *Dust storms*

49 Atmospheric dust is a major element of the Saharan and Sahelian environments. The Sahara Desert is the world's  
50 largest source of airborne mineral dust, that is transported over large distances, traversing northern Africa and  
51 adjacent regions and depositing dust in other continents (Osman-Elasha, 2006, Moulin et al., 1997). Dust storms  
52 have negative impacts on agriculture, health and structures. They erode fertile soil, uproot young plants, bury water  
53 canals, homes and properties, and cause respiratory problems (Case Study 9.4, "Sand and Dust Storms"). Meningitis

1 transmission, associated with dust in semi-arid conditions and overcrowded living conditions, could increase with  
2 climate change as arid and dusty conditions spread across the Sahelian belt of Africa (DFID, 2004).

#### 3 4 *Adaptation*

5 According to Parry et al. (2007), there exist strategies to adapt to drought conditions, such as the use of emergency  
6 animal feed, culling of weak livestock for food, and using multiple species of animals more adaptable to climate  
7 extremes. During drought periods, pastoralists and agro-pastoralists change from cattle to sheep and goats, as the  
8 feed requirements of the latter are lower and they tolerate higher temperatures (Seo and Mendelsohn, 2006b). As the  
9 pastoralists in Africa move from the dry northern areas to the wetter southern areas of the Sahel, their nomadic  
10 mobility reduces the pressure on low-capacity grazing areas as they are not grazed consistently (Boko et al., 2007).  
11 However, consecutive dry years with widespread disruption reduce society's coping capacity by providing less  
12 recovery and preparation time between drought events (Adger, 2002).

#### 13 14 15 **4.5.3. Asia**

16  
17 Asia includes mega-deltas which were identified to be among the most vulnerable regions by IPCC (2007). Mega-  
18 deltas are highly susceptible extreme impacts due to a combination of the following factors; high hazard rivers,  
19 coastal flooding, and increased population exposure from expanding urban areas with large proportions of high  
20 vulnerability groups (Nicholls et al., 2007). Asia is also at threat because of the changes in frequency and magnitude  
21 of extreme events and severe climate anomalies, such as heatwaves, intense rain, floods, droughts and tropical  
22 cyclones (Cruz et al., 2007). The changes will affect not only natural and physical systems but also human systems.

#### 23 24 *Tropical cyclones (typhoons or hurricanes)*

25 Tropical cyclone mortality risk is highly geographically concentrated in Asia, and takes both a relative and absolute  
26 high exposure to population and GDP.

27  
28 Amplification in storm-surge heights and an enhanced risk of coastal disasters along the coastal regions of East,  
29 South and South-East Asian countries is likely as a result of climate change (Cruz et al., 2007). This may be the  
30 result from an increase in sea-surface temperatures, lower pressures and stronger winds associated with tropical  
31 storms (Kelly and Adger, 2000).

32  
33 Damage due to coastal flooding is sensitive to the change in magnitude of tropical cyclones. For example, changes  
34 in coastal flooding and associated damage were projected for the inner parts of three major bays (Tokyo Bay, Ise  
35 Bay, and Osaka Bay) in Japan (Suzuki, 2009). The projections were based on calculations of inundations for  
36 different sea levels and different strengths of typhoons, using a spatial model with information on topography and  
37 levees. The research revealed that a typhoon which is 1.3 times as strong as the design standard with a sea level rise  
38 of 60cm would cause damage costs of 298, 4001, 2687 (billion JPY) in the investigated bays respectively

39  
40 Location can also be a major factor in the outcomes from tropical cyclones. For example two cyclones in Indian  
41 Ocean (Sidr and Nargis) of similar magnitude and strength caused a significantly different number of fatalities. A  
42 comparison is presented in 9.3.1 as a case study.

43  
44 Paddy rice in Japan is most vulnerable to cyclone damage for several days around the rice heading day (Masutomi et  
45 al., 2010). To alleviate typhoon damage adjustment of heading stage can be altered by changing the planting date.  
46 However, if the intensity or landfall season changes in future, the area damaged by the typhoon will alter.

47  
48 Awareness, improved governance and development are essential in coping with extreme tropical cyclone and  
49 typhoon events in developing Asian countries (Cruz et al., 2007). This could partly explain why typhoon losses in  
50 China since 1983 were negligible after correction for increases in wealth (Zhang et al., 2009). Similarly, normalised  
51 losses from typhoons on the Indian south-east coast since 1977 show no increases (Raghavan and Rajesh, 2003).

### 1 *Flooding*

2 The geographical distribution of flood risk is heavily concentrated to India, Bangladesh and China, causing high  
3 human and material losses (Brouwer et al. 2007; Shen et al., 2007; Dash et al., 2007). In South Asian countries,  
4 flooding has contributed 49% to the modelled annual economic loss of GDP since the 1970s (UNISDR, 2009b).  
5 However, Chang et al. (2009) studied historic changes in economic losses from floods in urban areas in Korea since  
6 1971, and found an increase in losses after correction for population change.

7  
8 In July 2005, severe flooding occurred in Mumbai, India. 944 millimetres of rain fell in a 24-hour period, nearly half  
9 of the average yearly rainfall of 2147 centimetres (Kshirsagar, 2006). The consequent flooding affected many  
10 households, including those in the more affluent parts of the city. Most metropolitan cities in India, including  
11 Mumbai, have poor urban drainage systems, which are easily blocked and are vulnerable even to short spells of rain.  
12 Ranger et al. (2010) analysed risk from heavy rainfall in the city of Mumbai, concluding that that total losses (direct  
13 plus indirect) associated with a 1-in-100 year event could treble in the 2070s compared with current situation (\$690  
14 – \$1890 million USD, including \$100-\$400 million USD of indirect losses), and that adaptation could significantly  
15 reduce future damages.

16  
17 As noted in the final report for the Ministry of Environment and Forest from the Government of the People's  
18 Republic of Bangladesh, (NAPA, 2005), flooding in Bangladesh is a normal, frequently recurrent, phenomenon.  
19 Four types of floods occurring in Bangladesh are: flash floods caused by overflowing of hilly rivers in eastern and  
20 northern Bangladesh (in April-May and in September-November); rain floods caused by drainage congestion and  
21 heavy rains; monsoon floods in the flood plains of major rivers (during June-September) and coastal floods due to  
22 storm surges. In a normal year, river spills and drainage congestions cause inundation of 20 to 25% of the country  
23 area. Inundation areas for 10-, 50- and 100-year floods, constitute 37%, 52% and 60% of the country area  
24 respectively. Moderate and high flood prone cropland areas inundate 1.32 and 5.05 million ha of land, respectively.  
25 Devastating floods of 1987, 1988 and 1998 inundated more than 60% of the country. The 1998 flood alone caused  
26 1,100 deaths, inundated nearly 100,000 km<sup>2</sup> (10 million ha), rendered 30 million people homeless, and caused heavy  
27 losses to infrastructure (including damage to 500,000 homes).

28  
29 Annual events of peak lake stage and of severe floods have increased dramatically during the past few decades in the  
30 Poyang Lake, South China. This trend is related primarily to levee construction at the periphery of the lake and  
31 along the middle of the Changjiang (Yangtze River), which protects a large rural population. These levees reduce  
32 the area formerly available for floodwater storage resulting in higher lake stages during the summer flood season  
33 and catastrophic levee failures. The most extreme floods occurred during or immediately following El Niño events  
34 (Shankman et al., 2006). Fenqing et al. (2005) analysed losses from flooding in the Xinjiang autonomous region of  
35 China, and found an increase that seems to be linked to changes in rainfall and flash floods since 1987.

36  
37 Different flooding trends have been detected and projected in various regions. There are significant upward trends in  
38 annual flood maxima of the lower Yangtze river in summer (flood season) (Jiang et al., 2008). There is an increasing  
39 likelihood of extreme floods during the period 2050 to 2100 for the Mekong River (Delgado et al., 2009). Both  
40 upward and downward trends were detected over the last four decades in four selected river basins of the north-  
41 western Himalaya (Bhutiyan et al., 2008). Hirabayashi et al., (2008b) show it is *very likely* that there will be an  
42 increase in the risk of floods in most humid Asian monsoon regions.

43  
44 Heavy rainfall and flooding is also an important issue for environmental health in urban areas, as surface water is  
45 quickly contaminated during heavy rainfall events. Urban poor populations often experience increased rates of  
46 infectious disease after flood events. Increases in cholera, cryptosporidiosis and typhoid fever have been reported in  
47 low- and middle-income countries (Kovats and Akhtar, 2008).

### 48 *Temperature extremes*

49  
50 Global warming is accompanied by an increase in the frequency and intensity of heat waves and by milder cold  
51 seasons. Significant increase of heat wave duration and severity has been observed in many countries of Asia,  
52 including Asian Russia, Mongolia, China, Japan and India (Cruz et al., 2007). Weakening cold extremes (cold  
53 waves) were noted in Mongolia and Japan.



1 Extremely hot weather can affect both human and natural systems. In 2002, a heat wave was reported to have killed  
2 622 people in the southern Indian state of Andhra Pradesh. Persons living in informal settlements and structures may  
3 be more exposed to high temperatures (Kovats and Akhtar, 2008).

4  
5 Agriculture is also affected directly by temperature extremes. For example, rice, the staple food in many parts of  
6 Asia, is adversely affected by extremely high temperature, especially prior to or during critical pollination phases  
7 (see Section 4.4.4).

#### 8 9 *Droughts*

10 Asia has a long history of drought, which has been linked with other extreme weather events. Increasing frequency  
11 and intensity of droughts has been observed in many parts of Asia, adversely affecting the socioeconomic,  
12 agricultural, and environmental conditions. Drought causes water shortages, crop failures, mass starvation, and  
13 wildfire. For example in Mongolia, from 1999 to 2002, a drought affected 70% of grassland, resulting in the death of  
14 12 million head of livestock.

15  
16 Increased droughts are attributed largely to a rise in temperature, particularly during the summer, drier months and  
17 during ENSO events (Cruz et al., 2007).

18  
19 In Southeast Asia, El Niño is associated with comparatively dry conditions: 93% of droughts in Indonesia between  
20 1830 and 1953 occurred during El Niño years (Quinn et al., 1978). In four El Niño years between 1973 and 1992,  
21 the average annual rainfall amounted to only around 67% of the 20-year average in two major rice growing areas in  
22 Java, Indonesia, causing a yield decline of approximately 50% (Amien et al., 1996).

23  
24 During drought, severe water-scarcity results from one of, or a combination of the following mechanisms:  
25 insufficient precipitation, high evapotranspiration, and over-exploitation of water resources (Bhuiyan et al., 2006).

26  
27 About 15% (23 million ha) of Asian rice areas experience frequent yield loss due to drought (Widawsky and  
28 O'Toole, 1990). The problem is particularly severe in Eastern India, where the area of drought-prone fields exceeds  
29 more than 10 million ha (Pandey et al., 2000). Even when the total rainfall is adequate, shortages at critical periods  
30 reduce yield (Kumar et al., 2007). Lowland rice production in the Mekong region is generally reduced because crops  
31 are cultivated under rain fed conditions, rather than irrigated, and often exposed to drought. In Cambodia, severe  
32 drought that affect grain yield mostly occurs late in the growing season, and longer duration genotypes are more  
33 likely to encounter drought during grain filling (Tsubo et al., 2009).

34  
35 In the spring of 2010 severe droughts impacted some East and Southeast Asian countries, causing damages to crops,  
36 a drop in river levels and reservoirs, and economic losses. According to China's State Commission of Disaster  
37 Relief, 51 million Chinese were affected by the drought, with estimated direct economic losses at US\$2.8 billion. In  
38 the Philippines, according to the Philippine Department of Agriculture's Central Action Center (DACAC), the total  
39 damages caused by the drought reached US\$244.4 million, with the loss in paddy rice production nearing 300,000  
40 metric tons (Xinua, 2010).

41  
42 Asian wetlands provide resources to people in inundation areas, who are susceptible to droughts. For achieving the  
43 benefits from fertilization for inundation agriculture in Cambodia, wide areas along the rivers need to be flooded  
44 (Kazama et al., 2009). Flood protection in this area needs to consider this benefit of inundation.

#### 45 46 *Wildfires*

47 Grassland fire disaster is a critical problem in China due to global warming and human activity (Su et al., 2004;  
48 Zhang et al., 2006). The north-western and north-eastern China face more challenges for reduction of grassland fire  
49 disasters than other regions due to broad territory combined with the effects of complex physiognomy. According to  
50 statistical analysis of historical data of grassland fire disasters from twelve Northern provinces of China between  
51 1991 and 2006, grassland fire disasters have increased gradually with economic development and population  
52 growth, with significant impacts on the national stockbreeding economy (Liu et al., 2006).

### *Regional costs for the Asian region*

According to statistics collected by the insurance sector, about one third of reported catastrophes globally occur in Asia, while the proportion of fatalities is about 70% (Munich Re, 2008). Since 1980, there have been more than 1 million fatalities in Asia due to natural catastrophes, more than in all other continents combined (Spranger, 2008).

Focusing on 136 large port cities around the world, that have more than one million inhabitants, OECD (2008) investigated the exposure of economic assets and population to coastal flooding. Asia was found to have both a high number of cities (38%) and high exposure per city of population and assets when compared to other continents. Seventeen of the most populous cities among the global twenty are in Asia, and these are projected to experience a more than a 200 per cent increase in exposure to flooding by 2015, compared to 2005. It is also estimated that, by 2015, loss of life among the world's 10 largest cities, most of which are in developing countries, are projected to increase from 22% (Tokyo) to 88% in Shanghai and Jakarta (Bouwer et al., 2007), compared to 2005.

Accounting for cultural, political and historical factors, a degree of relationship between wealth and protection can be found in different locations in Asia. In the light of the fact that Asia is a rapidly emerging region in the global economy, it would be particularly useful to incorporate climate extreme preparedness into long-term sustainable development planning. Some studies argue that economic restructuring and the process of market transition in those fast developing Asian countries could potentially help to decrease vulnerability and the economic impacts of disasters (Adger, 1999; OECD, 2008).

The health sector bears a significant share of the economic burden of disasters, and health infrastructure recovers at a slower rate than infrastructure in other sectors. The emergence of infectious diseases, environmental pollutants and health inequality from extreme events are likely to be exacerbated by rapid urbanisation; it is argued that health related risks could potentially worsen in Asian countries (Wu et al., 2010).

## **4.5.4. Europe**

### *Introduction*

Europe has higher population density and lower birth rate than any other continent. Europe currently has an ageing population. Life expectancy is high and increasing and child mortality is low and decreasing (Eurostat, 2010). European exposure to natural hazards has increased whereas vulnerability has decreased as a result of implementation of policy, regulations, risk prevention and management (EEA, 2008; UNISDR, 2009b). Temporal and spatial changes on extreme events involve losses and gains on natural resource and economic sectors basis over Europe.

### *Heat waves*

Summer heat waves have become increasingly frequent in summer in most of Europe (Della-Marta et al., 2007; see Section 3.3.1) and have affected vulnerable segments of European society. During the 2003 heat wave, several tens of thousands of additional heat-related deaths were recorded (see chapter 9.3.1, case study 9.2). Urban heat islands pose an additional risk to urban inhabitants. Those most affected are the elderly, ill, and socially isolated (Wilby, 2003; see chapter 9.3.1, case study 9.2). There are mounting concerns about increasing heat intensity in major European cities (e.g. London and Wilby, 2003a). This is because of the vast population that inhabit urban areas, as 25% of Europeans live in areas exceeding 750,000 inhabitants (UN, 2004). Building characteristics, emissions of anthropogenic heat from air conditioning units and vehicles, as well as lack of green open areas in some parts of the cities, may exacerbate heat feeling during heatwaves (e.g. Wilby, 2007, Stedman, 2004).

### *Droughts and wildfires*

Drought risk is a function of the frequency, severity, spatial and temporal extent of dry spell, the vulnerability and exposure of population and its economic activity (Lehner, et al., 2006). In Mediterranean countries, drought hazard impact to a large sector of population historically produces economic damages larger than floods or earthquakes (e.g. the drought in Spain in 1990 affected 6 million people and caused material losses of 4.5 billion dollars, after EM-DAT, 2010). The most severe human consequences of droughts are often found in semiarid regions where water availability is already low under normal conditions, water demand is close to, or exceeds, natural availability and/or

1 society seldom lacks the capacity to mitigate or adapt to drought (Iglesias et al., 2009). Direct drought impacts affect  
2 all forms of water supply (municipal, industrial and agricultural). Other sectors and systems affected by drought  
3 occurrence are hydropower generation, tourism, forestry, and terrestrial and aquatic ecosystems.

4  
5 Forest fire danger (length of season, frequency and severity) is very likely to increase in the Mediterranean (Santos  
6 et al., 2002; Pausas, 2004; Moreno, 2005; Pereira et al., 2005; Moriondo et al., 2006), central (Goldammer et al.,  
7 2005), Eastern (Kellomäki et al., 2005) and Northern Europe (Moriondo et al., 2006). In the Mediterranean it may  
8 lead to increased dominance of shrubs over trees (Mouillot et al., 2002), however, it does not translate directly into  
9 increased fire occurrence or changes in vegetation (Thonicke and Cramer, 2006).

#### 10 11 *Coastal flooding*

12 Coastal flooding is an important natural disaster, since many Europeans live near the coasts. Storm surges can be  
13 activated as results of wind-driven waves and winter storms (Smith et al., 2000; SREX Report, chapter 3), whereas  
14 long-term processes are linked to global mean sea-level rise (Woodworth et al., 2005). Expected sea-level rise is  
15 projected to have impacts on Europe's coastal areas including land loss, groundwater and soil salinisation and  
16 damage to property and infrastructures (Devoy, 2008).

17  
18 Hinkel et al. (2010) found that the total monetary damage in coastal areas of the Member Countries of the European  
19 Union (EU) caused by flooding, salinity intrusion, land erosion and migration is projected to rise strongly. The  
20 Netherlands is an example of a country that is highly susceptible to both sea-level rise and coastal flooding.  
21 Adaptation can reduce the number of people flooded by two orders of magnitude and the total damage costs by the  
22 factors of four to five (Hinkel et al., 2010).

#### 23 24 *Gale winds*

25 Windstorms are most destructive climatic extremes in Europe. Severe windstorms are associated with westerly flow  
26 occurring mainly during moderately positive NAO phases (Donat et al., 2009). The most frequent track runs along  
27 the north coasts of the British Isles into the Norwegian Sea, but they may take meridional pathways affecting the  
28 northern Iberian Peninsula, France and central Europe. In the most severe extra-tropical windstorm month,  
29 December 1999, when three events struck Europe (Anatol - December 3, Denmark; Lothar - December 26, France,  
30 Germany and Switzerland; and Martin - December 28, France, Spain, and Italy), insured damage was in excess of  
31 €9 billion (Schwierz et al., 2009). Immense economic losses were generated by gale winds via effects on electrical  
32 distribution systems, transportation, communication lines, damage to vulnerable elements of buildings (e.g.  
33 lightweight roofs) and by trees falling on houses. There is a lack of consensus on projected wind speed changes over  
34 Europe (Barthod, 2003; Nilsson et al., 2004; Schumacher and Bugmann, 2006).

35  
36 According to a study by Swiss Re (2009), by the end of this century once-in-a-millennium storm surge events could  
37 well be striking Northern Europe every 30 years. This is likely to result in a disproportionate increase in annual  
38 expected losses of between 100% and 900%, depending on the country. The annual expected loss burden from surge  
39 events could range from a current Euro 0.6 to 2.6 billion by end of the century. As a result, adaptation through  
40 adequate sea defenses and the management of residual risk is essential.

41  
42 Some researchers have found no contribution from climate change to trends in the economic losses from floods and  
43 windstorms in Europe since 1970s (Barredo, 2009; 2010). Some studies have found evidence of increasing damages  
44 to forests in Sweden and Switzerland (Nilsson et al., 2004; Usbeck et al., 2010). Still other studies assert that  
45 increases in forest disturbances in Europe are mostly due to changes in forest management (e.g. Schelhaas et al.,  
46 2003).

#### 47 48 *Flooding*

49 Flooding is the most frequent and widely distributed natural risk in Europe. Economic losses from flood hazards in  
50 Europe have increased considerably over previous decades (Lugeri et al., 2010) and increasing exposure of people  
51 and economic assets is very likely to be the major cause of the long-term changes in economic disaster losses  
52 (Barredo, 2009). Exposure includes socio-economic development, urbanization and infrastructure construction on  
53 traditional flood-prone areas. Very high flood impacts were due to a few individual flood events (e.g. 1997 floods in  
54 Poland and Czech Republic, 2002 floods in much of Europe, and 2007 summer floods in UK). The increase of

1 frequency of short-duration precipitation in large parts of Europe is likely to increase the probability of flash floods  
2 (Dankers and Feyen, 2008) which are the most harmful in terms of human impacts (EEA, 2004b). Flash floods from  
3 extreme precipitation are enhanced for urbanized areas, catchments modified by changes in land use and vegetation  
4 cover (Robinson et al., 2003; Benito et al., 2010), and after occurrence of a forest fire, due to soil hydrophobia and  
5 water repellence of some organic components. Particularly vulnerable are new urban developments and tourist  
6 facilities, such as camping and recreation areas (e.g. a large flash flood in 1997 in the Spanish Pyrenees, conveying a  
7 large amount of water and debris to a camping site, resulted in 86 fatalities; cf. Benito et al. 1998). Apart from new  
8 developed urban areas, linear infrastructures, such as roads, railroads, and underground rails with inadequate  
9 drainage will likely suffer flood damage (Defra, 2004a; Mayor of London, 2005). Increased runoff volumes may  
10 increase risk of dam failure (tailings dams and water reservoirs) with high environmental and socio-economic  
11 damages as evidenced by historical records (Rico et al., 2008).

12  
13 In glaciated areas of Europe glacial lake outburst floods (GLOFs), although infrequent, have potential to produce  
14 immense socio-economic and environmental impacts. Glacial lakes dammed by young, unstable and unconsolidated  
15 moraines, and lakes in contact to the active ice body of a glacier increase the potential of a GLOF event occurring  
16 (e.g. Huggel et al., 2004). Intense lake level and dam stability monitoring on most glacial lakes in Europe helps  
17 prevent future major breach catastrophes. In case of flooding, major impacts are expected on infrastructure and  
18 settlements even at long distances downstream from the hazard source area.

#### 19 20 *Landslides*

21 Climate change can modify the frequency of landslides (Schmidt and Dehn, 2000), which can impact on settlements  
22 and linear infrastructure. Observed trends in landslide occurrence point to a decrease in activity in most regions,  
23 particularly in southern Europe, where revegetation on scree slopes enhanced cohesion and slope stability  
24 (Corominas et al., 2005). Reactivation of large movements usually occurs in areas with groundwater flow and river  
25 erosion. Earth flows and landslides may develop after intense precipitation events, likely to be enhanced by climate  
26 change.

#### 27 28 *Snow*

29 Snow avalanches are an ever-present hazard with the potential for loss of life, property damage, and disruption of  
30 transportation. Due to an increased use of mountainous areas for recreation and tourism there is increased exposure  
31 for the population leading to an increased rate of mortality due to snow avalanches. During the period 1985–2005,  
32 avalanche fatalities have averaged 25 per year in Switzerland (McClung and Schaerer, 2006). Increased winter  
33 precipitation may result in more than average snow depth or the duration of snow cover contributing to avalanche  
34 formation (Schneebeili et al., 1997). Climate change impact on snow cover also includes decrease in duration, depth  
35 and extent and a possible altitudinal shift of the snow/rain limit (Beniston et al., 2003) Therefore, predictions about  
36 future avalanche activities under climate change is highly uncertain, depending on regional characteristics. A  
37 potential increase of snow avalanches in high altitudes has impacts on humans (loss of life and infrastructure)  
38 although in mountain forests avalanches may favour biodiversity (Bebi et al., 2009).

39  
40 Europe is the leading region for the skiing industry, and there is a considerable sectoral vulnerability to mild winters.  
41 The ski industry in central Europe is projected to be disrupted by significant reductions in natural snow cover,  
42 especially at lower elevations (Kundzewicz and Parry, 2001, Alcamo et al., 2007). Hantel et al. (2000) found that at  
43 the most sensitive elevation in the Austrian Alps (below 600 m in winter and 1400 m in spring) and with no  
44 snowmaking adaptation considered, a 1°C rise leads to four fewer weeks of skiing days in winter and six fewer  
45 weeks in spring. Beniston et al. (2003) projected that a 2°C warming with no precipitation change would reduce the  
46 seasonal snow cover at a Swiss Alpine site by 50 days per year, and with a 50% increase in precipitation by 30  
47 days/yr.

#### 48 49 *Coping with extremes*

50 Adaptation potential of European countries is relatively high, because of high gross domestic product and stable  
51 growth, educated and stable population (with the possibility of moving around the EU) and well developed political,  
52 institutional, and technological support systems (Kundzewicz and Parry, 2001). Adaptation to weather extremes  
53 allows for a reduction of exposure, adverse impacts, and vulnerability. A special European Union (EU) Solidarity  
54 Fund (Hochrainer et al., 2010) has been established to assist recovery after major natural hazards, and national and

1 EU adaptation programmes are being implemented (CEC, 2009). However, some groups of people – economically  
2 disadvantaged, elderly, sick or living alone are particularly vulnerable. The natural ecosystems in Europe that are  
3 most vulnerable to climate extremes are located in the Arctic, in mountain regions, in coastal zones (especially the  
4 Baltic wetlands) and in various parts of the Mediterranean. Mediterranean ecosystems are already affected by  
5 ongoing warming and decreasing precipitation (Alcamo et al., 2007), as well as high levels of human use and human  
6 stress.

7  
8 Much work is being done in Europe to improve flood preparedness and management, including the EU Floods  
9 Directive and activities of river basin commissions. Due to the large uncertainty of climate projections, it is currently  
10 not possible to devise a rigorous, scientifically-sound, procedure for redefining design floods (e.g. 100-year flood)  
11 under strong non-stationarity of the changing climate and land use. For the time being, in some countries the design  
12 floods are adjusted using a “climate change safety factor” approach (Kundzewicz et al., 2010a, b). Water scarcity  
13 and droughts in the context of climate change was addressed by the European Union (COM/2007/0414 final)  
14 conveying a set of policy options, including water pricing, improving drought risk management, considering  
15 additional water supply infrastructures, fostering water efficient technologies and practices, and increasing a water-  
16 saving culture in Europe.

17  
18 Promising adaptation options of forests to gale winds in Europe were found (Schelhaas et al., 2009) to limit the  
19 increase in exposure and vulnerability. This can be done by increasing the harvest levels that curb the current build-  
20 up of growing stock and reduction of the share of old and vulnerable stands. Adaptation strategies for buildings and  
21 infrastructures to the local conditions of extreme wind speeds could also lead to a significant reduction of storm loss  
22 potential under modified climate (Pinto et al., 2007).

23  
24 [INSERT TABLE 4-13 HERE

25 Table 4-13: Summary of climate extremes in Europe – hazard, exposure, vulnerability, and impacts]

#### 26 27 *Costs for the European region*

28 Weather disasters such as flooding, droughts, forest fires and heat waves will very likely have different economic  
29 impacts across and within European Union States. Understanding how vulnerability to extreme events varies  
30 between different sectors, people and at different scales is important to analysis of economic impacts (OECD 2008;  
31 O’Brien et al, 2004). Europe also ranked in the top three regions with the highest portion of the economic loss, about  
32 0.11% of GDP, slightly higher than the world average level of 0.10% (Swiss Re, 2010). In 2009 Europe experienced  
33 the globally highest economic loss due to extreme events. The total losses exceeded USD \$20 billion, of which  
34 storms accounted for the majority of these losses.

#### 35 36 37 **4.5.5. Central and South America**

##### 38 39 *Extremely warm temperatures in the Andes*

40 Warming over the Andes includes increasing night time temperature minimum and day time maximum (Ruiz et al.,  
41 2011, Lozano et al., 2010). As a result, glaciers, mountain moorlands (*páramos*, neo-tropical high elevation  
42 wetlands) and cloud forests in the Andes are experiencing abrupt climate change (Ruiz et al., 2008; Vergara et al.,  
43 2010). Field measurements (Ruiz et al., 2011) and analyses of ensemble products from global circulation models  
44 (Bradley et al., 2006) indicate that the rate of warming may be much faster at higher altitudes in the Andes. There is  
45 also a well-documented major loss in ice cover and substantial evidence that the associated glacier retreat is  
46 accelerating. Tropical glaciers in the Andes (those located between Bolivia and Venezuela) covered an area of over  
47 2,940 km<sup>2</sup> in 1970 but declined to 2,758 km<sup>2</sup> in 1991 (INRENA, 2006) and to 2,493 km<sup>2</sup> by 2002 (Kaser, 2005). In  
48 Peru alone, glaciers covered an area of 2,041 km<sup>2</sup> in 1970 but had declined nearly 22% to 1,595 km<sup>2</sup> by 1997  
49 (INRENA, 2006). The largest of these glaciers in the Cordillera Blanca have lost 15% of their glacier surface area in  
50 a period of 30 years. Many of the smaller glaciers in the Andes have already been heavily affected and others are  
51 likely to completely disappear within a generation. Glacier retreat diminishes the mountains’ water regulation  
52 capacity, making it more expensive to supply water for human consumption, power generation, or agriculture, as  
53 well as for ecosystem integrity in associated basins. Impacts on economic activities have been monetized (Vergara et

1 al., 2009) and found to represent billions of dollars in losses to the power and water supply sectors. However, the  
2 loss of integrity of high-mountain habitats is more difficult to evaluate.  
3

4 Data recently made available (Ruíz et al., 2011) suggests that climate impacts have already altered the circulation  
5 patterns responsible for producing and moving water vapor to the region. These changes have probably contributed  
6 to the disappearance of high-altitude water bodies as well as to the increased occurrence of natural and human-  
7 induced mountain fires (a record setting season of high altitude fires was registered in the Northern Andes in early  
8 2008). It could also be behind some of the reductions in populations of mountain flora and fauna in the Andes.  
9 Changes in the altitudinal location of dew points, a consequence of warming of the troposphere, is also thought of  
10 being capable to affect the relative formation of clouds and horizontal precipitation and eventually lead to disruption  
11 of cloud forests, and local weather patterns. Rapid warming may also lead to an increase in the rate of desertification  
12 of mountain habitats. Combined, these impacts may constitute a serious threat to water supply in the region (Vergara  
13 et al., 2010).  
14

#### 15 *Changes in the stability and functioning of the Amazon basin*

16 The Amazon basin is a key component of the global carbon cycle. Annually, these tropical forests process  
17 approximately 18 Pg C through respiration and photosynthesis. This is more than twice the rate of global  
18 anthropogenic fossil fuel emissions (Dirzo and Raven, 2003). The basin is also the largest global repository of  
19 biodiversity and produces about 20% of the world's flow of fresh water into the oceans. Despite the large CO<sub>2</sub> efflux  
20 from recent deforestation, the Amazon rainforest ecosystem is still considered to be a net carbon sink of 0.8–1.1 Pg  
21 C per year because growth on average exceeds mortality (Phillips et al., 2008).  
22

23 However, current climate trends and human-induced deforestation may be transforming forest structure and  
24 behavior (Phillips et al., 2009). Increasing temperatures may accelerate respiration rates and thus carbon emissions  
25 from soils (Malhi and Grace, 2000). High probabilities for modification in rainfall patterns (Malhi et al., 2008) and  
26 prolonged drought stress may lead to reductions in biomass density. Resulting changes in evapotranspiration and  
27 therefore convective precipitation could further accelerate drought conditions and destabilize the tropical ecosystem  
28 as a whole, causing a reduction in its biomass carrying capacity or dieback. In turn, changes in the structure of the  
29 Amazon and its associated water cycle would have implications for the many endemic species it contains and result  
30 in changes at a continental scale.  
31

32 A recent World Bank report assessed the risk of Amazon dieback – extreme impact induced by climate change  
33 (Vergara and Scholz, 2010). The study concludes that in the absence of CO<sub>2</sub> fertilization, the probability of Amazon  
34 dieback under scenario A1B is highest in the Eastern Amazon (61% probability of it taking place this century) and  
35 lowest in the Northwest (0%), but that its severity increases over time and also is a function of the emission  
36 trajectory considered. These results also indicate the need to avoid reaching a point that would result in a climate-  
37 induced loss of Amazon forests. The study recommends that Amazon dieback be considered a threshold for  
38 dangerous climate change. Likewise, the estimated combined effects of climate impacts and deforestation on the  
39 integrity of the Amazon strongly suggests that deforestation should be rapidly reduced.  
40

41 In fact, in the short span of five years, the Amazon basin experienced one of its most severe droughts in 2005  
42 (Marengo et al., 2008a, Zheng et al., 2008) and a very large, record breaking discharge in 2009 (Climanalise, 2009),  
43 to be followed by another record drought in 2010. The 2005 drought was atypical because it affected mostly the  
44 western and southwestern Amazon basin, as opposed to the more typical El Niño-related droughts which affect  
45 central, northern and eastern Amazon basin, such as the severe drought in northern Amazon basin in early 2010  
46 (Climanalise, 2010). By and large, droughts in the Amazon basin are strongly linked to enormous increases in forest  
47 fires (Araújo et al., 2007, Cochrane and Laurance, 2008; Mlali et al., 2008).  
48

49 A number of studies (reviewed extensively in Nobre and Borma, 2009) attempted to determine quantitatively  
50 ‘tipping points’ for the Amazon forest in terms of climate change due to global warming or to deforestation. Current  
51 figures indicate that there could be a partial collapse of the Amazon forest (also termed ‘savannization’ because the  
52 new climate would be typical of tropical savannas) for global warming exceeding 3.5 to 4 C (Salazar et al., 2007,  
53 Betts et al., 2008) or for the total deforested area surpassing 40% of the total forest area (Sampaio et al., 2007).

1 Long-term rainfall-exclusion experiments for central (Nepstad et al., 2007 and Brando et al., 2008) and northeastern  
2 Amazon basin (Fischer et al., 2007) showed large tree mortality.

### 3 4 *Extreme rainfalls in South America*

5 Extreme rainfall episodes have caused natural disasters of great proportion in parts of South America, causing  
6 hundreds to thousands of fatalities in mud/land-slides, where the disasters of December 1999 (Lyon, 2003) and  
7 February 2005 in Venezuela and the one in November 2008 in southern Brazil (Silva Dias et al., 2009) are typical  
8 illustrations of the serious impacts of such incidents. Also, an unusually heavy rainy season blamed on La Niña has  
9 overwhelmed levee systems flooding farmland and cities in Colombia in 2010, forcing authorities to declare a  
10 national disaster. Projections of rainfall extremes for the future, although highly uncertain at present, point out for  
11 more intense rainfall episodes due to global warming and longer drought periods for most of South America (Kitoh  
12 et al, 2010, Marengo et al., 2009). Extreme rainfall anomalies over South America are linked to large-scale SST  
13 anomalies (Halylock et al., 2006). When the North Tropical Atlantic (NTA) and the Equatorial Pacific (Niño 3  
14 region) anomalies are of opposite signs and the first one is positive while the second one is negative, the rainfall  
15 response is stronger in the northern coast of Venezuela as well as in the Pacific coast of Central America during the  
16 November-February period, which partly explains the extreme rainfall of those two episodes. In the future, that  
17 configuration in SSTs leading dry season rainfall extremes may hold and even increase for SRES A2 experiments  
18 for the middle part of the century (Guenni et al., 2010). So far, the response to those devastating episodes in  
19 Venezuela has been to develop an early warning system for rainfall and mudslide risk and a preparedness program  
20 for people exposed to risk (Wieczorek et al., 2001).

### 21 22 *Extreme sea surface temperatures along Central America and bleaching of the Mesoamerican Reef*

23 Extreme high sea surface temperatures have been increasingly documented in the western Caribbean near the coast  
24 of Central America and have resulted in frequent bleaching events (1993, 1998, 2005, and again in 2010) of the  
25 Mesoamerican coral reef, located along the coasts of Belize, Honduras, Guatemala and Mexico. In 2005, regionally  
26 averaged temperatures were the warmest in the western Caribbean for more than 150 years (Easkin, 2010). These  
27 extreme temperatures caused the most severe coral bleaching ever recorded in the Caribbean: more than 80% of the  
28 corals surveyed were bleached, and at many sites more than 40% died. Recovery from such large scale coral  
29 mortality will depend on the extent to which coral reef health has been compromised and the frequency and severity  
30 of subsequent stresses to the system. More than one bleaching event over a short timeframe can be devastating  
31 (Christensen et al., 2007). An analysis (Vergara et al., 2009) indicates that were extreme sea surface temperatures to  
32 continue, it is possible that the Mesoamerican coral reef will collapse by mid-century, due to high sea surface  
33 temperature anomalies, causing billions of dollars in losses.

34  
35 In the wake of coral collapse, major economic impacts on fisheries, tourism, and coastal protection are anticipated,  
36 as well as severe loss of biodiversity, species extinction and impacts on ecosystem integrity. Appropriate  
37 monetization of these impacts is not easy. Among these, the loss of species and ecosystem integrity is much more  
38 difficult to evaluate, yet may be most important. One-third of the more than 700 species of reef-building corals  
39 worldwide are already threatened with extinction (Carpenter et al., 2008). It is estimated that between 60 to 70  
40 endemic species of corals in the Caribbean are also in danger. The cost of reducing vulnerability of corals to  
41 bleaching and accelerating recovery of affected populations are likely to be very high but they remain to be assessed.

### 42 43 *Regional costs*

44 Climatic disasters account for the majority of natural disasters in Latin America, with most of its territory located in  
45 tropical and equatorial areas. Low-lying states in Central America and the Caribbean are especially vulnerable to  
46 hurricanes and tropical storms, posing significant impacts for supporting infrastructure, public safety and fragile  
47 coastal ecosystems (Lewsay et al, 2004). In October 1998, Hurricane Mitch, one of the most powerful hurricanes of  
48 the Tropical Atlantic basin of the 20th century, caused direct and indirect damages to Honduras of \$5 billion USD,  
49 equivalent to Honduras' total GNP in 1998; comparatively, Hurricane Fifi caused a 1999 equivalent of \$1.7 billion  
50 USD of losses in 1974 (IMF 1999).

51  
52 Some literature indicates that hurricane losses, when corrected for population and wealth in Latin America and the  
53 Caribbean have not increased since the 1940s (Pielke et al. 2003); and that increasing population and assets at risk

1 are the main reason for increasing impacts. Nonetheless it is likely that natural disasters will remain a significant  
2 external shock to economies in this region in the next decades.  
3  
4

#### 5 **4.5.6. North America**

##### 7 *Introduction*

8 North America (Canada, Mexico and USA) is relatively well developed, although differentiation in living standards  
9 exists across and within countries. This differentiation in adaptive capacity, combined with a decentralized and  
10 essentially reactive response capability, underlies the region's vulnerability (Field et al., 2007). Furthermore,  
11 population trends within the region have increased vulnerability by heightening exposure of people and property in  
12 areas that are affected by extreme events. For example, population in coastline regions of the Gulf of Mexico region  
13 in the United States increased by 150% from 1960 to 2008, while total U.S. population increased 70% (U.S. Census  
14 Bureau, 2010).  
15

##### 16 *Heat Waves*

17 For North America, there has *likely* been an overall increase in unusually warm days and nights and an overall  
18 decrease in unusually cold days and nights (see Section 3.3.1.1, Table 3.2). For instance, by the 2000s, twice as  
19 many record high temperatures as record lows were set in the U.S. (Meehl et al., 2009). Since 1960, there has been  
20 an increase in heat waves in the United States although heat waves of the 1930s associated with extreme drought  
21 still dominate the twentieth-century time series (see Section 3.3.1.1, Table 3.2). By the end of the century, there will  
22 *very likely* be an overall increase in unusually warm days, unusually warm nights, and heat waves and an overall  
23 decrease in unusually cold days and nights for Canada, the United States, and northern Mexico (see Section 3.3.1.3,  
24 Table 3.3). As an example, by the middle of the century under a mid-range scenario of future greenhouse gas  
25 emissions, a hot day currently experienced, on average, once every 20 years is projected to occur every 3 years over  
26 portions of the continental United States and every 5 years over much of Canada. By the end of the century, this hot  
27 day would occur, on average, at least every other year (see Section 3.3.1.3).  
28

29 Heat waves have impacts on many sectors, most notably on human health, agriculture, forestry and natural  
30 ecosystems, and energy infrastructure. One of the most significant concerns is human health, in particular, mortality  
31 and morbidity. In 2006 in California, at least 140 deaths and more than 1000 hospitalizations were recorded during a  
32 severe heat wave (CDHS, 2007; Knowlton et al., 2008). In 1995 in Chicago, more than 700 people died during a  
33 severe heat wave. Following that 1995 event, the city developed a series of response measures through an extreme  
34 heat program. In 1999, the city experienced another extreme heat event but far fewer lives were lost. While  
35 conditions in the 1999 event were somewhat less severe, the city's response measures were also credited with  
36 contributing to the lower mortality (Palecki et al., 2001).  
37

38 While heat waves are projected to increase, their net effect on human health is uncertain, largely because of  
39 uncertainties about the structure of cities in the future, adaptation measures, and access to cooling (Ebi and Meehl,  
40 2007). Many cities have installed heat watch warning systems.  
41

42 Heat waves have other effects. There is increased likelihood of disruption of electricity supplies during heat waves  
43 (Wilbanks et al., 2008). Air quality can be reduced, particularly if stagnant high pressure systems increase in  
44 frequency and intensity (Wang and Angell, 1999). Additionally, extreme heat can reduce yield of grain crops such  
45 as corn and increase stress on livestock (Karl et al., 2009).  
46

##### 47 *Drought and wildfire*

48 There has been no overall change in drought for North America: there have been trends towards more severe  
49 drought conditions in some North American regions, such as southern and western Canada, Alaska, and Mexico, and  
50 towards decreases in droughts in some other regions (Table 3.2, Section 3.5.1.1; Kunkel et al., 2008). Increases in  
51 drought area are *likely* in the southwest United States and northwest Mexico (Table 3.3, see Section 3.5.1.3).  
52 Additionally, multi-year droughts are projected to be more frequent in the southwest United States.  
53



1 Droughts are currently the third most costly category of natural disaster in the United States (Carter et al., 2008).  
2 The effects of drought include reduced water quantity and quality, lower streamflows, decreased crop production,  
3 ecosystem shifts and increased wildfire risk. The severity of impacts of drought is related to the exposure and  
4 vulnerability of affected regions.  
5

6 From 2000 to 2007, excluding 2003, crop losses accounted for nearly all direct damages resulting from U.S.  
7 droughts (NOAA, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007). Similarly, drought has had regular recurring  
8 impacts on agricultural activities in Northern Mexico (Endfield and Tejedo, 2006). In addition to impacts on crops  
9 and pastures, droughts have been identified as causes of regional-scale ecosystem shifts throughout Southwestern  
10 North America (Allen and Breshears, 1998; Breshears et al., 2005; Rehfeldt et al., 2006).  
11

12 While more difficult to quantify, drought also has multiple indirect impacts in North America. Droughts pose a risk  
13 to North American power supplies due to a reliance on sufficient water supplies and quality for hydropower  
14 generation and cooling of nuclear, coal and natural gas generation facilities (Wilbanks et al., 2008; Goldstein, 2003).  
15 Projections of water availability in heavily contested reservoir systems such as the Colorado River Basin indicate  
16 that climate change will likely reduce states' abilities to meet existing agreements (Christensen et al., 2004).  
17

18 Additionally, droughts and dry conditions more generally have been linked to increases in wildfire activity in North  
19 America. Westerling et al. (2006) found that wildfire activity in the western United States increased substantially in  
20 the late 20<sup>th</sup> century and that the increase is caused by higher temperatures and earlier snowmelt. Similarly, increases  
21 in wildfire activity in Alaska from 1950 to 2003 have been linked to increased temperatures (Karl et al., 2009).  
22 Anthropogenic warming was identified as a contributor to increases in Canadian wildfires (Gillett et al., 2004).  
23

24 In Canada, forest fires are responsible for one third of all particulate emissions, leading to heightened incidence of  
25 respiratory and cardiac illnesses as well as mortality (Rittmaster et al., 2006). Wildfires not only cause direct  
26 mortality, but the air pollution produces increases eye and respiratory illnesses (Ebi and Balbus, 2008). The principal  
27 economic costs of wildfires include timber losses, property destruction, fire suppression and reductions in tourism  
28 (Butry et al., 2001; Morton et al., 2003).  
29

### 30 *Inland flooding*

31 There has been a *likely* increase in heavy precipitation in many areas of North America since 1950 (Table 3.2).  
32 Some of the largest increases in total and intense precipitation have been observed in the central plains and  
33 northwestern Midwest (see Section 3.3.2.1). The number and intensity of heavy precipitation days is *very likely* to  
34 increase over most regions of Canada and the United States, except the southwest United States, under mid- to high-  
35 range scenarios of future greenhouse gas emissions (Table 3.3). Since 1950, there have *likely* been earlier spring  
36 peak river flows in snow-dominated regions, a trend that is *likely* to continue through 2100 (Table 3.1, see Section  
37 3.5.2.1).  
38

39 Flooding and heavy precipitation events have a variety of significant direct and indirect human health impacts (Ebi  
40 and Balbus, 2008). Heavy precipitation events are strongly correlated with the outbreak of waterborne illnesses in  
41 the United States—51 percent of waterborne disease outbreaks were preceded by precipitation events in the top  
42 decile (Curriero et al., 2001). In addition, heavy precipitation events have been linked to North American outbreaks  
43 of vector-borne diseases such as Hantavirus and plague (Engelthaler et al., 1999; Hjelle and Glass, 2000; Parmenter  
44 et al., 1999).  
45

46 In terms of property damages, flooding is the most costly category of natural disaster in Canada and the United  
47 States from 2000 to 2008 (NOAA, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008; Public Safety Canada,  
48 2007). Beyond direct destruction of property, flooding has important negative impacts on a variety of economic  
49 sectors including transportation and agriculture. Heavy precipitation and field flooding in agricultural systems  
50 delays spring planting, increases soil compaction and causes crop losses through anoxia and root diseases and  
51 variation in precipitation is responsible for the majority of the crop losses (Mendelsohn, 2007). Heavy precipitation  
52 in the American Midwest in 1993 flooded 8.2 million acres of soybean and corn fields, decreasing corn yields by 50  
53 percent in Iowa, Minnesota and Missouri, and 20-30 percent in Illinois, Indiana and Wisconsin (Changnon, 1996).  
54 Furthermore, flood impacts include temporary damage or permanent destruction of infrastructure in most modes of

1 transportation (Zimmerman and Faris, 2010). For example, heavy precipitation events are the most costly weather  
2 condition facing U.S. rail transportation (Changnon, 2006).

### 3 4 *Coastal storms and flooding*

5 Since 1950, there has been a *likely* increase in extreme high water, related to trends in mean sea level and variations  
6 in regional climate (Table 3.1, see Section 3.5.3.1), and it is *very likely* that mean sea level rise will continue to  
7 contribute to increases in extreme sea levels, as projected through 2100 (Table 3.1). Sea level rise alone increases  
8 the destructive power of hurricanes because the level of storm surge will increase with sea level rise (see Section  
9 3.4.4). For tropical cyclones, there has been no global trend in frequency since 1983, but an increasing global trend  
10 in intensity since 1983 (Table 3.1). For extratropical cyclones, there has been a *likely* poleward shift in storm tracks  
11 and a *more likely than not* intensification in high latitudes (Table 3.1). Through 2100, tropical cyclones are projected  
12 to *likely* decrease or remain unchanged in global frequency, with *likely* increases in mean maximum wind speed in  
13 some ocean basins and *likely* increases in tropical cyclone-related rainfall rates; extratropical cyclones will *likely* be  
14 reduced in mid-latitudes and *more likely than not* increase in number and intensity for high latitudes (Table 3.1).

15  
16 North America is exposed to coastal storms, and in particular, hurricanes. 2005 was a particularly severe year with  
17 14 hurricanes (out of 27 named storms) in the Atlantic (NOAA, 2005). There were more than 2000 deaths during  
18 2005 (Karl et al., 2009) and widespread destruction on the Gulf Coast and in New Orleans in particular. Property  
19 damages exceeded \$100 billion (Pielke et al., 2008; Beven et al., 2008). Hurricanes Katrina and Rita destroyed 100  
20 oil and gas platforms in Gulf and damaged 558 pipelines, halted all oil and gas production in the Gulf, and disrupted  
21 20% of US refining capacity (Karl et al., 2009). Although simulations indicate climate change will increase mean  
22 damages from North American hurricanes, 2005 may be an outlier for a variety of reasons – the year saw a higher  
23 than average frequency of storms, with greater than average intensity, making more frequent landfall, including in  
24 the most vulnerable region of the country (Nordhaus, 2006).

25  
26 The major factor increasing the vulnerability of North America to hurricanes is the growth in population (see, for  
27 example, Pielke et al., 2008), and increase in property values, particularly along the Gulf and Atlantic coasts of the  
28 United States. While some of this increase in vulnerability has been offset by adaptation and improved building  
29 codes, the ratio of hurricane damages to national GDP has increased by 1.5 percent per year over the past half-  
30 century (Nordhaus, 2006).

31  
32 Future sea level rise and increased storm surge are projected to substantially increase storm surge inundation and  
33 property damage in coastal areas. Hoffman et al. (2010) assumed no acceleration in the current rate of sea level rise  
34 through 2030 and found that property damage from hurricanes would increase by 20%. Frey et al. (2010) simulated  
35 the combined effects of sea level rise and more powerful hurricanes on storm surge in southern Texas in 2080. They  
36 found that the area inundated by storm surge could increase 60 to 230% in smaller hurricanes and 6 to 25% in very  
37 large (Category 5) hurricanes. Property damage is estimated to increase 400 to 700% in the smaller hurricane and 25  
38 to 100% in the very large hurricane. No adaptation measures were assumed in either study.

39  
40 Given the extremely large losses and importance for the national and international insurance industries, losses from  
41 hurricanes in the USA have been studied extensively. Since the 1970s an increase in losses is observed and this is  
42 related to the increase in hurricane activity since that time, largely attributable to natural variability. It is reported  
43 that the direct overall losses of Hurricane Katrina are about US\$ 138 billion in 2008 dollars (Spranger, 2008).

44  
45 With a normalization procedure (principally corrections for wealth and population), some studies have found similar  
46 conclusions that no trends are found in the normalized loss record over the entire length of the record (starting in  
47 approximately 1900) (Collins and Lowe, 2001; Pielke et al., 2008; Miller et al., 2008; Malmstadt et al., 2009;  
48 Schmidt et al., 2008).

49  
50 Malmstadt et al. (2009) and Schmidt et al. (2009) however maintain that an anthropogenic climate change signal can  
51 be found in the normalised loss record for hurricanes. For example, since 1971-2005 economic losses of cyclones  
52 show an annual increase of 4% excluding socio-economic effects (Schmidt et al., 2009). Changnon (2009b)  
53 indicates that normalized insured losses from windstorms in the USA have increased, but only in areas where  
54 population and capital are concentrated most heavily. Changnon (2003) reveals annual average losses of \$36 billion

1 from extremes and gains averaging \$26 billion when conditions are favourable (good growing seasons, mild winters,  
2 etc). Compared with various measures and values, it has been found that the impacts are relative small, typically  
3 about 1% of GDP in the US.  
4

#### 5 *Interpretation of change*

6 Smaller scale but more frequent storm events can together cause substantial losses. Changnon (2001) found  
7 increases in normalised losses from various thunderstorm events in the USA (hail, lightning, high wind speeds and  
8 extreme rainfall), but also in areas where no increase in thunderstorm activity occurred. This is also true for losses  
9 from tornadoes (Brooks and Doswell, 2001; Boruff et al., 2003). This suggests there may be other causes for these  
10 loss increases. Changnon (2009a) finds similar conclusions for hail storm losses. Similarly, there are indications that  
11 flood losses in the USA have not increased since 1926 (Downton et al., 2005).  
12

13 Chronic everyday hazards such as severe weather (summer and winter) and heat account for the majority of natural  
14 hazard fatalities. It has evidence that heat- and cold-related extreme weather is probably the deadliest weather  
15 hazards in the U.S based on a geographical and epidemiological research since 1970s (Borden and Cutter, 2008).  
16

#### 17

#### 18 **4.5.7. Oceania**

#### 19

20 The region of Oceania consists of Australia and New Zealand and several Small Island States that are tackled in  
21 section 4.5.10.  
22

#### 23 *Introduction*

24 Extreme events have severe impacts in both Australia and New Zealand. In Australia, weather-related events cause  
25 around 87% of economic damage due to natural disasters (storms, floods, cyclones, earthquakes, fires and  
26 landslides), cf. BTE (2001). In New Zealand, floods and droughts are the most costly climate disasters (Hennessy et  
27 al., 2007).  
28

29 The climate of the 21st century in the Oceania region is *virtually certain* to be warmer, with changes in extreme  
30 events. Heat waves and fires, floods, landslides, droughts and storm surges are projected to increase in intensity and  
31 frequency. Rain events are *likely* to become more intense, leading to greater storm runoff, but with lower river levels  
32 between events. Risks to major infrastructure are *likely* to increase i.e. design criteria for extreme events - to be  
33 exceeded more frequently. Risks include failure of floodplain protection and urban drainage/sewerage, increased  
34 storm and fire damage, and more heat waves, causing more deaths and more blackouts. Economic damage from  
35 extreme weather is *very likely* to increase and provide major challenges for adaptation (Hennessy et al., 2007). In  
36 New Zealand overall mean temperatures have risen marginally, however this does not correspond with an increase  
37 in number of hot days as it does in Australia. Instead, the numbers of cold nights that occur in New Zealand are  
38 decreasing, lifting the minimum temperature (Salinger and Griffiths, 2001).  
39

40 The El Niño-Southern Oscillation (ENSO) is a strong regional driver of climate variability (see 5.3.5.4). In  
41 Australia, El Niño brings warmer and drier conditions to eastern and south-western regions (Power et al., 1998). In  
42 New Zealand, El Niño brings drier conditions in the north-east and wetter conditions in the south-west (Gordon,  
43 1986; Mullan, 1995). The converse occurs during La Niña, in both Australia and New Zealand.  
44

#### 45 *Temperature extremes*

46 Trends in the frequency and intensity of most extreme temperatures are rising faster than the means (Alexander et  
47 al., 2007). In Australia, from 1910 to 2004, the average maximum temperature rose 0.6°C and the minimum  
48 temperature rose 1.2°C (Nicholls and Collins, 2006). From 1957 to 2004, an increase in hot days (above 35°C) of  
49 0.10 days/yr was observed in the Australian average, an increase in hot nights (above 20°C) of 0.18 nights/yr, a  
50 decrease in cold days (below 15°C) of 0.14 days/yr and a decrease in cold nights (below 5°C) of 0.15 nights/yr  
51 (Nicholls and Collins, 2006).  
52

53 During the Eastern Australian heat wave, in February 2004, temperatures reached 48.5°C in western New South  
54 Wales. About two-thirds of continental Australia recorded maximum temperatures over 39°C. Due to heat related

1 stresses, the Queensland ambulance service recorded a 53% increase in ambulance call-outs (Steffen et al., 2006). A  
2 week long heat wave in Victoria in 2009 corresponded with a sharp increase of deaths in the state. For the week of  
3 the wave a total of 606 deaths were expected and there were a total of 980 deaths, representing a 62% increase  
4 (Department of Human Services, 2009).

5  
6 An increase in heat-related deaths is projected in the warming region (Hennessy et al., 2007).  
7 In Australia, the number of deaths is *likely* to double in 2020 from 1,115 per year at present, and increase to between  
8 4,300 to 6,300 per year by 2050 (McMichael et al., 2003; Whetton et al.  
9 2005). In Auckland and Christchurch, a total of 14 heat-related deaths occur per year in people aged over 65, but this  
10 is *likely* to rise to 28, 51 and 88 deaths for warming of 1, 2 and 3°C, respectively (McMichael et al., 2003). An  
11 ageing society in Australia and New Zealand is *likely* to amplify these figures. For example it has been predicted  
12 that by 2100, the Australian annual death rate in people aged over 65 is estimated to increase from a 1999 baseline  
13 of 82 per 100,000 to between 131 and 246 per 100,000 (Woodruff et al., 2005). In Australia cities with a temperate  
14 climate are likely to experience more heat related death than those cities with a tropical climate (McMichael et al.,  
15 2003).

### 16 *Droughts*

17 Droughts have become more severe because temperatures are higher for a given rainfall deficiency (Nicholls, 2004).  
18 In Australia, the damages due to droughts of 1982-1983, 1991-1995 and 2002-2003 were US\$2.3 billion, US\$3.8  
19 billion and US\$7.6 billion, respectively (Hennessy et al., 2007).

20  
21  
22 New Zealand has a high level of economic dependence on agriculture and drought can cause significant disruption  
23 to this industry. The 1997-98 El Niño resulted in severe drought conditions across large areas of New Zealand with  
24 losses estimated at NZ\$750 million (2006 values) or 0.9 per cent of GDP (OCDESC, 2007). Drought conditions also  
25 have a serious impact on electricity production in New Zealand where 60 per cent of supply is from hydroelectricity  
26 and low precipitation periods result in increased use of fossil fuel for electricity generation, a mal-adaptation to  
27 climate change. Auckland, New Zealand's largest city suffered from significant water shortages in the early  
28 nineteen-nineties, but has since established a pipeline to the Waikato River to guarantee supply.

29  
30 Droughts have a negative impact on water security in the Murray-Darling Basin in Australia, as it accounts for most  
31 of the water for irrigated crops and pastures in the country. Annual streamflow in the Basin is *likely* to fall 10-25%  
32 by 2050 and 16-48% by 2100 (Hennessy et al., 2007).

33  
34 Climate change is *likely* to cause land-use change in southern Australia. Cropping could become non-viable at the  
35 dry margins if rainfall substantially decreases, even though yield increases from elevated CO<sub>2</sub> partly offset this  
36 effect (Sinclair et al., 2000; Luo et al., 2003).

### 37 *Wildfire*

38 Wildfires around Canberra in January 2003 caused US\$320 million damage (Lavorel and Steffen, 2004), with about  
39 500 houses destroyed, four people killed and hundreds injured. Three of the city's four water storage reservoirs were  
40 contaminated for several months by sediment-laden runoff (Hennessy et al., 2007). The 2009 fire in the state of  
41 Victoria caused immense damage (see Chapter 9, Box 4.1)

42  
43  
44 An increase in fire danger in Australia is associated with a reduced interval between fire events, increased fire  
45 intensity, a decrease in fire extinguishments and faster fire spread (Hennessy et al., 2007). In south-east Australia,  
46 the frequency of very high and extreme fire danger days is likely to rise 15-70% by 2050 (Hennessy et al., 2006). By  
47 the 2080s, the number of days with very high and extreme fire danger *are likely* to increase by 10-50% in eastern  
48 areas of New Zealand, the Bay of Plenty, Wellington and Nelson regions (Pearce et al., 2005), with even higher  
49 increases (up to 60%) in some western areas. In both Australia and New Zealand, the fire season length is likely to  
50 be extended, with the window of opportunity for fuel reduction burning shifting toward winter (Hennessy et al.,  
51 2007).

### 1 *Intense precipitation and floods*

2 From 1950 to 2005, extreme daily rainfall has increased in north-western and central Australia and over the western  
3 tablelands of New South Wales (NSW), but has decreased in the south-east, south-west and central east coast  
4 (Gallant et al., 2007), with consequences for flood risk.

5  
6 Floods are New Zealand's most frequently experienced and expensive hazard (OCDESC, 2007) affecting both  
7 agricultural and urban areas. Being long and narrow New Zealand is characterised by small river catchments and  
8 accordingly shorter time-to-peak and shorter flood warning times, posing a difficult challenge to flood preparedness.

9  
10 Increase in precipitation intensity is likely to cause greater erosion of land surfaces, more landslides, and a decrease  
11 in the protection afforded by levees (Hennessy et al., 2007). Assuming the current levee configuration, the  
12 proportion of the Westport town (New Zealand) inundated by a 1-in-50 year event is currently 4.3%, but it is  
13 projected to rise by 13 to 30% by 2030, and by 30 to 80% by 2080 (Gray et al., 2005). Peak flow is projected to  
14 increase by 4% by 2030 and by 40% by 2080.

### 15 16 *Storm surges*

17 Over 80% of the Australian population lives in the coastal zone, and outside of the major capital cities this is where  
18 the largest population growth occurs (Harvey and Caton, 2003; ABS, 2010). Over 700,000 addresses are within 3  
19 km of the coast and less than 6 m above sea level. Queensland and NSW make up 60% of these residents (Chen and  
20 McAneney, 2006). As a result of being so close to sea level, the risk of inundation from sea-level rise and large  
21 storm surges is increased (Hennessy et al., 2007). The risk of a one in a hundred year storm surge in Cairns is *likely*  
22 to more than double by 2050 (McInnes et al., 2003).

### 23 24 *Tropical cyclones*

25 No trend in the frequency of tropical cyclones in the Australian region from 1981 to 2005 has been detected, but  
26 there has been an increase in intense systems (very low central pressure) (Kuleshov, 2003; Hennessy, 2004; Harper  
27 et al., 2008).

### 28 29 *Coping with extremes*

30 Australia and New Zealand have a long history of flood management, though early effort was mostly structural.  
31 Since the mid-twentieth century legislation has existed in New Zealand to enable a full range of responses including  
32 modifying the environment, modifying flood loss susceptibility and modifying the loss burden. Until the 1990s,  
33 however, most effort went into the former, as there were significant government subsidies for local catchment  
34 authorities to build stopbanks and other protective works. On the other hand non-structural measures tended to be  
35 overlooked at the local planning level leading to intensive development in 'protected areas' and increased  
36 vulnerability to supra-design events (Ericksen, 1986). Economic restructuring in New Zealand in the second half of  
37 the 1980s resulted in the removal of subsidies, and local government reform resulted in the merging of catchment  
38 management with other regional planning activities. Introduction of the New Zealand Resource Management Act  
39 (1991), which had sustainable management as its cornerstone, and which replaced both catchment oriented and  
40 planning legislation, saw significant change towards a cooperative regime for hazard management (Dixen et al.,  
41 1997).

42 Other hazard related legislation in New Zealand includes the Building Act 2004 and the Civil Defence Emergency  
43 management Act 2002. For agricultural disasters, particularly drought, farmers are eligible for Adverse Events  
44 recovery assistance administered by the Ministry of Agriculture and Forestry and to social welfare services  
45 (Ministry of Social Development) where their income is severely reduced. Where a farm is considered to be  
46 unsustainable, 'new start' grants are made available to assist farmers to leave the industry (Ministry of Agriculture  
47 and Forestry, 2010).

48  
49 [INSERT TABLE 4-14 HERE:

50 Table 4-14: Climate extremes, vulnerability, and impact]

51  
52

### 1 *Regional costs for the Oceanic region*

2 The Oceanic region, including Australia, New Zealand and the Pacific Island countries and territories (PICs) is  
3 geographically, economically and socially diverse. Due to this diversity it is appropriate to briefly consider these  
4 three sub-regions individually.  
5

6 *Australia:* The International Disaster Database (EM-DAT, 2010) estimates the total cost of disasters in Australia  
7 between 1970 and 2009 to be approximately \$29 billion USD. The burden of climate-related disasters in Australia is  
8 not evenly spread, as a few large events dominate the overall cost, including Cyclone Tracy and the Brisbane floods  
9 in 1974, the Sydney hailstorm in 1999, the “Ash Wednesday” wildfires in 1983, and Canberra fire of 2003, overall,  
10 floods (29%), severe storms (26%) and tropical cyclones (24%) are the most costly natural disaster types in  
11 Australia. Bushfires in Australia are the most dangerous in terms of death and injury, however they only account for  
12 approximately 7.1% of the economic burden of disasters in the 1967-1999 period (BTE, 2001).  
13

14 The cost of disasters is believed to be increasing in Australia; Crompton & McAneney (2008) found that the cost of  
15 insured losses is increasing over time. However, they found that the increase in insured losses over time can largely  
16 be explained by demographic and societal changes, rather than climate change.  
17

18 Australia is predicted to experience an increased cost of disasters if current population growth continues, with the  
19 corresponding increase in the number and value of dwellings (Crompton & McAneney, 2008). Climate change is  
20 concurrently expected to increase the frequency and severity of extreme weather events (Alexander & Arblaster,  
21 2009). These factors will converge to increase the cost, financial, social and environmental, of disasters in Australia  
22 unless disaster adaptation and mitigation efforts are increased.  
23

24 *New Zealand:* Aggregates of the total cost of natural disasters in New Zealand are not easily estimated due to earlier  
25 lack of data collection and may be underestimated (BTE, 2001). EM-DAT (2010) estimated the total economic cost  
26 between 1970 and 2009 to be approximately US\$1 billion. Floods were the most common type of disaster in New  
27 Zealand, accounting for 43 % of the total number of events (BTE, 2001).  
28

29 *PICs:* The southwest Pacific experiences periodic drought and extreme sea levels, largely due to El Niño-Southern  
30 Oscillation events. Coastal areas in PICs also experience tropical cyclones, accompanied by high winds, storm  
31 surges and extreme rainfall (World Bank, 2000). EM-DAT (2010) estimates the cost of disasters in PICs between  
32 1970 and 2009 to be approximately \$3 billion USD. Three Pacific disasters are in the top ten disasters (1974-2003)  
33 for cost as a proportion of GDP, with the 1985 cyclone in Vanuatu costing approximately 139% of national GDP.  
34 This highlights how devastating disasters can be to small developing countries (Guha-Sapir et al, 2004).  
35

36 Not only are disasters in PICs devastating but they are also relatively frequent. Oceania accounted for 8% of all the  
37 disasters registered with EM-DAT between 1990 and 1999 (Alcántara-Ayala, 2002), this indicates a significant  
38 burden of disasters considering the tiny proportion of global population that resides in PICs.  
39

40 PICs are vulnerable to natural disasters for several reasons. Small islands are susceptible to disasters induced by  
41 extreme rainfall events. The small size of many PIC islands further compounds disaster risk because of a small  
42 natural resource base and a high concentration and competition for land use (Preston *et al*, 2006; Pelling & Uitto,  
43 2001). PICs economies tend to be dominated by agriculture, which is particularly vulnerable to natural hazards  
44 (Narayan, 2003). Despite perceived vulnerabilities, Pacific Island peoples have a traditional resilience to disasters  
45 and have been practising disaster risk management since pre-colonial times. Profound changes in the social,  
46 economic, cultural and political fabric of PICs have led to a decline in traditional disaster management practises  
47 (Campbell, 2006, 2009). Much of this traditional resilience remains and could be reinvigorated within the current  
48 context to reduce vulnerability (also see section 4.5.10).  
49  
50

#### 51 **4.5.8. Open Oceans**

52  
53 The ocean’s huge mass in comparison to the atmosphere gives it a driving role in global heat budgets and chemical  
54 budgets. However, a very high level of uncertainty confounds predictions of extreme ocean events related to

1 climatic changes (Keller et al., 2007). Possible extreme events are likely to be triggered by (1) warming of the  
2 surface ocean, with a major cascade of physical effects, (2) ocean acidification induced by increases in atmospheric  
3 carbon dioxide, and (3) reduction in oxygen concentration in the ocean due to a temperature-driven change in gas  
4 solubility and physical impacts from (1). All have potentially non-linear multiplicative impacts on biodiversity and  
5 ecosystem function, and each may increase the vulnerability of ocean systems, triggering an extreme impact such as  
6 a mass extinction.

7  
8 Surface warming of the oceans can itself directly impact biodiversity by slowing or preventing growth in  
9 temperature-sensitive species. One of the most well-known biological impacts of warming is coral bleaching, but  
10 ocean acidification also plays a role in lowering coral growth rates (Bongaerts et al., 2010). Direct impact of  
11 warming on other marine plants and animals, including the plankton, is likely to be important and will change how  
12 open ocean ecosystems operate, potentially favouring bacterial plankton over larger organisms (Legendre and  
13 Rivkin, 2008). Fish populations have been seen to be vulnerable to climate change both through direct impacts of  
14 temperature changes and acidity, and also via the altered ocean circulation (Johnson, 2010). These changes are likely  
15 to impact the overall catch potential in fisheries worldwide (Cheung et al., 2008).

16  
17 A secondary impact of warming is the potential reduction in oxygen concentrations due to decline in the chemical  
18 capacity of seawater to retain dissolved oxygen at higher temperatures (Whitney et al., 2007). It has been predicted  
19 that deoxygenation will occur at 1 – 7% over the next century via this mechanism alone, continuing for 1000 years  
20 or more into the future (Keeling et al., 2010). An important impact may be an expansion of already existing oxygen  
21 minimum zones, especially in tropical oceans, which can kill animals at concentrations ranging from 40 to 200  $\mu\text{mol}$   
22  $\text{L}^{-1}$  oxygen, depending on the species (Figure 1; Vaquer-Sunyer and Duarte, 2008).

23  
24 However, some of the greatest impacts of warming are likely to be generated by the changes in marine circulation  
25 induced by warming that could act to isolate surface waters from deep waters, a mechanism known as  
26 “stratification”, which involves heat-induced layering of the surface ocean, inhibiting deep mixing. Among other  
27 impacts, this exacerbates the deoxygenation problem many-fold by preventing ventilation of deep waters to the  
28 surface, where they can re-oxygenate in contact with air. This then physically limits the re-oxygenation of the ocean  
29 interior (Keeling et al., 2010). In addition, almost all climate models predict an increase in evaporation in the tropics  
30 and increased precipitation in high latitudes, which would increase stratification by the input of low-density fresh  
31 water at the ocean surface (Orr et al., 2005).

32  
33 This limitation of exchange seems to override the potentially positive impact on oxygen concentrations driven by a  
34 reduction in surface productivity in more permanently stratified waters (Keeling et al., 2010): A reduction in mixing  
35 reduces the regular delivery of deep nutrients to the surface of the ocean needed to fertilize light-driven  
36 photosynthesis by the plant plankton (“phytoplankton”, that release oxygen). This reduction in nutrient supply has  
37 another cascade of impacts. Low nutrient conditions are likely to support species of phytoplankton with lower  
38 nutrient requirements which are of poorer nutritional value to their crustacean “zooplankton” predators, thus  
39 changing the structure and function of entire aquatic food webs (van de Waal et al., 2010). This sort of impact has  
40 been documented as a reduction in krill populations and an increase in jellies such as *salps* in the Southern Ocean  
41 (Atkinson et al., 2004).

42  
43 Climate changes affect the temperature and salinity of ocean and global termohaline circulation, and also sea ice  
44 which influences communication between oceanic and atmospheric processes (Barber, 2008). One of the most  
45 profound and potentially rapid changes in circulation predicted by climate models is the possible failure of the  
46 Meridional Overturning Circulation (MOC) in the North Atlantic (cf. Chapter 3). The MOC is the northward flow of  
47 water in the surface Atlantic Ocean, bringing warm water from the tropics towards the Arctic where it cools  
48 progressively as it moves north due to heat-loss to the atmosphere, eventually sinking to the deep ocean and tracking  
49 southward again, along the sea floor. The MOC is one of the oceans’ most important vertical mixing regions, where  
50 large amounts of surface gases (including  $\text{CO}_2$ ), and plankton (in this context, stored carbon), are carried deep into  
51 the ocean interior. Once there, these materials are essentially stored for the period of a whole ocean overturn, that is,  
52 about every 1000 years. Many models predict a weakening or collapse of the MOC in response to climate change,  
53 due both to surface warming and to an increase in freshwater influx (Keller et al., 2010), but associated uncertainties  
54 are high (Brennan et al., 2008). An increased cloud cover and significant surface cooling throughout Western

1 Europe would have potentially catastrophic environmental and economic impact (Laurian et al., 2009). Changes in  
2 the MOC in geologic history were associated with large and abrupt climatic changes in the North Atlantic region,  
3 including collapse of plankton stocks and significant reductions in ocean production (Schmittner, 2005).

4  
5 Finally, the dissolution of increasing concentrations of carbon dioxide into the ocean from the atmosphere perturbs  
6 the carbon-dioxide - carbonate equilibrium such that the ocean becomes more acidic and calcium concentrations are  
7 reduced. Calcification of marine organisms is one of the key processes likely to be disrupted by acidification, of  
8 central importance because of its involvement in the formation of hard structures (coral skeletons, invertebrate  
9 shells, carapaces of larval fish). The primary open-ocean impacts will occur initially in high latitude regions such as  
10 the Southern Ocean, where significant reductions in calcium availability are likely to occur by 2050 (Orr et al.,  
11 2005), but will move progressively into lower latitudes. This, in combination with warming, is likely to pose a major  
12 threat to coral reefs (Jury et al., 2010). But some of the major impacts may be seen primarily in high latitudes –  
13 especially vulnerable, for example, are shelled organisms called *pteropods*. These are important high latitude  
14 zooplankton feeding major fish groups including salmon and herring, as well as baleen whales, and also perform a  
15 carbon storage function, carrying embedded carbon from the surface to the deep ocean via sedimentation of their  
16 shells (Orr et al., 2005).

17  
18 In concert, it is expected that the impact of several concurrent impacts (temperature, stratification, acidity) increases  
19 the probability for extreme events in the ocean.

20  
21 Changes in open oceans are particularly strong in polar regions (cf. Chapter 3). Spectacular reduction of the total  
22 Arctic sea ice area, based on satellite data, has been detected (Serreze et al., 2007). The maximum value in the  
23 period 1979–2009 (7.88 million km<sup>2</sup>) was observed in September (seasonal minimum) 1996, and the minimum (4.3  
24 million km<sup>2</sup>, i.e. nearly twice less) - in September 2007. In the period 1990–2005, the perennial Arctic ice thickness  
25 was reduced, on the average, by 110 cm, as compared with its average thickness of about 3 m (Nagurnyi, 2009).  
26 Information on the prospects of navigation in the Arctic Ocean is given in 4.5.9.

27  
28 The seasonal sea ice cycle affects also biological habitats. Such species of Arctic mammals as: polar bears, seals,  
29 and walruses, depend on the sea ice for their habitat; hunting, feeding, and breeding on the ice. Declining sea ice is  
30 likely to decrease polar bear numbers (Stirling and Parkinson, 2006).

31  
32 Marine fisheries productivity is affected by changes in ocean conditions resulting from climate change. Food web  
33 structure and species distribution change. Marine fish and invertebrates tend to shift their distributions toward higher  
34 latitudes and deeper waters in response to climate change. Relative abundance of species may also change as some  
35 habitats become less appropriate for them (Redistribution of Fish Catch by Climate Change, 2009). Climate change  
36 may lead to large-scale redistribution of global fish catch potential, with a 30–70 percent increase in high latitude  
37 regions, e.g. the North Atlantic, North Pacific and poleward (Redistribution of Fish Catch by Climate Change,  
38 2009).

39  
40 It is assessed that 30 percent of the phytoplankton increase between 2006 and 2007 was due to large new areas of  
41 open water exposed due to extensive melting of sea ice. The other 70 percent of the increase could be attributed to a  
42 longer growing season, which in some Arctic regions was extended in 2007 by as much as 100 days, compared to  
43 2006. Whales, seals, marine birds, zooplankton, and other marine animals all depend either directly or indirectly on  
44 phytoplankton for food. For navigation aspects of the extensive melting of Arctic sea ice, see 4.5.9.

#### 45 46 47 **4.5.9. Polar Region**

##### 48 49 *Introduction*

50 The Polar region consists of the Arctic, around the North Pole and the Antarctic, around the South Pole. The Arctic  
51 region consists of a vast north treeless permafrost territory (north of Europe, Asia and North America, and several  
52 islands (including Greenland). Slow climate changes in the Polar Regions can lead to extreme impacts. Increasing  
53 temperatures in this region are accompanied by phase transition of water into ice and back into water and sharp  
54 changes of the environment and impacts on human systems and ecosystems.



1  
2 In the last century, the Arctic has *very likely* warmed and air temperature in the region has risen at almost twice as  
3 fast as the global temperature (Hassol, 2004), although the warming has not been uniform. Land stations north of 60°  
4 N indicate that the average surface temperature increased by approximately 0.09 °C per decade during the past  
5 century, which is greater than the 0.06 °C per decade increase averaged over the Northern Hemisphere (McBean et  
6 al., 2005). In the Arctic region, the warming first leads to changes in cryosphere. Observational data are limited, but  
7 precise measurements in boreholes indicate that permafrost temperatures in the Arctic rose markedly during the last  
8 50 years (Romanovsky et al., 2002), with rapid warming in Alaska (Hinzman et al., 2005), Canada (Beilman et al  
9 2001) and Siberia (Pavlov and Moskalenko, 2002, Sherstyukov A.B., 2009) and seasonal thaw depth (permafrost  
10 degradation) was observed. Sea ice coverage in the Arctic Ocean has shrunk, improving navigation in the Arctic  
11 Region (see Section 5.4.8). Other changes observed include; increase of inter-annual variability, extremeness of  
12 climate parameters and earlier onset of springs (temperature zero crossover).

13  
14 Population density in the Polar region is low, so that impacts of climate change and extremes on humans are not  
15 equally noticeable in the Polar Regions as elsewhere throughout the world. The territory of the Russian Arctic is  
16 more populated than other Polar Regions. Impacts of climate change are most noticeable here as they affect human  
17 activities.

18  
19 A positive impact of climate change is the decrease of the duration of the heating season and in the number of  
20 heating degree-days (HDDs) when heating is necessary to maintain a comfortable temperature (almost throughout  
21 the entire Arctic region) (Sherstyukov, 2007).

### 22 23 *Warming cryosphere*

24 For several key Arctic systems, notably Arctic sea ice and the Greenland Ice Sheet, recently observed changes have  
25 been happening at rates significantly faster than predicted in previous expert assessments, notably IPCC AR4  
26 (Stroev et al., 2007, Anisimov et al., 2007). While this primarily reflects the current limits of scientific  
27 understanding of the Arctic it also raises questions about the range of climate impact predictions that guide  
28 mitigation and adaptation (Stroev et al., 2007).

29  
30 Analysis of the extent of melt of the Greenland Ice Sheet using passive microwave satellite data has shown a  
31 dramatic increasing trend since 1979 which appeared to be interrupted only in 1992 by the eruption of Mt. Pinatubo.  
32 Extreme melt years were 1991, 1995 (Abdalati and Steffen, 2001) and in 2002 (Steffen et al., 2004).

33  
34 Recent changes in the Greenland Ice Sheet have been complex. During the period between April 2002 and February  
35 2009 the mass loss of the Greenland and Antarctic ice sheets was not a constant, but accelerating with time. This  
36 suggests that the observations are better represented by a quadratic trend rather than by a linear one, implying that  
37 the melt from ice sheets contributes to sea level rise at a larger rate each year. Gravity satellite ice sheet mass  
38 measurements have shown that in Greenland, the mass loss increased from 137 Gt/yr in 2002-2003 to 286 Gt/yr in  
39 2007-2009. In Antarctica the mass loss increased from 104 Gt/yr in 2002-2006 to 246 Gt/yr in 2006-2009  
40 (Velicogna, 2009).

41  
42 The colder interior has thickened, most probably as a result of recently higher precipitation rates, while the coastal  
43 zone has been thinning. There is a growing body of evidence (Anisimov et al., 2007) that thinning is now  
44 dominating the mass balance of the entire ice sheet. This evidence comes from accelerating coastal thinning, which  
45 are responses to recent increases in summer melt, and an accelerated discharge of many coastal glaciers. Using  
46 satellite radar interferometry observations of Greenland, Rignot and Kanagaratnam (2006) detected widespread  
47 glacier melt below 66° north between 1996 and 2000, expanding to 70° north in 2005.

48  
49 Accelerated ice discharge in the west and particularly in the east doubled the ice sheet mass deficit in the last decade  
50 from 90 to 220 cubic kilometers per year. As more glaciers accelerate farther north, the contribution of Greenland to  
51 sea-level rise will continue to increase (Rignot and Kanagaratnam, 2006).

52  
53 Climate warming leads to permafrost degradation. In the Russian North, the seasonal soil thawing depth increased  
54 has by 40-80 cm and the isotherm that characterizes a southern boundary of insular permafrost has shifted northward

1 (Sherstyukov, 2009). Permafrost degradation is increasing and is projected to accelerate in some areas. Geothermal  
2 modeling predictions indicate that thaw depth will increase dramatically and permafrost may disappear at some sites  
3 in Canada (Burgess et al., 2000).

4  
5 Warming and thawing of the frozen ground in the Arctic region results in considerable mobilisation of greenhouse  
6 gases (Anisimov et al., 2007). The end-products of decomposition of the ancient organic substance are CO<sub>2</sub> (in  
7 aerobic conditions) and CH<sub>4</sub> (in anaerobic conditions). According to existing estimations, only the top hundred-  
8 metre layer of a frozen ground of the Arctic region contains about 10 thousand Gt of carbon (Semiletov, 1995, 1995,  
9 Zimov et al., 1997). Emissions of CO<sub>2</sub> from frozen ground and methane from gas hydrates can lead to essential  
10 increase of greenhouse gas concentration in the atmosphere and increase of global climate changes (Shakhova et al.,  
11 2005).

12  
13 As frozen ground thaws, many existing buildings, roads, pipelines, airports, and industrial facilities are destabilized.  
14 In the 1990s, the number of damaged buildings increased by 42% - 90% in comparison with the 1980s in the north  
15 of Western Siberia (Anisimov and Belolutskaya, 2002; Weller and Lange, 1999).

16  
17 An apartment building collapsed in the upper part of the Kolyma River Basin, and over 300 buildings were severely  
18 damaged in Yakutsk as a result of retreating permafrost. More than half the buildings in Pevek, Amderm, Magadan,  
19 and Vorkuta have also been damaged (Anisimov and Belolutskaya, 2002; Anisimov and Lavrov, 2004).  
20 Approximately 250 buildings in Norilsk industrial district had significant damage caused by deteriorating permafrost  
21 and approximately 40 apartment buildings have been torn down or slated for demolition (Grebenets, 2006).

22  
23 Changes in permafrost damage the foundations of buildings and disrupt the operation of vital infrastructure in  
24 human settlements, resulting in an increased risk of disease. Total area of permafrost may shrink by 10-12% in 20-  
25 25 years, with permafrost borders moving 150-200 km northeast (Anisimov et al., 2004).

26  
27 In Polar Regions, in conditions of impassability, frozen rivers are often used as transport ways. In the conditions of  
28 climate warming, rivers freeze later and melt earlier than before. Duration of operation of transport routes to the Far  
29 North of Russia decreases with increase of air temperature in winter and spring (Mirvis, 1999). Work in tundra has  
30 become much more difficult given impediments of passing through melted tundra.

31  
32 Ice cover does not allow navigation of the ships. Navigation in the Arctic Ocean is only possible during the ice-free  
33 period of the northern coasts of Eurasia and North America. During periods of low ice concentration, ships are  
34 navigated towards ice-free passages, away from multi-year ice, that has accumulated over several years. Regional  
35 warming provides favourable conditions for sea transport going through the Northern Sea Route along the Eurasian  
36 coasts and through the Northwestern Passage in the north of Canada and Alaska (Impact of Climate Arctic, 2004). In  
37 September 2007, when the Arctic Sea ice area was extremely low, the Northwest Passage was opened up. In Russia,  
38 this enabled service of ports of the Arctic region and remote Northern regions (import of fuel, equipment, food,  
39 timber, and export of timber, oil, and gas). However, owing to deglaciation in Greenland, New Land and Northern  
40 Land, the number of icebergs may increase (Strategic Prediction, 2005; Assessment Report, 2008).

41  
42 Seasonal snow cover impacts the local climate through its insulating properties and high reflection and is highly  
43 variable. Over the past three decades, in Eurasia (and to a lesser extent North America) there has been an ongoing  
44 trend five to six less days per decade of snow days (Dye, 2002). These snow-free days occur primarily in spring.  
45 Projections from different climate models generally agree that these changes will continue with increasing  
46 temperatures (IPCC, 2007). Impacts are positive for agriculture as a result of increases in near-surface ground  
47 temperature, changes in the timing of spring meltwater pulses, meaning additional growth and ease of transportation  
48 (Anisimov et al., 2005).

49  
50 In the north of Eurasia, duration of snow cover has decreased in recent decades (Shmakin, 2010) and accumulation  
51 of snow in spring is capable to thaw rapidly and to cause flooding. The annual number of days with sharp warming  
52 has increased in the north of Eurasia. In such days there is a rapid thawing of snow (Shmakin, 2010).

53

1 The extreme warming in the Arctic leads to a shift of vegetation zones, bringing wide-ranging impacts and changes  
2 in species diversity, range, and distribution. In Alaska, over the last 50 years the confines of the forest zone have  
3 shifted to the North by 10 km displacing tundra zone (Tape et al., 2006; Sturm et al., 2001). In the mountain regions  
4 of North Sweden forests have shifted upwards by 60 m over a hundred years (Truong and Palm, 2006). As warming  
5 in the Russian Arctic degrades permafrost, vast territories of tundra may be replaced by taiga forests.

#### 6 7 *Floods*

8 From mid 1960s to the beginning of 1990s, winter runoff of the three largest rivers of Siberia (Yenisei, Lena, Ob;  
9 jointly making approximately 70 % of the global river runoff into the Arctic Ocean) has increased by 165 km<sup>3</sup>, i.e.  
10 about annual production of ground waters on a shelf of Pacific sector of Arctic regions (Savelieva et al., 2004).

11  
12 Changes in freshwater inflow to the system of Arctic Ocean - Northern Atlantic may affect the performance of the  
13 thermohaline circulation (THC). The processes occurring on the scale of the Arctic region are capable to change the  
14 climate system at the planetary scale (Knight et al., 2005; Vellinga and Wood, 2002).

15  
16 By 2150, an additional sea level rise of ~80cm around European coasts is evident in the THC-collapse simulation.  
17 By the end of the 21st century, the additional THC-related sea level rise is projected to be 50cm. If this is  
18 superimposed upon an approximate estimate of a regular greenhouse gas sea level rise for the same period, ~50cm,  
19 the additional financial requirement for European land protection and population relocation would be US\$670  
20 million per year, using calculations based on Stern (2007). The sign and magnitude of these sea-level rises are  
21 comparable with other investigations into the response of North Atlantic sea level to abrupt changes in the AMOC  
22 (Vellinga and Wood, 2007; Levermann et al., 2005).

23  
24 Rivers in Arctic Russia experience floods, but their frequency, stage and incidence are different in different parts of  
25 the Region, depending on flood formation conditions. Floods on the Siberian Rivers can be produced by a high  
26 wave of the spring flood and by rare rain or snow-rain flood, as well as by ice jams, hanging dams and combinations  
27 of factors.

28  
29 Maximum river discharge was found to decrease from the mid-20th century to the early 1980s in Western Siberia  
30 and the Far East (except for the Yenisei and the Lena rivers). However, in the last three decades, maximum  
31 streamflow values began to increase over most of Arctic Russia (Semyonov and Korshunov, 2006).

32  
33 Snowmelt and rain floods on the rivers in the Russian Arctic continue to be the most frequent cause of hazardous  
34 floods (85% of all hazardous floods in the past 15 years). Hazardous floods produced by ice jams and wind tides  
35 make up 10% and 5% of the total number of hazardous floods, respectively. In the early 21st century, the probability  
36 of catastrophic wind tide-related floods (Pomeranets, 2005) and ice jam-related floods increased. The damage from  
37 floods depends not only on their level, but also on the duration of exposure. On average, a flood lasts 5-10 days, but  
38 sometimes high water marks are recorded to persist longer, e.g. for 20 days or more (Semyonov and Korshunov,  
39 2006).

40  
41 An increased number of damage-causing floods was recorded in Western Siberia, 86, Eastern Siberia, 67, and in the  
42 Northern area, where 10 out of 17 floods occurred in the Arkhangelsk Region. (IPCC Assessment Report, 2008).

#### 43 44 *Coastal erosion*

45 Coastal erosion is a significant problem in the Arctic, where coastlines are highly variable and their dynamics result  
46 of environmental forcing (wind, waves, sea-level changes, sea-ice, etc.), geology, permafrost and other elements  
47 (Rachold et al., 2005).

48  
49 Any increases in already rapid rates of coastal retreat will have further ramifications on Arctic landscapes -  
50 including losses in freshwater and terrestrial wildlife habitats, in subsistence grounds for local communities, and in  
51 disappearing cultural sites, as well as adverse impact on coastal villages and towns. In addition, oil test wells are  
52 threatened (Jones et al., 2009).

1 The impact on local coastal communities is significant as they are facing a real threat of losing their homes and even  
2 their communities due to coastal erosion and SLR. Climate refugees may emerge if climate change significantly  
3 damages housing. There have already been climate refugees in the Arctic territories of the United States  
4 (Shishmaref) and Canada (Tuktyaktuk). Coastal erosion has also become a problem for residents of Inupiat and on  
5 the island of Sarichev (Russian Federation) (Revich, 2008). It would most likely be devastating to a local economy  
6 to move an entire village or town.

7  
8 The amount of coastal erosion along a 60km stretch of Alaska's Beaufort Sea doubled between 2002 and 2007.  
9 Contributing factors are; melting sea ice, increasing summer sea-surface temperature, SLR, and increases in storm  
10 power and in turn stronger waves (Jones et al., 2009).

11 It is apparent that ice-rich coastal bluffs are degrading faster than ice-poor coastal bluffs. An explanation for this  
12 phenomenon may be the recent trends toward increasing sea-surface temperatures and SLR.

13  
14 Permafrost degradation along the coast of the Kara Sea may lead to intensified coastal erosion, driving the coastline  
15 back by 2-4 meters per year (Anisimov and Lavrov, 2004). This coastline retreat poses considerable risks for coastal  
16 population centres in Yamal and Taymyr and other littoral lowland areas.

#### 17 18 19 **4.5.10. Small Island States**

##### 20 21 *Introduction*

22 Small island states, on the Pacific, Indian and Atlantic oceans, are regularly identified as being among the most  
23 vulnerable to climate change and climate extremes (e.g. Hyogo Declaration; Barbados Declaration, UNFCCC). In  
24 the light of current experience and model-based projections, small island states, with high vulnerability and low  
25 adaptive capacity, have legitimate concerns about their future (Mimura et al., 2007). Changes to climate means or  
26 variability may lead to extreme impact. Smallness renders island countries at risk of very high proportionate losses  
27 when impacted by disaster (Lewis, 1979; Pelling and Uitto, 2001).

28  
29 Climate-driven sea-level rise could lead to a reduction in island size, particularly in the Pacific (FitzGerald, 2008).  
30 Island infrastructure tends to predominate in coastal locations (Hess et al., 2008), e.g. in the Caribbean and Pacific  
31 islands, more than 50% of the population live within 1.5 km of the shore. Nearly all international airports, roads and  
32 capital cities in the small islands of the Indian and Pacific oceans and the Caribbean are sited along the coast, or on  
33 tiny coral islands. Sea-level rise exacerbates inundation, erosion and other coastal hazards, threatens vital  
34 infrastructure, settlements and facilities, and thus compromises the socio-economic well-being of island  
35 communities and states (Hess et al., 2008). There is also strong evidence that under climate change, water resources  
36 in small island states, especially those that are vulnerable to future changes and distribution of rainfall, will be  
37 seriously compromised (FitzGerald, 2008). For example, many small islands are likely to experience increased water  
38 stress as a result of climate change (Mimura et al., 2007, Kundzewicz et al., 2007, 2008).

39  
40 Since the early 1950s, by which time the quality of disaster monitoring and reporting improved in the Pacific Islands  
41 Region, there has been a general increasing trend in the number of disasters reported annually (Hay and Mimura,  
42 2010).

##### 43 44 *Demography and geography*

45 Pacific Island Countries and Territories (PICs), with total population of 9.7 million in 2009 exhibit considerable  
46 demographic variety. Almost 8.5 million people lived in Melanesia of which over 6.5 million lived in Papua New  
47 Guinea. At the other end of the scale there are some very small countries and territories with populations below  
48 2,000 people, such as Tokelau and Niue. Population densities vary, but tend to be lowest in the most populous  
49 Melanesian countries, and highest in the small atolls. Population growth rates also vary but tend to be higher in  
50 Melanesia. The projected regional population for 2050 is 18.2 million (SPC, 2009).

51  
52 PICs have a variety of characteristics rendering generalization difficult (see Table 4-15). One form of PICs is large  
53 inter-plate boundary islands formed by subduction and found in the south west Pacific Ocean. These may be  
54 compared to the Oceanic (or intra-plate) islands which were, or are being, formed over 'hot spots' in the earth's

1 mantle to volcanic high islands. Some of these are still being formed and some of which are heavily eroded with  
2 steep slopes and barrier reefs. Another form of PICs are atolls which consist of coral built on submerging former  
3 volcanic high islands, through raised limestone islands, former atolls stranded above contemporary sea-levels. Each  
4 island type has specific characteristics in relation to disaster risk reduction, with atolls being particularly vulnerable  
5 to tropical cyclones, where storm surges can completely inundate them and there is no high ground to which people  
6 may escape. In contrast the inter-plate islands are characterized by large river systems and fertile flood plains in  
7 addition to deltas, both of which tend to be heavily populated. Fatalities in most of the worst climate related disasters  
8 in the region have been mostly from river flooding. Raised atolls are often saved from the storm surge effects of  
9 tropical cyclones, but during Cyclone Heta which struck Niue in 2004, the 20m cliffs were unable to provide  
10 protection.

11  
12 [INSERT TABLE 4-15 HERE:

13 Table 4-15: Pacific Island type and exposure to risks arising from climate change.]  
14

#### 15 *Exposure*

16 Drought is a hazard of considerable importance in SISs. Atolls, in particular, have very limited water resources  
17 being dependent on their Ghyben-Herzberg fresh water lens, whose thickness decreases with sea-level rise (cf.  
18 Kundzewicz et al., 2007, 2008), floating above sea water in the pervious coral, and is replenished by convectional  
19 rainfall. High islands in PICs are characterized by orographic rainfall and a distinct wet (east) – dry (west) pattern  
20 emerges reflected in spatial differences in agriculture, with taro (wet) and yams (dry) epitomizing the divergence.  
21 During normal conditions the western Pacific tends to be wetter than the central and eastern parts, though this trend  
22 is reversed during El Niño events which give rise to serious droughts in the western Pacific, and possible devastating  
23 frosts in the Papua New Guinea Highlands (ref), the most densely populated region in the country, dependent upon  
24 sweet potatoes. During drought events, water shortages in SISs become acute (on atolls in particular), resulting in  
25 stringent rationing in some cases and the use of emergency desalinization units in the most extreme cases (ref). In  
26 the most pressing circumstances, communities of SISs drink coconut water at the cost of copra production.  
27

28 While the focus of this report is on climatic extremes and sea-level rise and variability, geological disasters must  
29 also be considered, since many of the SISs located along the plate boundaries are exposed to high levels of  
30 seismological activity and there are several active volcanoes. Tsunami is a risk, but for coastal communities near to  
31 seismologically active areas, tsunamis pose a greater threat given the short warning time available. The magnitude  
32 of tsunami events may be increased by sea level rise and by coral reef degradation linked ultimately to warming  
33 temperatures (see Section 4.3.3.1).  
34

#### 35 *Changing vulnerabilities*

36 Communities in PICs traditionally had a range of measures that helped them to cope with the suite of disasters in the  
37 region (Campbell, 1985; 1990; 2006). While some of these measures may have been purposeful adjustments to a  
38 hazardous environment it is likely that many were incidental. Food security was sustained by producing and storing  
39 surpluses. Diverse agro-ecosystems and garden fragmentation reduced overall vulnerability to extremes and famine  
40 foods were regularly eaten when shortages occurred. In many parts of the region dwellings were built with hipped  
41 roofs, strongly lashed posts and limited spaces for air to enter during high wind events. In Fiji, traditional houses are  
42 built on a mound known as a *yavu* some being several metres high, depending on the status of the household. While  
43 not a purposeful disaster reduction measure, *yavu* helped protect houses from river and coastal flooding.  
44 Traditionally, many high island communities lived inland on fortified ridges, for example, but were encouraged to  
45 move to the coast to facilitate colonial and missionary objectives, and thereby increasing exposure to storm surges.  
46

47 With the advent of colonialism, the cash economy enabled communities to purchase food rather than store it. The  
48 main commercial crop, coconuts for copra production, took land away from food crop production and introduced a  
49 vulnerable component to the cash economy: coconut palms, while resilient to high winds, often lose their fruit which  
50 can take up to seven years to regenerate (a long period without commercial income). With the expansion of  
51 commercial agriculture, subsistence farming has been constrained and in many areas soil fertility has declined and  
52 tapioca has become the dominant crop replacing the more nutritious and wind resistant taro and yam staples. Surplus  
53 food production is now uncommon in the region. Ironically, tapioca was introduced to many PICs as post-disaster  
54 rehabilitation planting material.

1  
2 Urbanization has increased rapidly in the past two decades (Connell and Lea, 2002), and is changing the nature of  
3 vulnerability in many PICs. As urban populations grow so do the size of the squatter settlements which are often  
4 characterized by houses that are highly vulnerable to wind damage and are often located in flood (river and coastal)  
5 prone low-lying areas or on steep and unstable slopes. Urban planning is poorly developed in much of the region  
6 and where it is practiced often natural hazards are not a key consideration. At the same time most current disaster  
7 risk management in PICs has a rural focus and while some traditional coping mechanisms remain in rural areas, they  
8 are less likely to be maintained in the towns. Climate change induced migration is likely to cause further increases in  
9 urban populations exacerbating urban disaster vulnerability.

#### 10 11 *Impacts*

12 The main impacts from climatic extremes in PICS are damage to structures, infrastructure and crops during tropical  
13 cyclones and crop damage and water supply shortages during drought events. On atolls, salinisation of the  
14 freshwater lens and garden areas is a serious problem following storm surges, high wave events and 'king' tides. In  
15 the 2000s there were 56 disaster events listed in the ReliefWeb (2010) disaster history records, of which 35 were  
16 climate related (although four of the remainder were landslides which may have been triggered by heavy rains or by  
17 seismic activity). Two of the remaining 17 geological were tsunamis the effects of which may be increased by sea  
18 level rise and coral degradation. The death toll in climate related events in the 2000s in the region was 324 people.  
19 These events affected at least 690,000 people (97 per cent of all natural disasters) and 66,000 were displaced. No  
20 data on fatalities are available for the period of severe and widespread drought associated with the 1997-98 El Niño  
21 events.

22  
23 Regional costs for PICs are reviewed in Section 4.5.7.

#### 24 25 *Disaster management*

26 Disaster relief began in the colonial period but tended to be ad hoc and reactive and contributed to the neglect of  
27 some of the traditional measures. Food preservation has declined as well as use of famine foods. With the advent of  
28 independence, relief became more important. Newly independent governments faced with disasters increased the  
29 provision of relief and became increasingly dependent upon externally derived assistance.

30  
31 However, major investments in disaster preparedness and response in recent decades in many small island states  
32 have resulted in a decline in the number of fatalities per disaster. However, population growth and relocation, often  
33 into risk areas, have contributed to an overall trend of more people being affected by disasters. Encouragingly,  
34 economic losses per disaster have also been consistently low in recent decades (Hay and Mimura, 2010).

35  
36 Over the past decade the scale and scope of relief operations have increased significantly with coordination by  
37 UNOCHA and UNDP, the involvement of a large number of NGO humanitarian organizations and internet appeals  
38 launched within hours of the major events' occurrence. While contemporary island communities have lost many of  
39 their traditional coping mechanisms and have become increasingly reliant on relief they still show a remarkable  
40 degree of resilience in the face of disaster.

## 41 42 43 **4.6. Total Cost of Climate Extremes and Disasters**

### 44 45 **4.6.1. Economic, Social, and Environmental Consequences of Extremes and Disasters**

46  
47 The following subsection focuses on the economic impacts of weather extremes and disasters on humans, societies  
48 and ecosystems. These comprise of observed and projected economic impacts, including economic losses and future  
49 trends of extreme events and disasters in key regions. The subsection stands at an interface between chapters,  
50 utilizing the conceptual framework of Chapters 1-2, the scientific foundation of Chapter 3 and earlier subsections in  
51 this chapter, and leads into the following adaptation Chapters 5-8.

52  
53 The total costs are defined as the economic, social and environmental impacts of a climate extreme or disaster. In the  
54 language of this section, total costs consist of all direct, indirect and intangible costs or impacts.

#### 4.6.1.1. Framing the Social and Economic Impacts of Extremes

Economic impacts, generally measured as costs, from *climatic extreme events and disasters* arise due to disaster impacts, as well as the efforts associated with adaptation. In line with general definitions in the report in Chapters 1 and 2, economic disaster *risk* may be defined as the *potential* economic cost usually measured by a probability distribution taking account of hazard, exposure and vulnerability. There are different definitions in the literature, but economic costs can generally be broken down into damage costs or losses, adaptation costs, and residual damage costs.

“From an economic perspective, a disaster implies some combination of *losses* in terms of human, physical, and financial capital, and a reduction in economic activity, such as income and investment, consumption, production, and employment in the “real” economy” (Benson and Clay, 2003).

The *economic impact of extremes and disasters* on economies, societies and ecosystems can be the observed or modeled impacts, and measured as the loss of economic assets or stocks, as well as consequential indirect effects on economic flows, such as on GDP or consumption (ECLAC, 2003). Note that impacts on the informal or undocumented economy may be very important in some areas and sectors. Economic impacts can be identified as direct when stocks are impacted and indirect when flows are affected. Many important impacts are difficult to measure as they are not given monetary values such as human lives, cultural heritage and ecosystem services. These items are often referred to as intangibles (Cavallo and Noy, 2010; World Bank, 2010; Benson and Clay, 2003; ECLAC, 2003; Handmer et al. 2003; Pelling et al., 2002).

Direct economic losses, or damage costs, refer to the physical destruction of assets, including private dwellings, small business properties, industrial facilities, and government assets, such as infrastructure (e.g. roads, bridges, ports, telecommunications) and public facilities (e.g. hospitals, schools) (ECLAC, 2003; World Bank/UN, 2010). Direct losses are often defined as those that are a direct consequence of the natural phenomenon (i.e., an earthquake, a flood, or a drought), including “fixed assets and capital (including inventories), damages to raw materials and extractable natural resources, and of course mortality and morbidity” (Cavallo and Noy, 2010). Direct impacts are comparatively easy to measure, but costing approaches are not necessarily standardized and assessments are often incomplete, which can make aggregation and comparability across the literature difficult. In some countries flood impact assessment has long been standardized, for example in Britain and parts of the US (e.g. Handmer et al., 2002).

Indirect damage costs or losses refer to the impacts on economic activity, in particular the production of goods and services, that will not take place following the disaster (ECLAC, 2003; UN/World Bank, 2010). In addition, business pessimism could dampen investment and consequently growth (Gaiha, Hill & Thapa, 2010). These indirect damages may be caused by the direct damages to physical infrastructure, or because reconstruction pulls resources away from production. Indirect damages includes additional costs incurred from the need to use alternative and potentially inferior means of production and/or distribution of normal goods and services (Cavallo and Noy, 2010). Indirect impacts generally refer to disruption of the flows of goods and services (and therefore economic activity) because of a disaster, and are sometimes termed consequential or secondary impacts as the losses typically flow from the direct impact of a climate event. For example electricity transmission lines may be destroyed by wind, a direct impact, causing a key source of employment to cease operation putting many people out of work and in turn creating other problems which can be classified as indirect impacts. These impacts can emerge later in the affected location, as well as outside the directly affected location (Cavallo and Noy, 2010; Pelling et al., 2002; ECLAC, 2003). These include both negative and positive factors, such as transport disruption, mental illness or bereavement resulting from disaster shock, and rehabilitation, health costs, reconstruction and disaster proof investment, including new employment in a disaster-hit area (disaster recovery booming). Other examples of indirect losses are long running droughts inducing local economic decline, out migration or famine, the partial collapse of irrigation areas or livelihoods dependent on hydro electricity.

Many important impacts are difficult to measure in money terms as they are not normally traded in markets such as human lives, cultural heritage and ecosystem services. These items are often referred to as intangibles (Benson and

1 Clay, 2003; 2010; Cavallo and Noy, 2010; Pelling et al., 2002; ECLAC, 2003; Handmer et al. 2003). Intangible  
2 losses must be estimated using valuation techniques such as loss of life/morbidity (usually estimated using value of  
3 statistical life benchmarks), replacement value, benefits transfer, contingent evaluation, travel cost, hedonic pricing  
4 methods, and so on (there is a vast literature on this subject, e.g., Pagiola et al. 2004; Carson *et al.*, 2003; Handmer *et*  
5 *al.*, 2002; Ready and Navrud, 2006; TEEB, 2009). Tangibles are those for which markets normally exist and are  
6 therefore conventionally expressed in terms of money, or in the case of barter informal economies, could be  
7 expressed in money.

8  
9 Studies and reports on the economic impacts of extremes, such as insurance or emergency reports, have mostly  
10 focused on direct losses. However, the loss from indirect impacts and intangible impacts could far outweigh direct  
11 impacts, considering the losses from social goods and natural capital (in particular ecosystem services), as well the  
12 longer term economic impact of disasters. Indirect economic loss assessment methodologies exist but with large  
13 uncertainty and method-dependent results. Assessing intangible impacts in the social, cultural and environmental  
14 fields is more difficult and there is little agreement on methodologies (Albala-Bertrand, 1993; Tol, 1994; Masozera  
15 et al, 2007; Schmidt et al, 2009; Hall et al, 2003; Huigen and Jens, 2006). The World Bank (2010b) points out that  
16 indirect effects—including in areas outside the disaster zone—are not all adverse. Measuring disasters’ many effects  
17 is problematic, prone to both overestimation (for example, double counting) and underestimation (it is difficult to  
18 value loss of life, or damage to the environment). Biases also affect the accuracy of estimates, for example the  
19 prospect of aid may create incentives to inflate losses.

20  
21 *Adaptation costs are the costs* of planning (e.g. warnings), preparing for (e.g. risk prevention and reduction),  
22 facilitating (e.g. emergency disaster responses), and implementing adaptation measures (including transition costs,  
23 rehabilitation and reconstruction) (IPCC, 2001). The benefits of adaptation can generally be assessed as the value of  
24 avoided damage as well as any additional benefits generated by the implementation of adaptation measures (IPCC,  
25 2001; also see Section 2.4.2). The value of all avoidable damage can be taken as the gross (or theoretically  
26 maximum) benefit of risk management, which may be feasible but not necessarily economically efficient (Parry, et  
27 al, 2009; Pearce et al, 1996; Tol, 2001). The *adaptation deficit* is identified as the gap between current and optimal  
28 levels of adaptation to climate change events or extremes (Burton and May, 2004). However, it is difficult to assess  
29 the optimal adaptation level due to the uncertainties inherent in climate scenarios, about the future patterns of  
30 exposure and vulnerability to climate events, and debate over methodological issues such as discount rates. In  
31 addition, as social values and technologies change what is considered avoidable also changes adding additional  
32 uncertainty to future projections.

33  
34 In the adaptation literature, residual damage costs or losses can be distinguished from avoidable losses (Parry et al.  
35 2009). The residual damage is the loss that would not, or cannot, be avoided when all desirable adaptation actions  
36 have been implemented.

#### 37 38 39 4.6.1.2. *Extremes, Impacts, and Development*

40  
41 The relationship between socio-economic development and disasters including those triggered by climatic events  
42 has been explored by a number of researchers (Tol and Leek, 1999; Burton, et al, 1993; Albala-Bertrand, 1999;  
43 Kahn, 2005; Benson and Clay, 1998, 2003; Kellenberg and Mobarak, 2008; Rasmussen, 2004; Toya and Skidmore,  
44 2007; Raschky, 2008; Lester, 2008; Cavallo, Noy, 2010; Pelling et al, 2002; Okuyama, Sabin, 2009; Sanghi, 2010).  
45 Nevertheless, due to lack of data availability and incomparable methodologies, understanding disaster consequences  
46 remains limited.

47  
48 The scale and magnitude of the economic impacts of natural disasters can be estimated by the following factors  
49 (OAS, 1991; Mechler, 2004; Gurenko, 2004; Cummins and Mahul, 2008; Benson and Clay, 2004): (i) type of  
50 natural event; (ii) exposed population and assets to a specific climatic event (iii) concentration of economic activity  
51 (e.g. large urban agglomerations); (iv) size of geographical area impacted; (v) technical and scientific development;  
52 and (vi) institutional capacity in risk management and governance.



1 It has been suggested that natural disasters may have some impacts on the pace and nature of economic development  
2 (Benson and Clay, 1998, 2003; Kellenberg and Mobarak, 2008). (The “poverty trap” created by disasters will be  
3 discussed in chapter 8). A growing literature has emerged that identifies these important adverse macroeconomic  
4 and developmental impacts of natural disasters (Cuny 1984; Cochran 1994; Otero and Marti, 1995; Benson, 1997a,  
5 b, c; Benson and Clay, 1998, 2000, 2001, 2004; ECLAC 1982, 1985, 1988, 1999, 2002; Murlidharan and Shah,  
6 2001; Crowards, 2000; Charveriat, 2000; Mechler, 2004; Hochrainer, 2006). It is apparent that natural disasters have  
7 a negative impacts on short term economic growth (Cavallo and Noy, 2010; Raddatz, 2007; Noy, 2009), however  
8 the evidence on impacts on short term economic growth is mixed, with both negative effects (Cavallo and Noy,  
9 2010; raddatz, 2007; Noy, 2009) and positive effects (Albala-Bertrand, 1993, 2006; Caselli and Malhotra, 2004;  
10 Skidmore and Toya, 2002; see Section 4.2). Researchers argue that poorer developing countries and smaller  
11 economies are more likely to suffer more from future disasters than developed countries, especially in relation to  
12 extreme impacts (Raddatz, 2009; Hallegatte et al, 2007; Hallegatte and Dumas, 2009; Heger et al, 2008; Loayza et  
13 al, 2009).

14  
15 In general, the observed or modeled relationship between development and disaster impacts indicates that a  
16 wealthier country is better equipped to manage the consequences of extreme events by reducing the likely impacts  
17 and by managing the impacts when they occur. This is due (inter alia) to higher income levels, more governance  
18 capacity, higher levels of expertise, amassed climate proof investments and improved insurance systems which can  
19 act to transfer costs in space and time (Wildavsky, 1988; Rasmussen, 2004; Tol and Leek, 1999; Burton, et al, 1993;  
20 Albala-Bertrand, 1999; Toya and Skidmore, 2007; Raschky, 2008; Brooks, Adger, Kelly, 2005; Kahn, 2005; Lester,  
21 2008; Noy, 2009). While the countries with high income account for most of the total economic and insured losses  
22 of disasters (Swiss Re, 2010), in developing countries there are higher fatality rates and the impacts consume a  
23 greater proportion of GDP. This in turn imposes a greater burden on governments and individuals in developing  
24 countries. For example, during the 25 year period from 1979 to 2004 over 95% of deaths from natural disasters  
25 occurred in developing countries and direct economic losses averaged US\$54 billion per annum (Mechler, 2010;  
26 Freeman, 2000; World Bank, 2001; Cavallo and Noy, 2009).

27  
28 The general consensus is that developing countries are more vulnerable than developed countries to extremes under  
29 climate change largely because: (i) developing countries have less resilient economies that depend more on natural  
30 capital and climate-sensitive activities (cropping, fishing, etc) (IPCC, 2007); (ii) they are often poorly prepared to  
31 deal with the climate variability and natural hazards they currently face (World Bank 2000); (iii) more damages are  
32 caused by mal-adaptation due to the absence of financing, information, techniques in risk management and weak  
33 governance systems; (iv) there is generally little consideration of climate proof investment in regions with a fast  
34 growing population and asset stocks (such as in coastal areas) (OECD, 2008; IPCC, 2001b); (v) the adaptation  
35 deficit resulting from the low level of economic development (World Bank, 2007); and (vi) large informal sectors.  
36 However, in some cases like Hurricane Katrina in New Orleans US (as mentioned in 4.6.3), developed countries  
37 also suffer severe disasters because of social vulnerability and inadequate disaster policy (Birch and Wachter 2006;  
38 Cutter and Finch, 2008).

39  
40 While some literature has found that the relationship between income and natural disaster consequences is not linear  
41 in particular for geophysical or seismic hazards (Kellenberg and Mobarak, 2008; Patt et al, 2009), much empirical  
42 evidence supports a negative relationship between the relative share of GDP and fatalities, with fatalities from  
43 hydro-meteorological extreme events falling with rising level of income (Kahn, 2005, Toya and Skidmore, 2007;  
44 World Bank, 2010; Gaiha, Hill & Thapa, 2010). Some emerging developing countries, such as China, India and  
45 Thailand, will likely face increased future exposure to extremes, especially in highly urbanized areas. This comes as  
46 a result of the rapid urbanization and economic growth in those countries (OECD, 2008; Bouwer et al., 2007).

47  
48 It should be also be noted the fact that in a small country, a disaster can directly affect much of the country and  
49 therefore the magnitude of losses and recovery demands can be extremely high relative to GDP and public financial  
50 resources. This is particularly the case in the event of multiple and/or consecutive disasters in short periods. For  
51 example, in Fiji, consecutive natural disasters have resulted in reduced national GDP as well as decreased  
52 socioeconomic development as captured by the human development index (Lal et al., 2009). In Mexico, natural  
53 disasters saw the Human Development Index (HDI) regress by approximately two years and an increase in poverty

1 levels (Rodriguez-Oreggia et al, 2009). Patt et al. (2009) indicated that the vulnerability in the least developing  
2 countries will rise most quickly, which implies an urgent need for international assistance.

3  
4 Costs and impacts not only vary among developing and developed countries, but between and within countries,  
5 regions, local areas, sectors, systems and individuals due to the heterogeneity of vulnerability and resilience (see  
6 Chapter 2). Some individuals, sectors, and systems would be less affected, or may even benefit, while other  
7 individuals, sectors, and systems may suffer significant losses in the same event. In general, the poorest and those  
8 who are socially or economically marginalised will be the most at risk in terms of being exposed and vulnerable  
9 (Wisner et al. 2004). For example, women and children are found to be more vulnerable to disasters in many  
10 countries, with larger disasters having an especially unequal impact (Neumayer and Plumper, 2007).

#### 11 12 13 **4.6.2. Methodologies for Evaluating Disaster Impacts and Adaptation Costs**

##### 14 15 *4.6.2.1. Methods and Tools for Evaluating Impacts*

16  
17 Modeling disaster impacts generally involves generating an estimate in terms of risk using probability based metrics.  
18 Analyses considering climate change in economic impact and risk modeling have only emerged over the last few  
19 years, and, as reported in 2007 by Solomon et al., much of the literature remains focused on gradual changes such as  
20 sea-level rise and agricultural effects. In early work, extreme event risks in adaptation studies and modeling have  
21 usually been represented in an ad hoc manner, using add-on damage functions that are based on averages of past  
22 impacts and contingent on gradual temperature increase (see comment in Nordhaus and Boyer, 2000). However,  
23 new studies are becoming available, that look explicitly at extreme events in assessment models that take a more  
24 integrated view (Nordhaus, 2010; Narita et al., 2009; Narita et al. 2010; Hallegatte et al., 2008; Mechler et al.,  
25 2010).

26  
27 In most impact and modeling studies on extreme event risks, the focus has been on tangibles, such as impacts on  
28 produced capital and economic activity. Intangibles such as loss of life and impacts on the natural environment are  
29 generally not considered using monetary metrics (Parry et al., 2009). Loss of life due to natural disasters, including  
30 future changes, is accounted for in some studies (e.g. BTE, 2001; Handmer et al, 2008; Jonkman, 2007; Jonkman et  
31 al., 2008; Maaskant et al., 2009). Estimates of impacts that account for tangibles and intangibles are likely to be  
32 much larger than those that consider tangible impacts only (Handmer et al. 2008; Parry et al. 2009). The gap  
33 between likely impacts and those used in studies will be greater if only direct impacts are counted. For example, a  
34 recent study on future expected damages from tropical cyclones as a result of climate change measure solely direct  
35 impacts (Mendelsohn et al, 2010).

36  
37 At a simple level approaches for the economic valuation for the impacts caused by extremes and disasters at the  
38 national, regional and global level fall into two categories: a “top down” approach that uses models of the whole  
39 economy under study; and a bottom-up or partial equilibrium approach that identifies and values changes in specific  
40 parts of an economy (Van der Veen, 2004).

41  
42 The top-down approach is grounded in macroeconomics under which the economy is described as an ensemble of  
43 interacting economic sectors. Most studies have focused on impact assessment remodeling actual events in the past  
44 and aim to estimate the various, often hidden follow-on impacts of disasters (e.g. Yezer and Rubin, 1987; Ellson et  
45 al., 1984; West and Lenze, 1994; Brookshire et al., 1997; Chang et al., 1997; Guimaraes et al., 1993; Rose 2007;  
46 Okuyama, 2008; Hallegatte et al., 2007). Existing macroeconomic or top-down approaches utilize a range of models  
47 such as Input-Output, Social Accounting Matrix (SAM) multiplier, Computable General Equilibrium (CGE) models,  
48 economic growth frameworks and simultaneous-equation econometric models. These models attempt to capture the  
49 impact of the extreme event as it is felt throughout the whole economy. Only a few models have aimed at  
50 representing extremes in a risk-based framework in order to assess the potential impacts of events if certain small or  
51 large disasters should occur (Freeman et al., 2002a; Mechler, 2004; Hochrainer, 2006; Hallegatte and Ghil, 2007;  
52 Hallegatte, 2008).

1 The bottom-up approach, derived from microeconomics, scales up data from sectors at the regional or local level to  
2 aggregate an assessment of disaster costs and impacts (see Van der Veen, 2004). The bottom-up approach to disaster  
3 impact assessment attempts to evaluate the impact of an actual or potential disaster on consumer's willingness to pay  
4 (or willingness to accept). This approach values direct loss of or damage to property, as well as that of the  
5 interruption to the economy, impacts on health and wellbeing, on environmental amenity and ecosystem services. In  
6 short, it attempts to value the impact of the disaster to society.

7  
8 How disaster impacts are evaluated depends on numerous factors, such as the types of impacts being evaluated, the  
9 objective of the evaluation, the spatial and temporal scale under consideration, and importantly, the information,  
10 expertise and data available. In practice, the great majority of post- disaster impact assessments are undertaken  
11 pragmatically using whatever data and expertise are available. These are then aggregated on a partial equilibrium  
12 basis.

13  
14 The first step in disaster impact assessment of this kind is to establish the spatial and temporal scale of the analysis.  
15 Analysts must be clear about and consistent in their treatment of costing property and infrastructure loss. It is  
16 important to note that macroeconomic approaches such as CGE models look only at market dynamics and as such  
17 do not capture intangibles such as impacts on ecosystems. A Leontief input output or SAM multiplier approach  
18 might be able to capture these impacts, but in practice they are rarely used. It may be that the largest impacts of  
19 disasters are the intangible losses such as lives, ecosystem services, anxiety, heritage etc. These impacts are  
20 considered intangible because there is no direct market for them, and as such their values cannot be directly  
21 observed in the market place. There is however a body of work dedicated to attaching a monetary value to  
22 intangibles so that they may be included in impact assessments and cost-benefit analysis (see section 4.6.1.1). Many  
23 studies utilise both partial and general equilibrium analysis in an 'integrated assessment' that attempts to capture  
24 both the bottom-up and economy-wide impacts of disasters (World Bank, 2010; Ciscar et al, 2009).

#### 25 26 27 4.6.2.2. *Methods and Tools for Evaluating the Cost of Adaptation*

28  
29 Adaptation costs have been mainly assessed using two approaches: (i) determining the pure *financial costs*, i.e.  
30 outlays necessary for specific adaptation interventions (known as *Investment and Financial Flow (I&FF) analyses*);  
31 and (ii) *economic costs involving estimating* the wider overall costs and benefits to society often using economic  
32 Integrated Assessment Models (IAM). The latter approach leads to a broader estimate of costs (and benefits), but  
33 requires detailed models of the economy under study, and has therefore often found application in country level  
34 studies (UNFCCC, 2008). One way of measuring the costs of adaptation involves first establishing a baseline  
35 development path (for a country or all countries) with no climate change, and then altering the baseline to take  
36 account of the impacts of climate change (World Bank, 2010). Then the likely impacts of various adaptation  
37 strategies on development or growth can be examined. Adaptation cost estimates are based on various assumptions  
38 about the baseline scenario and the effectiveness of adaptation measures. The difference between these assumptions  
39 makes it very difficult to compare or aggregate results (Yohe, et al, 1996; 1995, 2011; West et al., 2001).

40  
41 An example illustrating the methodological challenges comes from agriculture, where estimates have been done  
42 using various assumptions of adaptation behavior (Schneider, S.H., K. Kuntz-Duriseti, C.Azar, 2000). These  
43 assumptions about behaviour range from the farmers who do not react to observed changes in climate conditions  
44 (especially in studies that use crop yield sensibility to weather variability) (Deschenes, 2007; Lobell, D.B., M. B.  
45 Burke, C. Tebaldi, M. D. Mastrandrea, W. P. Falcon, R.L. Naylor, 2008; Schlenker, 2010), to the introduction of  
46 selected adaptation measures within crop yield models (IFRI, 2009; Rosenzweig, 1994), to the assumption of  
47 "perfect" adaptation – that is that farmers have complete or "perfect" knowledge and apply that knowledge in ways  
48 that ensure outcomes align exactly with theoretical predictions (Kurukulasuriya, 2008a; Kurukulasuriya, 2008b;  
49 Mendelsohn, 1999; Seo, 2008). Realistic assessments fall between these extremes, and a realistic representation of  
50 future adaptation patterns depends on the in-due-time detection of the climate change signal (Hallegatte, 2009;  
51 Schneider, S.H., K. Kuntz-Duriseti, C.Azar, 2000); the inertia in adoption of new technologies (Reilly, 2000); the  
52 existence of price signals (Fankhauser et al., 1999); and use of realistic behaviour by farmers.

1 National level studies of adaptation effectiveness in the EU in the UK, Finland and the Netherlands as well as a  
2 larger number of developing countries using the NAPA (National Adaptation Plan of Action) approach have been  
3 conducted or are underway (Lemmen et al, 2008; MMM, 2005; Van Ierland, 2005; DEFRA, 2006; UNFCCC,  
4 2009). Yet, the evidence base on the economic aspects including economic efficiency of adaptation remains limited  
5 and fragmented (Adger et al., 2007; Agrawala and Fankhauser, 2008; Moench et al., 2009; UNFCCC, 2009). Many  
6 adaptation studies focus on sea level rise and slow onset impacts for agriculture. Those studies considering extreme  
7 events, and finding or reporting net benefits over a number of key options (UNFCCC, 2009; Agrawala and  
8 Fankhauser, 2008), do so by treating it similar to gradual onset phenomena and use deterministic impact metrics,  
9 which is problematic for disaster risk. A recent, risk-focused study (ECA, 2009) concentrating on national and  
10 subnational levels went so far as to suggest an adaptation cost curve, which organizes relevant adaptation options  
11 around their cost benefit ratios. However, given available data including future projections of risk and the  
12 effectiveness of options is likely to be at most heuristic rather than a basis for policy.  
13

### 15 *Disasters and cost-benefit analysis*

16  
17 Cost-benefit analysis (CBA) is an established tool for determining the economic efficiency of development  
18 interventions. CBA compares the costs of conducting such projects with their benefits and calculates the net benefits  
19 or economic efficiency (Kramer 1996; Benson and Twigg 2004; FEMA 2007). All costs and benefits are monetized  
20 so that tradeoffs can be compared with a common measure. Ideally CBA accounts for all costs and benefits to  
21 society including environmental impacts, not just financial impacts on individual businesses (Mechler et al, 2008).  
22 The fact that intangibles and other items that are difficult to value are often left out is one of the major criticisms of  
23 the approach. And World Bank (2010b) notes while arriving at the right choice when disaster prevention saves lives  
24 requires valuing them, ethical and philosophical factors must be considered in attaching a value to life. In the case of  
25 disasters and DRR interventions, CBA weighs the costs of the DRR project against the disaster damage costs  
26 avoided. While the benefits created by development interventions are the additional benefits due to, for example,  
27 improvements in physical or social infrastructure, in DRM the benefits are mostly the avoided or reduced potential  
28 damages and losses (Altay et al. 2004). The net benefit can be calculated in terms of net present value, the rate of  
29 return or the benefit-cost ratio.  
30

31 OECD countries such as the United Kingdom and the United States, as well as international financial institutions  
32 such as the World Bank, Asian Development Bank and Inter-American Development Bank, have used CBA  
33 frequently for evaluating DRM in the context of development assistance (ADB 2003; Venton and Venton 2004;  
34 Ghesquiere et al. 2006; Montes et al. 2006), and use it routinely for assessing engineering DRM strategies  
35 domestically. CBA can be, and has been, applied at any level from the global to local.  
36

37 Because disaster events are probabilistic, and hence benefits of DRR are probabilistic, costs and benefits should be  
38 calculated by multiplying probability by consequences; this leads to risk estimates that account for hazard intensity  
39 and frequency, vulnerability and exposure (Altay et al., 2004; Ghesquiere et al., 2004).  
40

41 There are several complexities and uncertainties inherent in the estimates required for a CBA of DRR. As these are  
42 compounded by climate change, CBA's utility in evaluating adaptation may be reduced. Limitations in the  
43 modelling of weather extremes, and data and resource limitations are two key challenges. In addition to the point  
44 raised earlier that traditionally CBA does not handle non-monetary impacts (intangibles) well, it is important to note  
45 that as CBA does not account for the distribution of costs and benefits, equity and distributional impacts must be  
46 established separately. Furthermore, while CBA ideally accounts for all impacts on social welfare, establishing value  
47 for intangible impacts such as those on ecosystem services poses a methodological and resource challenge. Finally  
48 the issue of discounting the future is a key issue for CBA because essentially higher discount rates favor strategies  
49 with rapid payoffs, while very low rates favour strategies that provide benefits over a long time horizon (Kramer,  
50 1995; Handmer and Thompson, 1997; Benson and Twigg, 2004; Venton and Venton, 2004; Mechler et al, 2008;  
51 UNFCCC, 2008).  
52

1 Moench et al (2009) argue that due to the challenges and complexities inherent in the use of CBA for DRR it is  
2 more useful as a decision support tool that helps the policy-maker categorize, organise, assess and present  
3 information on the costs and benefits of a potential project, rather than one that gives a definite answer.  
4  
5

#### 6 **4.6.3. Estimates of Global and Regional Costs**

7

8 Much work has been conducted on the analysis of direct economic losses from natural disasters. The examples  
9 mentioned below mainly focus on national and regional economic loss of particular weather extremes and disasters,  
10 and also discuss some uncertainty issues related to the economic impact assessment.  
11

##### 13 *4.6.3.1. Overview of the Regional and Global Economic Loss of Climate Disasters (observed and potential trends)*

14

15 *Observed trends in extreme impacts:* Global observed climate related disaster impacts over the last few decades  
16 reflect mainly monetized direct damages to assets, and are unequally distributed. Annual accumulated estimates  
17 have ranged from a few billion to about 250 billion USD (in 2009 values) for 2005 (the year of Hurricane Katrina  
18 (see Section 4.2.4, Munich Re, 2010; Swiss Re 2010; UN-ISDR, 2009). These estimates do not include indirect and  
19 intangible losses.  
20

21 There is a consensus that developing regions are vulnerable both because of climate-related extremes and their status  
22 as developing economies as set out above in Sections 4.2. (Also see Chapter 3 for details of climate events.)  
23 However, disaster impacts are unevenly distributed by type of disaster, region, country and the exposure and  
24 vulnerability of different communities and sectors.  
25

26 *Percentage of global damages by regions:* The concentration of disaster risk generally has a geographical focus  
27 (Swiss Re, 2008, WB 2010, etc). However, the distribution of evaluated impacts is fragmented due to the difficulty  
28 in attributing causes of fluctuations in economic losses from disasters, and an imbalanced spatial coverage by the  
29 relevant literature, which is skewed mostly toward developed countries and the northern hemisphere. Based on the  
30 numbers and damage losses of disasters, the unequal distribution of the human impact of natural disasters is  
31 reflected in the number of disasters and damage losses between regions (see Table 4-16). The Americas suffered the  
32 most economic damage from climatological, meteorological and hydrological disasters, accounting for a highest  
33 proportion (54.6%) of the total damages, followed by Asia (27.5%) and Europe (15.9%). Africa accounted for only  
34 0.6% of global economic damages (annual average) from climatic related disasters in the period of 2000-2008 (Vos  
35 et al, 2010).  
36

37 [INSERT TABLE 4-16 HERE:

38 Table 4-16: Climate Related Disaster Occurrence and Regional Average Impacts From 2000-2008]  
39

40 *Damage losses percentage of GDP by regions:* When expressed as a proportion of exposed GDP, estimated losses of  
41 natural disasters (predominantly hydro-meteorological disasters) in developing regions (particularly in East and  
42 South Asia and the Pacific, Latin America and the Caribbean) are several times higher than those in developed  
43 regions. This indicates a far higher vulnerability of the economic infrastructure in developing countries (UNISDR,  
44 2009b; Cavallo and Noy 2009) (see Figure 4-14). For example, OECD countries account for 71.2% of global total  
45 economic losses of tropical cyclones, but only suffer 0.13% of estimated annual loss of GDP from 1975-2007  
46 (UNISDR, 2009b).  
47

48 [INSERT FIGURE 4-14 HERE:

49 Figure 4-14: Distribution of Regional Damages as a % of GDP (1970-2008) (Source: EM-DAT, WDI database,  
50 calculated by Cavallo and Noy, 2009)]  
51

52 *Increasing trends in disaster impacts and climate change:* It has been found that in recent decades there is an  
53 increasing trend in reported extremes events. This is coupled with an increasing numbers of people affected and  
54 overall economic losses from weather related disasters, which have increased more rapidly than losses from non-

1 weather disasters (Munich Re, 2008; Swiss Re; 2008; 2009; 2010; Mills, 2005). It is suggested that changing  
2 frequency of extreme weather is already noticeable in loss records (see Figure 4-15).

3  
4 However, attribution of changes in disaster impacts to climate has proven difficult and the weight of evidence at  
5 present is that increases should not be attributed to climate change. The issues are reviewed in Section 4.2.4.

6  
7 There are a number of issues with these analyses. Quantifying impacts or physical damages is, at best, a weak proxy  
8 for the “expected cost” of climate change: damages are one measure of the costs of extreme events and carry the  
9 limitations discussed earlier in Section 4.6. Most analyses pay limited attention to droughts. But that is different  
10 from the measuring the “costs of managing events”, which would depend on the range and type of interventions, and  
11 for which there are no existing global estimates.

12  
13 [INSERT FIGURE 4-15 HERE:

14 Figure 4-15: The Overall Losses and Insured Losses from Natural Disasters Worldwide (adjusted to present values)  
15 (Source: Munich-Re, 2007)]

16  
17 [INSERT FIGURE 4-16 HERE:

18 Figure 4-16: Historical Trends of Climatological Disasters (normalized)]

19  
20 In conclusion, as highlighted in Section 4.2.4 there is only very limited evidence that anthropogenic climate change  
21 has lead to increasing losses; increasing exposure is the main reason for long term changes in economic losses.

22  
23 *Potential trends in key extreme impacts:* As indicated in sections 4.3-4.5, the major extremes may have a different  
24 trend in the future; some such as heatwaves are predicted to increase in frequency and intensity, while others such as  
25 flooding may not. However, uncertainty is a key aspect of disaster/climate change trend analysis due to attribution  
26 issues discussed above, incomparability of methods, changes in exposure and vulnerability over time, and other non-  
27 climatic factors such as mitigation and adaptation. Recent work has considered future exposure and potential  
28 impacts of sea level rise in coastal cities, flooding (Hallegatte, et al, 2010; OECD, 2008), and losses due to climate-  
29 related extremes in least developing countries (Patt et al, 2009), etc. It is very likely that the socio-economic  
30 development trends will translate into increasing exposure and vulnerability in population and assets especially in  
31 those coastal urbanization areas in the next decades.

32  
33 Section 4.2.4 examines attribution of losses to climate change, and Section 4.3.2.2 examines cyclone impacts in  
34 depth. The evidence is that to date no trends in impacts can be attributed to climate change. There are many  
35 methodological issues with these studies. One estimate of the increase in damage associated with changed tropical  
36 cyclone activity as a result of climate change is between \$28 billion and \$68 billion annually by 2100 World Bank  
37 (2010b). This represents an increase of between 50 and 125 percent over no climate change. The study also finds  
38 that climate change is expected to skew the damage distribution of tropical cyclones and is likely to cause rare - but  
39 very powerful tropical cyclones - to become more common and destructive and the effects are likely to be  
40 concentrated: several small island countries in the Caribbean are particularly vulnerable. Another study, building on  
41 GCM results from Bender et al. (2010), finds that although losses from tropical storms (hurricanes) in the USA  
42 could increase significantly, they are unlikely to be detectable with certainty until 260 years from now, due to the  
43 high natural variability of storms and their impacts (Crompton et al., 2010). This result itself needs to be interpreted  
44 in the context of the significant uncertainties with the modelling involved.

45  
46 Many studies have addressed future economic losses from river floods, most of which are focused on Europe,  
47 including the UK (Hall et al., 2003; Hall et al., 2005; ABI, 2009), Spain (Feyen et al., 2009), and Netherlands  
48 (Bouwer et al., 2010). Feyen et al. (2009) project loss increases for a range of European countries. Schreider et al.  
49 (2000) find substantial increases in future losses due to flash floods in Australia. Maaskant et al. (2009) is one of the  
50 few studies that addresses future loss of life from flooding, and projects up to a fourfold increase in potential flood  
51 victims in the Netherlands by the year 2040, when population growth is accounted for. Some studies are available on  
52 future coastal flood risks in the UK (Hall et al., 2005; Mokrech et al., 2008; Dawson et al., 2009).

1 Some studies have addressed economic losses from other types of weather extremes, often smaller scale compared  
2 to river floods and windstorms. These include hail damage, for which mixed results are found: McMaster (1999) and  
3 Niall and Walsh (2005) found no significant effect on hailstorm losses for Australia, while Botzen et al. (2010) find  
4 a significant increase (up to 200% by 2050) for damages in the agricultural sector in the Netherlands, although the  
5 approaches used vary considerably. Rosenzweig et al. (2002) report on a possible doubling of losses to crops due to  
6 excess soil moisture caused by more intense rainfall. Hoes (2007), Hoes and Schuurmans (2006) and Hoes et al.  
7 (2005) estimated increases in damages due to extreme rainfall in the Netherlands by mid-century.

8  
9 It is well known that the frequency of weather hazards is only one factor that affects total risks, as changes in  
10 population, exposure of people and assets, and vulnerability determine loss potentials (see Sections 4.2 to 4.5). But  
11 few studies have addressed these factors. However, the ones that do generally underline the important role of  
12 projected changes (increases) in population and capital at risk. Some studies indicate that the expected changes in  
13 exposure are much larger than the effects of climate change, which is particularly true for tropical and extra-tropical  
14 storms (Pielke et al., 2007; Feyen et al., 2009; Schmidt et al. 2009b). Other studies show that the effect of increasing  
15 exposure is about as large as the effect of climate change (Hall et al., 2003; Maaskant et al., 2009; Bouwer et al.,  
16 2010), or estimate that these are generally smaller (Dorland et al. 1999; Hoes, 2007). Finally, many studies underline  
17 that both factors need to be taken into account, as the factors do in fact amplify each other, and therefore need to be  
18 studied jointly when expected losses from climate change are concerned (Hall et al., 2003; Bouwer et al., 2007;  
19 Pielke, 2007; Feyen et al., 2009; Bouwer et al., 2010).

20  
21 [INSERT TABLE 4-17 HERE:

22 Table 4-17: Estimated Change in Disaster Losses in 2040 Under Projected Climate Change and Exposure Change,  
23 Relative to the Year 2000 from Twenty-One Impact Studies, Including Median Estimates per type of Weather  
24 Hazard (Sources: Bouwer, 2010)]

#### 25 26 27 **4.6.4. The Regional and Global Costs of Adaptation**

28  
29 There have been a limited number of adaptation costs assessments over the last few years with a global and regional  
30 level resolution; yet those studies have not explicitly separated extreme events from gradual change (see Parry et al.,  
31 2009; World Bank, 2009; EEA, 2007; ECA, 2009; Solomon 2007; Nordhaus, 2007; Parry et al., 2009; Agrawala and  
32 Fankhauser, 2008; World Bank, 2010). As well, those studies considering extreme events, and finding or reporting  
33 net benefits over a number of key options (Parry et al., 2009; Agrawala and Fankhauser, 2008) do so by treating the  
34 issue in a similar way to gradual onset phenomena and use deterministic impact metrics.. Estimates range from 4 to  
35 100 billion USD per year with a bias towards the higher costs.

36  
37 [INSERT TABLE 4-18 HERE:

38 Table 4-18: Estimates of global costs of adaptation]

39  
40 There are only three independent estimates of the global costs of adaptation. World Bank (2006) estimates the cost  
41 of climate proofing foreign direct investments (FDI), gross domestic investments (GDI) and Official Development  
42 Assistance (ODA), which was taken up and modified by the Stern Review (2006), Oxfam (2007) and UNDP (2007).  
43 The second source of cost estimates is from UNFCCC (2007), which calculated the value of existing and planned  
44 investment and financial flows required for the international community to effectively and appropriately respond to  
45 climate change impacts. World Bank (2010) follows the UNFCCC (2007) methodology and improves upon this by  
46 using more precise unit cost estimates, the inclusion of costs of maintenance as well as those of port upgrading as  
47 well as the risks from sea-level rise and storm surges.

48  
49 Regionally, the World Bank (2010) study estimates that for both “wet” and “dry” scenarios the largest absolute costs  
50 would arise in East Asia and the Pacific, followed by the Latin American and Caribbean region as well as Sub-  
51 Saharan Africa.

52  
53 [INSERT TABLE 4-19 HERE:

54 Table 4-19: Regionalized Annual Costs of Adaptation for Wet and Dry Scenarios]

1  
2 As discussed by Parry et al (2009) the estimates are thus somewhat linked, which explains the seeming convergence  
3 of the estimates in latter studies. As well, Parry et al. (2009) consider the estimates a significant underestimation by  
4 at least a factor of two to three and possibly higher if the costs incurred by other sectors were included: such as  
5 ecosystem services, energy, manufacturing, retailing and tourism; and considering that the adaptation cost estimates  
6 are based mostly on low levels of investment due to an existing adaptation deficit in many regions. Thus the  
7 numbers have to be treated with caution. Unavoidable residual damages remain in these analyses, and they also need  
8 to be factored in.  
9

10 It is necessary to incorporate an analysis of the ongoing or chronic economic impact of disasters into the adaptation  
11 planning process (Freeman, 2000). A full assessment of disaster cost at varying spatial and temporal scales can set  
12 the stage for comparisons of post-disaster development strategies, which would make disaster risk reduction  
13 planning and preparedness investment more cost-effective (Gaddis, et al, 2007). Also, costs of climate disasters can  
14 impact human, social, built and natural capital, and their associated services at different levels. For example, a cost  
15 estimate for financial vulnerability would represent a baseline for the incremental costs arising from future climate  
16 risks (Mechler et al, 2010). There is consensus on the important role of ecosystems on risk reduction and well-being,  
17 which makes the value of ecosystem services an integral part of key policy decisions (Costanza, Farley, 2007; Tallis  
18 and Kareiva, 2006).  
19

20 Taking Africa as an example, based on various estimates the potential additional cost of climate proofing new  
21 infrastructure would likely range from US\$3 to 10 billion per year by 2030 (Reid et al., 2007; UNFCCC, 2007;  
22 PACJA, 2009). However, this could be also an underestimate considering the desirability of improving Africa's  
23 resilience to climate extremes as well as the flows of international humanitarian aid in the aftermath of disasters. For  
24 example, OECD (2007) has estimated that assets valued at over half a trillion dollars in one city (Alexandria in  
25 Egypt) alone could suffer damage or be lost because of coastal flooding. Adapting Africa's agriculture is likely to  
26 pose a much greater cost burden.  
27  
28

#### 29 **4.6.5. Uncertainty in Assessing the Economic Loss of Extremes and Disasters**

30

31 Upon reviewing the estimates to date there is a consensus that the costing of climate change related disasters is still  
32 preliminary, incomplete and subject to a number of uncertain assumptions (Parry et al, 2009; Agrawala and  
33 Fankhauser, 2008; Tol, 2005). This is largely due to modeling inaccuracies in climate science and damage estimates,  
34 limited data availability and shortcomings in methodology in analyzing disaster damages statistics. Climate change  
35 costing is further limited by the interaction between numerous adaptation options and assumptions about future  
36 exposure and vulnerabilities, social preferences and technology, as well as levels of resilience in specific societies.  
37

38 *Risk assessment methods:* Technical challenges remain in developing robust risk assessment and damage costings.  
39 Results could vary significantly between using top-down or a bottom-up approach. Risk-based approaches have  
40 been utilized for predicting the damages of disaster risk (Jones, 2004; Carter et al., 2007) of which evidence is based  
41 on both climate and social scenarios. Climate models are not good at reproducing spatially explicit climate extremes  
42 yet, due to inadequate (coarse) resolution and physical understanding in the relevant process, as well as challenges in  
43 modeling low probability, high impact events (Weitzman, 2009). Hence projections of extreme events in future  
44 climate conditions are highly uncertain, hindering projections of sudden onset risk, such as flood risk. Nonetheless,  
45 slower onset phenomena (e.g. drought) that are characterized by mean weather conditions, are better projected  
46 (Christenson, 2003; Kundzewicz et al., 2006). All climatic phenomena are subject to the limitation that historically  
47 based relationships between damages and disasters cannot be used with confidence to deduce future risk of extreme  
48 events under the changing characteristics of frequency and intensity (UNDP, 2004). Socio-economic scenarios are  
49 also built with uncertainty highlighted by debates surrounding the selection of the discount rate (Heal, 1997; Tol,  
50 2003; Nordhaus, 2007; Stern, 2007; Weitzman, 2007) , the speed of damage restoration and so on. A uniform set of  
51 assumptions can help to provide a coherent global picture and comparison and extrapolation between regions.  
52

53 *Data availability and consistency:* Data shortages and information gaps increase the uncertainty of costing when  
54 scaling up to global levels from a very limited (and often very local) evidence base. There are double counting



1 problems and issues of incompatibility between types of impacts in the process of multi-sectoral and cross-scale  
2 analyses, especially for the efforts to add both market and non-market values (e.g. ecosystem services) (Downton  
3 and Pielke Jr., 2005; Pielke Jr. et al., 2008; Parry et al., 2009). Moreover the full impacts of climate change related  
4 extremes in developing countries are still poorly understood, as a lack of comprehensive studies on damage,  
5 adaptation and residual costs means the costs are underestimated.

6  
7 *Information on future vulnerability:* Apart from climate change, vulnerability and exposure will also change over  
8 time, and interaction of these aspects should be considered in future (see Mechler and Hochrainer, 2010; Hallegatte,  
9 2008; Dawson et al., 2009; etc). It has also been noted that assessments of climate change impacts and vulnerability  
10 have changed in focus. In initial studies, an analysis of the problem was made, followed by assessment of potential  
11 impacts and risks, and lately the consideration of specific risk management methods have moved into the spotlight  
12 (Carter et al., 2007). System risk, such as environmental incidents and financial crises, makes the future risk  
13 situation more complicated and unpredictable.

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**Table 4-1: Trend of Reported Disasters from Tropical Cyclones versus Events as Detected by Satellite for the Last Four Decades.**

The percentage of reported disasters increased three-fold.

	1970-79	1980-89	1990-99	2000-09
Number of Tropical cyclones (TC) event as detected by satellite (average per year)	88.4	88.2	87.2	86.5
Number of countries hit by TC as detected by satellite (average per year).	142.1	144.0	155.0	146.3
Number of disaster triggered by TC, as reported by EM-DAT (average per year)	21.7	37.5	50.6	63.0
Percentage of reported disasters as compared with number of countries hit by TC	15%	26%	33%	43%

(sources: Peduzzi et al. 2011)

**Table 4-2: Average Physical Exposure to Tropical Cyclones Assuming Constant Hazard (in million people per year)**

IPCC_Region	1970	1980	1990	2000	2010	2020	2030	Absolute changes 2010-2030	Relative changes 2010-2030
Africa	0.5	0.7	0.8	1.1	1.5	1.9	2.3	0.8	+ 53.3%
Asia 1	4.0	5.1	6.4	7.7	9.0	10.1	11.0	2	+ 22.2%
Asia 2	64.0	76.1	87.4	97.0	104.7	111.1	115.0	10.3	+ 9.8%
Australia and NZ	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0	+ 0.0%
Caribbean	1.4	1.7	1.9	2.1	2.3	2.4	2.5	0.2	+ 8.7%
Europe	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0	+ 0.0%
Indian Ocean Isl	0.3	0.3	0.4	0.4	0.5	0.5	0.5	0	+ 0.0%
North America	2.6	3.0	3.3	3.8	4.2	4.6	4.9	0.7	+ 16.7%
Pacific islands	0.2	0.2	0.3	0.3	0.4	0.4	0.5	0.1	+ 25.0%
South america	0.0	0.0	0.0	0.1	0.1	0.1	0.1	0	+ 0.0%
<b>World</b>	<b>73.2</b>	<b>87.2</b>	<b>100.7</b>	<b>112.8</b>	<b>122.7</b>	<b>131.3</b>	<b>136.9</b>	<b>14.2</b>	<b>+ 11.6%</b>

(sources: Peduzzi et al. 2011)

**Table 4-3: Average Physical Exposure to Tropical Cyclones as Observed and as Projected Assuming Change in Frequency (median of all models, in million people per year and percentage changes)**

IPCC_Region	1970-79	1980-89	1990-99	2000-09	2010	2030	Absolute Changes	Relative Changes
Africa	0.3	0.7	1.1	2.5	1.5	2.2	0.7	+ 46.7%
Asia 1	1.5	8.8	11.6	9.0	9	10.8	1.8	+ 20.0%
Asia 2	68.7	71.6	89.3	117.7	104.7	110.8	6.1	+ 5.8%
Australia and NZ	0.1	0.1	0.1	0.1	0.1	0.1	0	+ 0.0%
Caribbean	1.1	1.4	1.4	4.0	2.3	2.5	0.2	+ 8.7%
Europe	0.0	0.2	0.4	0.2	0.1	0.1	~0	+ 0.0%
Indian Ocean Isl	0.3	0.4	0.4	0.4	0.5	0.5	~0	+ 0.0%
North America	2.5	5.0	4.1	9.0	4.2	4.9	0.7	+ 16.7%
Pacific islands	0.1	0.3	0.4	0.2	0.4	0.5	0.1	+ 25.0%
South and Central America	0.0	0.0	0.0	0.1	0.1	0.1	~0	+ 0.0%
<b>World</b>	<b>74.6</b>	<b>88.4</b>	<b>109.0</b>	<b>143.0</b>	<b>122.7</b>	<b>132.4</b>	<b>9.7</b>	<b>+ 7.9%</b>

(sources: Peduzzi et al. 2011)



**Table 4-4: Average Percentage Exposure to Different Category of Tropical Cyclones by Regions (1970 - 2009)**

IPCC_Region	Cat. 1	Cat. 2	Cat. 3	Cat. 4	Cat. 5
Africa	81.5%	14.1%	4.2%	0.3%	0.0%
Asia 1	80.3%	14.4%	4.2%	1.1%	0.0%
Asia 2	77.6%	17.3%	4.8%	0.2%	0.0%
Australia and NZ	87.8%	8.7%	3.2%	0.3%	0.0%
Caribbean	68.6%	17.3%	10.1%	4.0%	0.0%
Europe	88.3%	11.1%	0.7%	0.0%	0.0%
Indian Ocean Isl	75.8%	15.8%	8.5%	0.0%	0.0%
North America	77.4%	14.9%	7.3%	0.3%	0.0%
Pacific islands	57.2%	28.7%	13.6%	0.6%	0.0%
South America	82.0%	10.8%	6.6%	0.7%	0.0%
World	77.7%	17.0%	5.0%	0.4%	0.0%

(sources: Peduzzi et al. 2011)

**Table 4-5: Trend in Floods Physical Exposure (in thousand people per year)**

IPCC Region	1970	1980	1990	2000	2010	2020	2030
North America	640	720	820	930	1030	1120	1190
Central and South America	550	690	840	990	1110	1230	1320
Caribbean <sup>1</sup>	70	90	110	130	150	170	180
Europe	1650	1760	1850	1870	1880	1890	1870
Africa	850	1130	1480	1920	2440	3030	3640
Asia	29780	37370	46630	55750	64090	71640	77640
Australia and NZ	30	30	40	40	50	50	60
<b>World</b>	<b>33570</b>	<b>41790</b>	<b>51760</b>	<b>61620</b>	<b>70750</b>	<b>79130</b>	<b>85910</b>

(sources: Peduzzi et al. 2011)

**Table 4-6: Trend in Floods Triggered by Precipitation Physical Exposure (in thousand people per year)**

IPCC Region	1970	1980	1990	2000	2010	2020	2030
Polar	0.0	0.0	0.0	0.0	0.0	0.0	0.0
North America	2.2	2.9	3.5	4.1	4.6	5.0	5.2
South America	5.7	7.4	9.2	11.1	12.9	15.0	16.7
Islands	1.9	2.1	2.6	3.1	3.5	4.1	4.4
Europe	2.3	2.6	2.8	2.8	3.0	3.1	3.2
Africa	4.4	5.5	7.5	9.9	12.8	16.2	19.6
Asia	35.7	44.2	53.5	62.3	70.3	77.8	83.6
Australia and NZ	0.1	0.1	0.1	0.1	0.1	0.1	0.1
<b>World</b>	<b>52.3</b>	<b>64.8</b>	<b>79.0</b>	<b>93.5</b>	<b>107.3</b>	<b>121.1</b>	<b>132.9</b>

(sources: Peduzzi et al. 2011)

<sup>1</sup> Only catchment bigger than 1000 km<sup>2</sup> are included in this analysis. So in the Caribbean, only the largest islands are covered

**Table 4-7: Coastal Systems: Summary Table of Observed and Predicted Exposure Trends** (see text)

Coastal systems	Current exposure	RSLR	Storm surges	Storm waves	Extreme rainfall	Sediment supply changes
Beaches	X	XX	XX	XX	x	XX (if negative)
(Soft) seacliffs	X	XX	XX	XX	XX	-
Deltas	X	XX	XX	XX	xx	XX (if negative)
Estuaries	X	XX	XX	xx	thr	XX
Saltmarshes	X	thr	X-o	XX	x	thr
Mangroves	X	XX	xx	xx	-	xx (if negative)
Coral reefs	X	-	-	XX	XX	XX (if positive)
Seagrasses	x	-	-	X	xx	x

Key: X large exposure; x, moderate exposure; XX, large change in predicted exposure; xx, moderate change in predicted exposure; -, small or not established change in predicted exposure; thr, future exposure depends on thresholds; o, future exposure depends on many other environmental parameters; RSLR, Relative sea level rise.

**Table 4-8: Current and Future Population Exposure in Low Elevation Coastal Zones**

Region	Area (10 <sup>3</sup> km <sup>2</sup> )	Population expos. (current) (millions)	Population expos. (2050 no tipping) (millions)	Population expos. (2050 with tipping) (millions)
Africa	191 (1) <sup>1</sup>	2.80	3.76 (34%) <sup>2</sup>	5.77 (106%) <sup>2</sup>
Asia	881 (3)	47.76	60.15 (26%)	82.68 (73%)
Europe	490 (2)	9.56	11.70 (22%)	16.42 (72%)
Latin America	397 (2)	4.60	5.57 (21%)	7.45 (62%)
N. America	553 (3)	4.82	6.25 (30%)	8.88 (84%)
Oceania	131 (2)	2.00	2.26 (26%)	2.68 (49%)
SIS	58 (16)	n/a	n/a	n/a
<b>Total</b>	<b>2700 (2)</b>	<b>71.35</b>	<b>89.70 (26%)</b>	<b>123.87 (74%)</b>

Low Elevation coastal areas (LECZ) (McGranahan et al., 2007), current and future (2050) population exposure to inundation in the case of the 1-in-100-yr extreme storm under ‘normal projections’ (SLR of 0.15 m) and ‘tipping projections’ (SLR 0.50 m, due to the partial melting of the Greenland Ice Sheet (GIS) and West Antarctic Ice Sheets (WAIS) (Lenton et al., 2009). The numbers in parentheses refer to: <sup>1</sup>, percentage of total land area; <sup>2</sup>, increase (%) in exposure relative to population presently exposed. Note: Projections refer to current population i.e. not accounting for population growth by 2050. Key: SIS, Small Island States.

**Table 4-9: Trend of Water-Related Disasters from 1980 to 2006 by Hazards** (Based on Adikari and Yoshitani (2009))

Total/General	Increase in every region. Linear increase more than double in Asia and more than four-fold in Africa.	Decreasing trend with occasional peaks.	In general, the estimated water-related economic losses globally show an increasing trend. The trend had a trough during the period 2001 to 2003, and then increased sharply until 2006. The increase was due to the huge economic damage caused by Hurricane Katrina in the United States in 2005. Among water-related disasters, windstorms, floods and droughts are the main contributors to economic losses – in descending order – and the rest of the water-related disasters are insignificant but underestimated. The estimates of economic losses caused by water related disasters in different parts of the world may not be entirely reliable, because the values obtained from different countries are derived under different definitions and using different estimation methods, monetary units and purchasing power. Furthermore, some countries do not carry out surveys or keep proper records, while others may keep their records confidential. Reported figures may not be accurate and are sometimes even exaggerated to attract media attention.
Floods	Increase in every region. Increase to more than trebled in Asia and to more than four-fold in Africa.	No particular regional trend except in Africa, where the numbers increased steadily.	
Windstorms	Increase in every region except for a trough during the period from 1995 to 1997 in Asia	No distinct trend,	
Slides	No distinct trends in any region except in Asia, where they increased more than four-fold.	Increase in Asia with a peak in the period 1995 to 1997. Steady decrease from 1988 in the Americas with a sharp increase in the early 1980s. In Europe, increase in the early 1980s, remained steady till the late 1990s, and then decreased.	
Droughts	No clear trend. In Africa, where droughts are prominent, droughts decreased in the period from 1992 to 1994, then increased again.	In Africa, increase till 1985, decrease till 1997, then increase again. In Asia, increase till 1991 and then sudden decline. More than 99% of the fatalities globally were reported in Africa.	
Water-borne epidemic diseases	Increasing trend, especially from the mid 1990s. Globally, the number of epidemics was at its highest in the period from 1998 to 2000, which is thought to be influenced by the African and Asian regional peaks.	Decrease in Asia but remained steady in Africa. Highest in the 1990s, when Africa, Asia and the Americas were all hit hard by epidemics. Since then decline in all three regions.	

Table 4-10: Links between Sectors, Exposure, Vulnerability and Impacts

Affected System/Sector	Vulnerability (State of susceptibility and coping capacity)	Hazards/exposures and their extent	Impacts / Risks	Particularly severely affected groups (if exist)	Descriptor of literature / Expected impacts	Reference(s)
Food	-	Temperature	Impacts on crop production	-	Summary of effects of high temperature stresses on growth and development of various crops.	Hatfield et al. (2008)
Worldwide						
Food	-	Temperature	Impacts on rice production	-	Summary of effects of high temperature stress on growth and development of rice with a note on some threshold temperatures.	Kim et al. (1996); Prasad et al. (2006)
US, Japan						
Food	-	Temperature	Impacts on maize production	-	Summary of effects of high temperature stress on growth and development of maize with a note on some threshold temperatures.	Ben-Asher et al.(2008); Fonseca and Westgate (2005)
Worldwide						
Food	Different levels of adaptation regarding planting date shift and heat tolerant variability use were assumed.	Temperature (daily maximum and minimum) , radiation, CO2 concentration	Rice yield (mean and inter-annual variability)	Tokai, Chubu, Kansai regions	Impact of climate change on rice yield in Japan was evaluated using the PRYSBI model, which explicitly simulates sterility and growth limitation due to extremely high and low temperature during yield formation period.	Yokozawa et al. (2009)
Whole Japan [4 sub-national regions]						
Present (1981-2000), 2046-2065 and 2081-2100						
Food	Change in standard rice yield (used for calculating insurance payouts) was permitted .	Temperature (daily maximum and minimum), daily total solar radiation, hourly maximum precipitation, hourly maximum wind velocity, and atmospheric CO2 concentration.	Rice insurance payouts (billion Japanese yen)	In Kanto-Tozan, Hokuriku, Kinki regions, the increase of 11-19% in rice insurance payouts is projected due to yield loss associated with heat stress.	Preliminary assessment of climate change impact on the rice insurance payout in Japan. Reflecting regional changes in yield, the rice insurance payout is expected to significantly decrease in northern Japan while it is expected to slightly increase in central and western Japan. In total, the 9-yr mean payout in Japan in the 2070s decreased to 120.2 billion yen (87% of the present payout averaged over 9-yr in the 1990s).	Iizumi et al. (2008)
Whole Japan (9 sub-national regions)						
Present (1991-1999), 2071-2079						
Food	-	Glacier retreat	Floods, water shortage (drought). GLOF, landslides.	Populations living in valleys depending on water from glaciers	With glaciers retreating due to global warming and El Niño episodes, the Andean region faces increasing threat on water supply. With most of the precipitation coming in 3-4 months, the glaciers plays a temporal buffer by stocking precipitations in ice and snow and redistributing the water by melting during the dry season. The glaciers recession reduces the buffering role of the glaciers, meaning more floods during raining season and more water shortage during the dry season. Glaciers hold rocks and other debris that are exposed when glaciers retreat and could lead to debris flows after heavy rainfalls or earthquakes. Glacier recession also forms high altitude lakes and some of which may release after earthquakes, or avalanches create a GLOF.	Silverio and Jaquet (2005); Vuille et al. (2008); Zemp (2008)
Andean region (Peru, Bolivia, Equador)						
1970- current						

Food				Subsistence farmers who have a marginal existence under normal conditions, are probably the most severely impacted by climate and weather events. The most vulnerable to food price increases are poor, urban residents in food-importing developing countries; the landless poor and female-headed households are also particularly vulnerable. Global food price increases are burdened disproportionately by low-income countries, where many people spend up to 50% of their income on food. In some locations women and girls bear the initial brunt of food scarcity, which is both a result of, and contributes to, systemic gender inequality	The initial impact is food shortages for those entirely dependent on their own produce, and those whose livelihood depends on their crops. Crop-failure is a driver for rural-urban migration, and is expected to worsen under climate change. Since 1970 Malawi has had increased frequency and severity of droughts and floods, less seasonal rain and higher temperatures. A hybrid drought tolerant maize has been promoted, but requires expensive inputs which farmers cannot afford. After Cyclone Nargis in Myanmar converged with the global financial crisis and saw the rural economy collapse as credit was withdrawn making food security a significant concern. The factors influencing recent price increases in many ways a mirror to the challenges global food security will face in the next century under climate change. Due to changes in marine ecosystems, populations will have limited access to fish, the primary source of protein for more than one billion people in Asia. Changes in rainfall patterns may disrupt major river systems used for irrigation. Rising sea levels could swamp fertile coastal land, rendering it useless. These impacts will be in conjunction with an increase in the frequency and severity of extreme weather events.	
Global(Sub-national examples)	The majority of households produce maize in many African countries, and most eat all they produce, very few sell it. (e.g. only 36% of Kenyan households sell maize) There is an inequality of income which is likely to grow as farms get smaller due to population growth and environmental degradation. Both farmers and their governments have limited capacity for recovery. Farmers do not usually have insurance although micro insurance is increasingly available.	Drought, floods, and cyclones are the main hazards faced by subsistence farmers. Rainfall pattern is also important. The economies of many developing countries rely heavily on agriculture; dominated by small-scale and subsistence farming. People's livelihoods in this sector are especially exposed to weather extremes	Food shortage and loss of cash livelihood due to crop failure Crop price increase degradation of food security			ActionAid (2006); CGIAR (2002); Easterling and Apps (2005); Fischer et al (2005); FAO (2008); FAO (2009); Garnaut (2008); Nelson et al (2009); OECD-FAO (2008); Stone (2009); (Vincent et al 2008).
Now - near term future						
Food					25% loss of total annual crop production accounted for the flooding risk in China. Flooding disasters would have an increasing frequency and severity in future, especially in the major crop areas of Yangtz River basin and Huai Riverbasin. Northern China suffered from expanding drought areas in the past 50 years (60% of annual average disaster-related crop loss was caused by drought), and the trend is predicted to be worse in the next decade.	
China	Less awareness and inadequate measures for the increasing climatic risks	Flood, Drought	Affected crop area	Northern China (drought); Yangtz and Huai river basins (flood)		Commission for China's Climate Change Scientific Report
2000-2007						
Food					China's total production of three major crops would reduce by 5-10% on average annually. Adaptive measures would lower down the vulnerability of these areas.	
China	-	Temperature	Impacts on crop production	Middle and West of China.		Wang (2002)
2050						
Food					A 2.5°C increase would cause a net decrease of Chinese crop production if without taking any adaptation measures.	
China	No adaptation assumed	Temperature	Impacts on crop production			Xiongwei et al, (2007)
Near- mid term future						
Health	High HIV/AIDS prevalence in	Drought	Child nutritional status	Better-off (modern) area with more	Areas with higher HIV/AIDS showed more deterioration in child nutrition. A significant	Mason et la. (2005)

Health	modern area is causing high sensitivity to drought.	Drought	(prevalence of underweight)	HIV/AIDS	area-level interaction was found for HIV/AIDS within the drought period, associated with particularly rapid deterioration in nutritional status. HIV/AIDS amplifies the effect of drought on nutrition, so rapid and effective response will be crucial when drought strikes.	Mason et al. (2005)
Lesotho, Malawi, Mozambique, Swaziland, Zambia and Zimbabwe						
Present (2001-2003)						
Health	-	Tropical cyclones	Mortality	Coastal population in Bangladesh and Myanmar	Tropical cyclone Sidr (Bangladesh, 2007) and Nargis (Myanmar, 2008) are of similar intensity. However, the impacts (in mortality) were drastically different. By comparing these two events, the role of (good) governance translated in improved early warning systems, preparedness and environment health, which mostly explained why Nargis had 32 times more casualties as compared with Sidr.	Gob (2008); Paul (2009); Webster (2008)
North Indian Ocean (Bangladesh and Myanmar)						
2007-2008						
Health	Shelter	Cyclone	Mortality	Children <10 years old and 40+ year old females	Mortality was greatest among <10 year old children and 40+ year old females. Nearly 22% of people who did not reach a concrete or brick structure died, whereas all people who sought refuge in such structures survived.	Bern et al. (1993)
Bangladesh						
1991						
Health	Lack of flood-specific policy, absence of risk assessment, and weak institutional capacity	Flood	deaths, injuries and diseases such as malaria and diarrhoea	-		Abaya et al. (2009)
Ethiopia						
near past						
Health	Lower education level, house with a non-concrete roof, tube-well water, distant water source and unsanitary toilets	Flood	Hospital visits due to diarrhoea (cholera and non-cholera)	Low SES group	In Dhaka, Bangladesh, the severe flood in 1998 caused diarrhoea during and after the flood, and the risk of non-cholera diarrhoea was higher for those with lower education level and not using tap water	Hashizume et al. (2008)
Bangladesh						
1998						
Health		Food	Injuries and diarrhoea		In 2002 report, WHO assumed that countries with 6,000+ US dollars of per capita GDP. On the contrary, diarrhoea as well as injuries occurred after a 2002 flood in Germany, one of the developed countries	Schnitzler et al. (2007)
Germany						
2002						
Health	Increase in population, food shortage, temporary living conditions, contaminated drinking water	Malaria and diarrhoea	Incidence		Floods can increase the incidences of malaria. In Mozambique, the incidence of malaria increased four to five times after the flood in 2000 (compared with non-disaster periods).	Kondo et al. (2002)
Mozambique						
2000						
Water	-		Water supply	Economic sectors	The Yellow River would have an increased annual cost of \$500 million from 2030s to 2050s with a changing climate.	Kirshen et al. (2005)
China, Yellow River						
2030-2050						
Forestry / Ecosystem	-	Forest fires, drought, deforestation	Biodiversity losses, soil erosion, desiccation, GHG emissions, deforestation, cascading		Forest fires are exacerbating climate change by adding GHG into the atmosphere and by decreasing forest area for carbon sink. In turn, climate change induces more extreme events such as droughts and El Niño. Drought increases	Field et al. (2009); Van Der Werf et al. (2008); Costa and Pires (2009); D'almeida et al. (2007); Phillips
The tropical forests of South America, Africa and Asia						

Forestry / Ecosystem	-	Forest fires, drought, deforestation	hazards		carbon emission from tropical forests by increasing forest flammability and tree mortality, and by suppressing tree growth. Droughts make peatlands more vulnerable to fires which contain vast amount of carbon. Drought is a trigger for human ignited forest fires leading to widespread deforestation and carbon emissions.	et al. (2009)
The tropical forests of South America, Africa and Asia						
1960 - current						
Forestry/ Ecosystem	-	Temperature	Forest fire (the area affected)		In the western part of North America over the past 30 years the area affected by forest fires has increased twofold, and in the coming 100 years under expected warming it will increase by a further 80%. Modelling of forest fires in Siberia shows that temperature increases may result in the number of years with severe fires increasing twofold, area affected by forest fires increasing by almost 15% per year and timber resources reducing by 10%.	?
North America Siberia						
-2100						
Forestry, tourism, ecosystems		Heat waves, droughts	Forest fires, lightning	Forest farming, tourism, rural settlements	Increased duration of fire season and summer temperatures. Higher coping capacity by improving meteorological prediction, better forest fire fight resources, better knowledge of combustion material	?
Mediterranean countries						
1900-2005 (observed) and 2020-2100 modelled						
Forestry / Ecosystem	-	temperature, others	forest coverage		Insect swarms cause significant damage to China's forests. The economic loss from affected forests areas is more than 80 billion RMB annually since 1970s in China. It is also responsible for about 6% of total re-forestation in China annually.	Yan and Cai (2006)
China						
1970-current						
Housing, tourism, biodiversity, transport.	-	Sea level rise			Coastal areas are among the world's most vulnerable to climate extremes, the intensity and frequency of which is projected to increase. Moreover, as the size/permanence of coastal communities and infrastructure has increased significantly over recent decades, so does the exposure, and the ability of coastal systems to respond diminishes. Rapid SLR is likely to impact natural systems more severely and amplify the potential economic losses/costs of adaptation. Coastal landforms are highly likely to suffer increased rates of erosion, while coastal ecosystems, may also be severely affected. Economic activities in coastal areas that may be at threat from SLR and other extreme events include among others transportation and tourism. Small island states, particularly SIDS, are likely to be very severely affected; in some cases, and depending on the SLR scenarios, there might even be a need for	The Copenhagen Diagnosis (2009); Lenton et al(2009); Cai et al.(2009); Ericson et al. (2006); Woodroffe (2008)
Coastal areas						
current- 2100						

					permanent population evacuation. In some coastal settings and landforms, SLR will be further exacerbated by (i) land subsidence triggered by natural processes and/or human-induced interference; (ii) diminishing sediment supply.	
Settlements					Climate warming leads to permafrost degradation A 40 to 80cm increase in seasonal soil thawing depth and the northward shift of the isotherm that characterizes a southern boundary of insular permafrost. Changes in permafrost damages the foundations of buildings and disrupts the operation of vital infrastructure in human settlements, resulting in an additional risk of disease. Total area of permafrost may shrink by 10 to 12% in 20 to 25 years, with permafrost borders moving 150 to 200 km northeast.	Sherstyukov (2009); Anisimov et al. (2004)
Russian arctic						
		Permafrost degradation	Damage on foundations of buildings Disruption of operation of vital infrastructure in human settlements			
Infrastructure / Settlements					Slope failure risk is expected to increase in future in many places, as a result of increasing frequency/intensity of strong rainfall. Slope failure risk in Japan under the changed precipitation rate was evaluated for a period around 2050. Using spatial data on daily precipitation, geography, geology, and land use, slope failure probability was calculated Areas with high slope failure risk is predicted in mountainous areas. Particularly, in the South Hokkaido region, the coast of the Japan Sea from Hokuriku region to Chugoku region, and median tectonic zone from Tokai region through to the Shikoku region. In some prefectures (Tochigi, Gumma, Saitama, Toyaam, Ishikawa, Fukui, Hiroshima, and Kagoshima), the expected economic loss due to slope failure is highertherefore, prioritized implementation of adaptation measures will be needed in those prefectures.	Kawagoe and Kazama (2009)
Japan	Exposed economic value is estimated for each grid with using spatial land-use data and unit values of the land-use classes. Assuming the status quo for future.	Landslide exacerbated by increasing intensity of precipitation. Exposed economic value of each grid cell is assumed not to change (the status quo).	Economic loss due to landslide	Area with high expected economic loss due to landslides (Tochigi, Gumma, Saitama, Toyama, Ishikawa, Fukui, Hiroshima, Kagoshima).		
Present (1970-2000), Around 2050						
Settlements/other	Most urban centres in sub-Saharan Africa and in Asia have no sewers. Sanitation infrastructure is the main determinant of the contamination of urban floodwater with faecal material, presenting a substantial threat of enteric disease.	Flooding (also leading to disease), landslides and heatwaves. It is well documented that, in most cities, the urban poor live in the most hazardous urban environments. . Worldwide, about one billion live in informal settlements, and this proportion is growing at		A large proportion of those in informal settlements are especially susceptible to harm with limited ability to recover. Groups especially impacted include infants and older groups who are less able to cope with heat waves, and less able to escape	Climate change is likely to bring ever-increasing numbers of accidental deaths and serious injuries and increasingly serious damages to people's livelihoods, property, environmental quality and future prosperity – especially the urban poor in informal settlements. Poorer groups get hit hardest by a combination of; greater exposure to hazards (with no or limited hazard-removing infrastructure), high vulnerability (due to makeshift housing), less capacity to cope (due to a lack of assets, insurance, and marginal livelihoods), less	Ahern et al.(2005); Douglas et al.1 (2008); Hardoy et al.(2001); Kovats and Akhtar (2008); Revi (2008); UNECE (2009); Hardoy, Mitlin and Satterthwaite, (2001); UN/POP/EGM-URB/2008/16;
Global						
Current – short term						



Settlements/other	.In Andhra Pradesh, India, a heat wave killed more than 1,000 people – mostly labourers working outside in high temperatures in smaller urban settlements.						Ahern et al.(2005); Douglas et al.1 (2008); Hardoy et al.(2001); Kovats and Akhtar (2008); Revi (2008); UNECE (2009); Hardoy, Mitlin and Satterthwaite, (2001); UN/POP/EGM-URB/2008/16;
Global		about twice the rate of formal settlements.			floodwaters. Those who work outside without heat protection are also very vulnerable.		
Current – short term							
Energy	Hydroelectric production represents, in an average year of precipitation, 20% of the total Spanish electricity production and 35% of Portuguese production. Other renewal energy sectors are being developed, mainly windpower and solar energy.						
Iberian Peninsula, Mediterranean regions		Low precipitation, Drought	Decrease in hydropower production	Economic sectors		Throughout most of the 20th century, North Atlantic oscillation (NAO) correlates with winter precipitation and river flow regimes for the three main international Iberian river basins, namely the Douro (north), the Tejo (centre) and the Guadiana (south). The impact of the NAO on winter river flow was quantified in terms of total Spanish potential hydroelectricity production. The important control exerted by the NAO and the recent positive trend in the NAO index contribute to a significant decrease in the available flow, and therefore, hydropower production in the Iberian Peninsula	Trigo et al., 2004
1920–2000							
Tourism	Most tourist enterprises are subject to weather conditions and are susceptible to harm from changes unless the changes are beneficial which may happen in some areas. Capacity to recover is likely to depend on the degree of dependence on tourism with diversified economies being more robust. Low lying coastal areas and areas currently on the edge of the snow line may have limited alternatives. Some resorts will be able to adapt using snow machines, but some will fail.	Heatwaves, cyclones, coastal erosion, disease outbreaks associated with changed climate. See impacts for detailed examples. Approximately 10% of global GDP is spent on recreation and tourism. The distribution of global tourism is expected to shift polewards due to increased temperatures associated with climate change. Parts of the Mediterranean, a very popular summer tourist spot, may become too hot in summer but more appealing in spring and autumn. More temperate tourist destinations are predicted to			Small island states are often dependent on tourism, and the tourism infrastructure that lies on the coast is threatened by climate change. The aviation industry is currently subject to very low or no taxes on greenhouse emissions. If an international carbon-pricing agreement were reached, this could have detrimental impacts on tourism globally, particularly following a period of historically low airfares.		
Global						The main impact will be decline in revenue from tourism, with loss of livelihoods for those working in the sector. Tropics: Increase cyclone intensity, with wind speeds expected to increase up to 20%. In the Caribbean, reduced tourist amenity as beaches erode with sea level rise, and degraded snorkelling and scuba activities due to coral bleaching. Alpine: heatwaves and rising temperatures raising the snow line. In Switzerland only 44% of ski resorts will be above the 'snow-reliable' altitude by approximately 2030, as opposed to 85% today. Disease: Ross River fever outbreaks in Cairns, Australia, have a significant impact on the local tourist industry. The conditions for an outbreak such as increased temperatures and humidity are predicted to increase under climate change. Calgareo & Lloyd (2008) argue that political and economic incentives exist to suppress information about the coastal hazards in an effort to attract tourism, and that this cost both lives and livelihoods in Khao Lak. The aviation	Amelung et al. (2007); Amelung & Viner (2006); Berritella et al (2006); Bigano et al. (2007); Calgareo & Lloyd (2008); Elsasser & Bürki (2002); Preston, B et al (2006); ; Tong & Hu (2001); Uyarra et al. (2005); World Bank (2000)
Current – short term							

Tourism	Most tourist enterprises are subject to weather conditions and are susceptible to harm from changes unless the changes are beneficial which may happen in some areas.					
Global	Capacity to recover is likely to depend on the degree of dependence on tourism with diversified economies being more robust. Low lying coastal areas and areas currently on the edge of the snow line may have limited alternatives. Some resorts will be able to adapt using snow machines, but some will fail.	become more attractive in summer. Tourist seasons in different areas are expected to shift, with some areas gaining while others lose.			Small island states are often dependent on tourism, and the tourism infrastructure that lies on the coast is threatened by climate change. The aviation industry is currently subject to very low or no taxes on greenhouse emissions. If an international carbon-pricing agreement were reached, this could have detrimental impacts on tourism globally, particularly following a period of historically low airfares.	industry is currently subject to very low or no taxes on greenhouse emissions. If an international carbon-pricing agreement were reached, this could have detrimental impacts on tourism globally, particularly following a period of historically low airfares.
Current – short term						Amelung et al. (2007); Amelung & Viner (2006) ; Berritella et al (2006); Bigano et al. (2007); Calgaro & Lloyd (2008); Elsasser & Bürki (2002); Preston, B et al (2006); ; Tong & Hu (2001); Uyarra et al. (2005); World Bank (2000)
Tourism						
Mediterranean countries	High in coastal areas and snow-related tourism	High summer temperatures, Heat waves (tropical nights), droughts	Decrease in number of tourists, change of tourism season	Tourist local services, travel-related industry	Change on the tourist behaviour, decreasing the stay period, delaying the travel decision, changing the selection of destination. Increase in travelling and holidays during transition seasons (spring and autumn)	Perry (2003); Esteban Talaya et al. (2005)
Present						
Tourism						
World, regional		Climatic variation	Tourism demand		Variations in tourist flows will affect regional economies in a way that is directly related to the sign and magnitude of flow variations. At a global scale, climate change will ultimately lead to a welfare loss, unevenly spread across regions.	Berritella et al.(2006)
Near term						
Tourism						
EU countries		climate	tourist destination		An increase in warmer days in Europe would lead to the increase in summer holiday spots as Northern countries would be more attractive, however this would close the gap with the currently popular Southern countries.	Hamilton (2003)
Near past						
Economy (insurance)		Change in windstorm characteristics.	Annual average insured loss			
US, Japan, Europe		All exposure information (location and density of population and property, physical characteristics of the property, asset values) was kept constant at today's values.	Insured loss with chance of occurring once every 100 years Insured loss with chance of occurring once every 250 years		Hurricanes, typhoons, and windstorms are some of the most costly extreme events because of their potential to cause substantial damage to property and infrastructure. Annual losses from the three major storm types affecting insurance markets (US hurricanes, Japanese typhoons and European windstorms) could increase by two-thirds to \$27 bn by the 2080s.	ABI (2005)
Long-term (2080s)	No change (Assuming the status quo for future)					

Economy					Climate change threatens to undermine Indonesia's efforts to combat poverty. Livelihoods – The effects of climate change are being felt more acutely by the poorest communities. Health – Heavy rainfall and flooding can overwhelm rudimentary systems of sanitation in slum areas of towns and cities, exposing people to water-borne diseases such as diarrhoea and cholera. Food security – The poorest regions are also likely to suffer food shortages. Water – Changing rainfall patterns are also reducing the availability of water for irrigation and for drinking.	UNDP (2007)
Indonesia						
Current	-	flooding	Food shortage, water and soon	Economic sectors, health, community		
Climate system					A drastic deforestation scenario would result in severe restructuring of land-atmosphere dynamics, partially explaining why most AGCMs have predicted weakened water fluxes as a result of extensive deforestation. A basin-wide deforestation scenario would impose a severe decline on evapotranspiration and then on precipitation recycling, weakening the hydrological cycle in Amazonia as a whole.	D'almeida et al.(2007)
Tropical forests						
1960-current	-	Extreme deforestation	Change in precipitations patterns			
Others					Poor women and children are among the most vulnerable to climate change effects, they may also exacerbate gender inequalities, create extra work for women and increase vulnerability of women in poor households. Yet gender has to date been relatively neglected in research and policy analysis, as well as in international and national policy processes.	Oxfam and UNDP (2009)
Viet Nam						
2009	-	disasters, food shortage, health	employment, health, livelihood, working of women	gender equality		

**Table 4-11: Impact of Climate Change By 2071-2100 On Flood Risk in Europe** (Ciscar, 2008).

Note that the Numbers Assume no Change in Population or Development in Flood-Prone Areas

Region	B2: HadAM3h (2.5°C)	A2: HadAM3h (3.9°C)	B2: ECHAM4 (4.1°C)	A2: ECHAM4 (5.4°C)	1961-1990
Additional expected population affected (1000s/year)					Baseline
Northern Europe	-2	9	-4	-3	7
British Isles	12	48	43	79	13
Central Europe (north)	103	110	119	198	73
Central Europe (south)	117	101	84	125	65
Southern Europe	46	49	9	-4	36
EU	276	318	251	396	194
Additional expected economic damage (million €/year, 2006 prices)					Baseline
Northern Europe	-325	20	-100	-95	578
British Isles	755	2854	2778	4966	806
Central Europe (north)	1497	2201	3006	5327	1555
Central Europe (south)	3495	4272	2876	4928	2238
Southern Europe	2306	2122	291	-95	1224
EU	7728	11469	8852	15032	6402

**Table 4-12: Identification of Extreme Impacts Affecting the Tourism Sector by Regions.** Sources: IPCC 2007; Ehmer and Heymann, 2008; Scott Et Al., 2008]

Regions/ subregions	Tourism value exposed to hazard	Sub-sectors vulnerability	Potential extreme impacts
Mediterranean countries	<ul style="list-style-type: none"> <li>- Tourism highly dependent on climate</li> <li>- Contribution of GDP: Spain (17%), Portugal (14%), France (9%), Italy (9%), Greece (16%); Turkey (11%), Croatia (17%), Morocco (16%), Tunisia (17%)</li> </ul>	<ul style="list-style-type: none"> <li>- Summer exceeding comfortable temperature levels highly vulnerable in Spain, Portugal, Greece, Turkey and islands (Malta, Cyprus)</li> <li>- Cultural and city holidays unaffected</li> <li>- Ski resorts outside glaciers highly vulnerable. Lack of flexibility of snow touristic destinations</li> </ul>	<ul style="list-style-type: none"> <li>- Heat waves, days exceeding 40°C and tropical nights</li> <li>- Droughts, and water shortage</li> <li>- Lack of snow, water demand for artificial snow production</li> <li>- Increase risk of forest fires</li> <li>- Possible return of diseases (e.g. malaria)</li> <li>- More frequent flooding affecting new urbanized areas</li> <li>- More intense coastal storms (beach erosion)</li> </ul>
Central Europe	<ul style="list-style-type: none"> <li>- Tourism slightly dependent on climate</li> <li>- Contribution of GDP: Germany (8%), Benelux countries (8%), UK (4%), Ireland (4%), Austria (15%), Switzerland (13%)</li> </ul>	<ul style="list-style-type: none"> <li>- Positive effects for activity holidays on northern coastal areas</li> <li>- City tourism (15%) unaffected</li> <li>- Heath resorts non affected</li> <li>- Shorter ski season in Alps</li> <li>- Higher-lying winter sports resorts may escape adverse snow conditions</li> </ul>	<ul style="list-style-type: none"> <li>- Longer summer season</li> <li>- Heat waves to increase in countries not adapted to high temperatures</li> <li>- Summer floods in central European rivers and southern UK</li> <li>- Less snow in low elevation ski resorts in winter</li> <li>- High risk of coastal erosion to affect Britain coastal resorts</li> <li>- Rising sea level and the risk of flooding in low lands of The Netherlands.</li> </ul>
Northern Europe	<ul style="list-style-type: none"> <li>- Tourism seasonal non dependent on climate</li> <li>- Contribution of GDP: Denmark (8%), Sweden (6%), Norway (7%), Finland (8%), ?(15%), ?(13%)</li> </ul>	<ul style="list-style-type: none"> <li>- Positive effects for seaside summer holidays, particularly in Denmark and Sweden</li> <li>- Tourism emphasis on nature to increase due to longer season</li> <li>- Reliable snow cover will be maintained (at least until 2050s)</li> </ul>	<ul style="list-style-type: none"> <li>- Extended summer season</li> <li>- Winter snow conditions may be deteriorated at low altitudes but improved during winter due to increased snow precipitation amount.</li> </ul>
Eastern Europe	<ul style="list-style-type: none"> <li>- Tourism non dependent on climate</li> <li>- Contribution of GDP: Estonia (14%), Slovakia (13%), Czech Republic (12%), Bulgaria (12%), Slovenia (12%), Ukraine (8%), Hungary (7%), Poland (7%), Lithuania (7%), Russia (6%), Romania (5%), Latvia (4%)</li> </ul>	<ul style="list-style-type: none"> <li>- Cultural tourism less sensitive to climate change</li> <li>- Countries bordering Black Sea may benefit from climate impacts in nearby regions</li> <li>- Decrease lake levels may interfere with water sports</li> <li>- Summer convalescence and health tourism is no vulnerable to climate impacts.</li> <li>- Winter sport tourism to face problems by 2030s</li> </ul>	<ul style="list-style-type: none"> <li>- Droughts and higher evaporation to affect lake resorts and mountain landscapes</li> <li>- Decreasing duration of snow season</li> </ul>
Caribbean	<ul style="list-style-type: none"> <li>- Tourism highly dependent on climate.</li> <li>Contribution of GDP: Puerto Rico (6%), Cuba (7%), Dominican</li> </ul>	<ul style="list-style-type: none"> <li>- None effect of temperature rise</li> <li>- Major impacts from weather extremes in high vulnerable economies</li> </ul>	<ul style="list-style-type: none"> <li>- Tropical storms to increase</li> <li>- Water shortage</li> <li>- Coastal erosion by storms</li> <li>- Coral bleaching</li> <li>- Loss of biodiversity</li> </ul>

	Republic (14%), Jamaica (33%), Bahamas (51%)	- Increasing incidence of vector-borne diseases	
North America	- Tourism slightly dependent on climate - Contribution of GDP: USA (9%), Canada (10%),	- Positive effects on nature and adventure tourism. - Skii in Rocky Mountains less severely affected than Alps.	- Extended summer season - Increase in hurricane intensity in SE USA. - Droughts and forest fires in SW USA
Latin America	- Tourism slightly dependent on climate  - Contribution of GDP: Mexico (13%), Argentina (6%), Brazil (5%)	- Tours to landscape and cultural factors (Maya ruins, Machu Picchu) slight climate dependence - Rising temperatures and natural disaster to affect negatively in tourist comfort at seaside resorts. - Increasing incidence of vector-borne diseases	- Rising temperatures and heat waves. - Droughts and water shortage - More intense tropical storms to cause damage of infrastructures
Asia	- Tourism highly dependent on climate  - Contribution of GDP Indonesia (6%), Thailand (13%), Philippines (6%), Sri Lanka (8%), Malaysia (12%), India (4%)	- Cultural and landscape tourism popular in Asia is less climate-sensitive - Sea side resorts negatively affected by rising temperatures - Increasing incidence of vector-borne diseases - Philippines highly vulnerable to increase weather extremes - Tourism sector to remain a growing sector despite of climate change	- Coral bleaching to reduce attractiveness of diving regions (eg. Bali) - Increasing problems of water supply - Floods during monsoon season can be worsen. - Landslides in steep mountain areas - Higher severity of cyclones to produce high damage and socio- economic disruption - Coastal erosion to increase (e.g. India and Asian delta areas)
Island states	- Tourism highly dependent on climate - Contribution of GDP Maldives (58%), Seychelles (55%), Mauritius (24%)	- Loss of biodiversity and coral bleaching may affect diving tourism. - Sea level rise to affect low- lying Maldives archipelago	- Possible reduction of precipitation with subsequent water supply problems - Coral bleaching
Africa	- Tourism highly dependent on climate - Contribution of GDP Tanzania (%), Kenya, South Africa	- Loss of biodiversity and desertification. Infrastructure protected by naturally vegetated coastal dunes, were better protected than those with sea walls (e.g. Natal coast of South Africa). - Loss of natural resources for wildlife - South Africa is the less climate-dependent country - Increasing incidence of vector-borne diseases	- Droughts and increase aridity - Flooding and heavy rainfall to increase - Water shortage - Extreme wind events (cyclones) and storm surges leading to structural damage and shoreline erosion in Mozambique.
Australia/ Oceania	- Tourism slightly dependent on climate - Contribution of GDP Australia (11%), New	- City tourism non-sensitive to climate impacts - Australian outback tourism to seasonal readjusts to avoid	- Coral bleaching to affect attractiveness of the Great Barrier Reef - Queensland region subject to

	Zealand (11%), Pacific Islands	<p>high temperatures</p> <ul style="list-style-type: none"> <li>- Australia: Tourism activity to be centered during austral winter</li> <li>- Adventure holidays and green holidays to benefit in New Zealand</li> </ul>	<p>flooding</p> <ul style="list-style-type: none"> <li>- Droughts and water shortages to increase in Australia</li> <li>- Forest fires to increase in New South Wales</li> <li>- Sea level rise derived problems to affect South Seas archipelagos and Polynesia</li> </ul>
Middle East	<ul style="list-style-type: none"> <li>- Tourism highly dependent on climate</li> <li>- Contribution of GDP Egypt(%), United Arab Emirates (%)</li> </ul>	<ul style="list-style-type: none"> <li>- Loss of comfort resulting from rising temperatures in summer months</li> <li>- Winter tourism to increase. Seaside tourists to avoid summer months.</li> <li>- Cultural tourism less susceptible to climate impacts</li> </ul>	<ul style="list-style-type: none"> <li>- High temperatures and heat waves</li> <li>- Water shortage</li> <li>- Coral bleaching to affect Red Sea reefs</li> </ul>

**Table 4-13: Summary of Climate Extremes in Europe – Hazard, Exposure, Vulnerability, and Impacts.**

Climate extreme	Changes in hazard	Exposure	Vulnerability	Impacts
Heat wave	Increase in frequency and severity (observed and projected)	Ageing society. Prevailing urban population	Old, sick, and lonely suffer most. Conditions for summer tourism industry in the south deteriorate	Tens of thousands of additional deaths during the heat wave in summer of 2003. Heat-related deaths likely to increase
Cold wave	Decrease in frequency and severity (observed and projected)	Throughout most of Europe	Homeless, people under influence of alcohol	Despite the warming, during some of winters in 2000s, cold waves kill hundreds. Adverse effects of warmer winters in agriculture (pest thrive)
Intense precipitation, river flood, landslide	Increase in mean precipitation intensity observed and projected. No ubiquitous increase of annual maximum river flow observed. Large changes in flood risk are projected (see Fig. X), but uncertainty in projections is considerable	Population of flood-prone and slide-prone areas	Uninsured / uninsurable households	Summer 2002 flood resulted in material damage of 20 billion Euro. Over much of the continent, a 100-year flood in the control period will be more frequent in the future.
Drought	No robust change of drought properties observed. Projections of increasing frequency and severity of summer droughts over much of Europe	Throughout the continent	Particularly adverse effects in the south	Drought of summer 2003 resulted in multi-billion material damage
Wild fire	Often accompanying heat wave and drought (on the rise). Increase in Fire Weather Index is projected.	Throughout the continent	Semi-arid areas of Southern Europe. Pine forests (largely monocultures) in Central Europe	Large, and destructive, wild fires in 1992 (Central Europe), 2003 (Southern Europe), and 2007 (Greece). In the Mediterranean over 0.5 million ha has burnt annually



Gale wind	Some increase in extreme wind speeds in parts of Europe (observations and projections), but low confidence in projections	Infrastructure, forests. Increase of total growing stock in forest	Light-weight roofs, pylons of transmission lines. Age class and tree species distribution in forests. Conifers are more vulnerable to wind damage than broadleaved species	Very high material and environmental damage, e.g. of the order or 10 billion Euro in December 1999 (storms: Anatol, Lothar, Martin). On 8 Jan 2005, the Erwin (Gudrun) storm over 75 million m <sup>3</sup> of windfall timber damage in Southern Sweden
Coastal flooding	Increase in storm surges accompanying sea-level rise	Increasing number of population inhabiting European coasts	Cliff coasts, low-lying coasts	Projections show increasing number of people suffering from coastal flooding (Fig. X)
Snow deficit	More frequent and more severe (observed and projected)	Winter tourism industry	Lower-elevation stations	Considerable reduction of the number of skiing days

**Table 4-14: Climate Extremes, Vulnerability and Impacts**

Climate Extreme	Changes in Climate Extremes	Exposure	Vulnerability	Impacts
Tropical Cyclones	Possibly lower frequency but increasing magnitude	Very high for atolls and coastal communities. High for most countries. Low for PNG Highlands, Nauru and Kiribati (too close to equator).	Reduction of traditional coping measures.	Greater levels of mortality, injury and hardship. Housing agriculture and infrastructure damage
• Wind	Increased wind speeds (?)	Houses, some food crops, tree crops, electricity and communications lines	Expansion of coconut as a commercial crop and tapioca as an alternative to traditional staples such as taro and yams. Transitional housing and squatter settlements.	Destruction of homes, loss of food security, disruption of commercial livelihoods. Destruction/damage to infrastructure
• Rain	Increased rainfall intensities	See intense rainfall events	See intense rainfall events	
• Storm Surge	Increased storm surge heights, exacerbated by sea level rise and coral reef degradation	Coastal areas of all islands and atolls. Ghyben-Herzberg lens of atolls exposed to salinisation	Urban growth (most towns are coastal). Tourism development.	Damage to coastal communities (housing, infrastructure, crops), Salinisation of Ghyben-Herzberg lens on atolls
Intense Rainfall Events	Increased rainfall intensities			
• River Flooding	Increased flood events	Large inter-plate islands with well developed river systems and flood plains as well as deltas, both heavily populated. Flash floods on volcanic high islands with small catchments.	Watershed deforestation, increasing population densities	Destruction/damage to settlements and crops, to infrastructure (roads and bridges).
• Land/mud slides	Increased land/mud slide events	Locations at the base of slopes	Increased through deforestation	Destruction/damage to settlements and crops, to infrastructure (roads and bridges).
Drought	Increased frequency and magnitude (duration, severity of rainfall decrease) of drought events	Throughout region, especially atolls, PNG Highlands	Increasing density urban population densities, especially in atoll countries	Reduced water quantity and quality, health problems, reduce agricultural productivity

Frost (PNG Highlands)	Reduction in occurrence? But droughts may increase in magnitude and frequency	Papua New Guinea Highlands	Traditional responses reduced by relief programmes	?
King tides and high wave events	Exacerbated by sea level rise	Low lying coastal areas and atolls	Urban growth (most towns are coastal). Tourism development.	Salinisation of Ghyben-Herzberg lens on atolls, coastal flooding.
Tsunami	Non climate but exacerbated by sea level rise and coral reef degradation	Low lying coastal areas and atolls	Urban growth (most towns are coastal). Tourism development.	Destruction of buildings, infrastructure and crops at elevations higher than would otherwise be the case.

**Table 4-15: Pacific Island Type and Exposure to Risks Arising from Climate Change**

Island Type	Exposure to climate risks
<b>Plate-Boundary Islands</b>	
<ul style="list-style-type: none"> <li>Large</li> <li>High elevations</li> <li>High biodiversity</li> <li>Well developed soils</li> <li>River flood plains</li> <li>Orographic rainfall</li> </ul>	<p>Located in the western Pacific these islands are exposed to droughts. River flooding is more likely to be a problem than in other island types. Exposed to cyclones, which cause damage to coastal areas and catchments. In PNG high elevations expose areas to frost (extreme during El Nino), however highlands in PNG are free from tropical cyclones. Coral reefs are exposed to bleaching events. Most major settlements are on the coast and exposed to storm damage and sea-level rise.</p>
<b>Intra-Plate (Oceanic) Islands</b>	
<b>Volcanic High Islands</b>	
<ul style="list-style-type: none"> <li>Steep slopes</li> <li>Different stages of erosion</li> <li>Barrier reefs</li> <li>Relatively small land area</li> <li>Less well developed river systems</li> <li>Orographic rainfall</li> </ul>	<p>Because of size few areas are not exposed to tropical cyclones, which cause most damage in coastal areas and catchments. Streams and rivers are subject to flash flooding. Most islands are exposed to drought. Barrier reefs may ameliorate storm surge and tsunami. Coastal areas are the most densely populated and exposed to storm damage and sea level rise. Localised freshwater scarcity is possible in dry spells. Coral reefs are exposed to bleaching events.</p>
<b>Atolls</b>	
<ul style="list-style-type: none"> <li>Very small land areas</li> <li>Very low elevations</li> <li>No or minimal soil</li> <li>Small islets surround a lagoon</li> <li>Shore platform on windward side</li> <li>Larger islets on windward side</li> <li>No surface (fresh) water</li> <li>Ghyben Herzberg (freshwater) lens</li> <li>Convictional rainfall</li> </ul>	<p>Exposed to storm surge, ‘king’ tides and high waves, although exposure to cyclones is much less frequent than in islands to the west and south. Flooding arises from high sea-level episodes. Exposed to fresh water shortages and drought. Fresh water limitations may lead to health problems. Coral reefs are exposed to bleaching events. All settlements are highly exposed to sea-level rise.</p>
<b>Raised Limestone Islands</b>	
<ul style="list-style-type: none"> <li>Steep outer slopes</li> <li>Concave inner basin</li> <li>Sharp karst topography</li> <li>Narrow coastal plains</li> <li>No surface water</li> <li>No or minimal soil</li> </ul>	<p>Depending on height may be exposed to storm surges and wave damage during cyclones and storms. Exposed to fresh water shortages and drought. Fresh water problems may lead to health problems. Flooding is extremely rare. Coral reefs are exposed to bleaching events. Settlements are not exposed to sea-level rise.</p>

Source: Campbell (2006)

**Table 4-16: Climate Related Disaster Occurrence and Regional Average Impacts from 2000-2008** (Sources: Vos et al., 2010)

Sub group of disasters (type)		Africa	Americas	Asia	Europe	Oceania	Global
Climatological (storm)	No. of Disasters	9	13	13	17	1	54
	Damages (2009 US\$ bn)	0.05	2.36	3.47	3.15	0.36	9.39
Meteorological (Extreme Temperature, Drought, Wildfire)	No. of Disasters	9	35	42	15	7	108
	Damages (2009 US\$ bn)	0.08	39.93	10.30	3.01	0.31	53.63
Hydrological (flood, land slides, etc)	No. of Disasters	42	39	81	26	5	194
	Damages (2009 US\$ bn)	0.37	2.99	9.05	7.01	0.52	19.94
Total average	No. of Disasters	60	87	136	58	13	356
	Damages (2009 US\$ bn)	0.50	45.28	22.82	13.17	1.19	82.96

**Table 4-17: Estimated Change in Disaster Losses in 2040 Under Projected Climate Change and Exposure Change, Relative to the Year 2000 from Twenty-One Impact Studies, Including Median Estimates per type of Weather Hazard** (Sources: Bouwer, 2010)

<b>A. Impact of projected climate change</b>						
Study	Hazard type	Region	Estimated loss change [%] in 2040			
			Min	Max	Mean	Median
Pielke 2007b	Tropical storm	Atlantic	58	1365	417	30
Nordhaus 2010	Tropical storm	USA	12	92	47	
Narita et al. 2009	Tropical storm	Global	23	130	46	
Hallegatte 2007	Tropical storm	USA	-	-	22	
ABI 2005a; 2005b	Tropical storm	USA, Caribbean	19	46	32	
ABI 2005a; 2005b	Tropical storm	Japan	20	45	30	
ABI 2009	Tropical storm	China	9	19	14	
Schmidt et al. 2009	Tropical storm	USA	-	-	9	
Bender et al. 2010	Tropical storm	USA	-27	36	14	
Narita et al. 2010	Extra-tropical storm	High latitude	-11	62	22	15
Schwierz et al. 2010	Extra-tropical storm	Europe	6	25	16	
Leckebusch et al. 2007	Extra-tropical storm	UK, Germany	-6	32	11	
ABI 2005a; 2005b	Extra-tropical storm	Europe	-	-	14	
ABI 2009	Extra-tropical storm	UK	-33	67	15	
Dorland et al. 1999	Extra-tropical storm	Netherlands	80	160	120	
Bouwer et al. 2010	River flooding	Netherlands	46	201	124	
Feyen et al. 2009	River flooding	Europe	-	-	83	65
ABI 2009	River flooding	UK	3	11	7	
Feyen et al. 2009	River flooding	Spain (Madrid)	-	-	36	
Schreider et al. 2000	Local flooding	Australia	67	514	361	
Hoes 2007	Local flooding	Netherlands	16	70	47	
<b>B. Impact of projected exposure change</b>						
Study	Hazard type	Region	Estimated loss change [%] in 2040			
			Min	Max	Mean	Median
Pielke 2007b	Tropical storm	Atlantic	164	545	355	172
Schmidt et al. 2009	Tropical storm	USA	-	-	240	
Dorland et al. 1999	Extra-tropical storm	Netherlands	12	93	50	
Bouwer et al. 2010	River flooding	Netherlands	35	172	104	
Feyen et al. 2009	River flooding	Spain (Mad)	-	-	349	
Hoes 2007	Local flooding	Netherlands	-4	72	29	

**Table 4-18: Estimates of global costs of adaptation**

Study	Results (billion USD/a)	Time frame	Sectors	Methodology and comment
World Bank, 2006	9-41	Present	Unspecified	Cost of climate proofing foreign direct investments (FDI), gross domestic investments (GDI) and Official Development Assistance (ODA)
Stern, 2006	4-37	Present	Unspecified	Update of World Bank (2006)
Oxfam, 2007	>50	Present	Unspecified	WB (2006) plus extrapolation of cost estimates from national adaptation plans (NAPAs) and NGO projects.
UNDP, 2007	86-109	2015	Unspecified	WB (2006) plus costing of targets for adapting poverty reduction programmes and strengthening disaster response systems
UNFCCC, 2007	28-67	2030	Agriculture, forestry and fisheries; water supply; human health; coastal zones; infrastructure	Planned investment and Financial Flows required for the international community
World Bank, 2010	70-100	2050	Agriculture, forestry and fisheries; water supply; human health; coastal zones; infrastructure	Improvement upon UNFCCC (2007): more precise unit cost, inclusion of cost of maintenance and port upgrading, risks from sea-level rise and storm surges.

**Table 4-19: Regionalized Annual Costs of Adaptation for Wet and Dry Scenarios**

EAST ASIA AND PACIFIC WILL SHOULDER THE BIGGEST BURDEN (Global costs of adaptation by region)							
Aggregation type/ Scenario	East Asia & Pacific	Europe & Centr. Asia	Latin America & Caribbean	Middle East/ North Africa	South Asia	Sub- Saharan Africa	Total
Gross-sum/ Wet Scenario	25.7	12.6	21.3	3.6	17.1	17.1	97.5
X-sum/ Dry Scenario	17.9	6.9	14.8	2.5	15	14.1	71.2

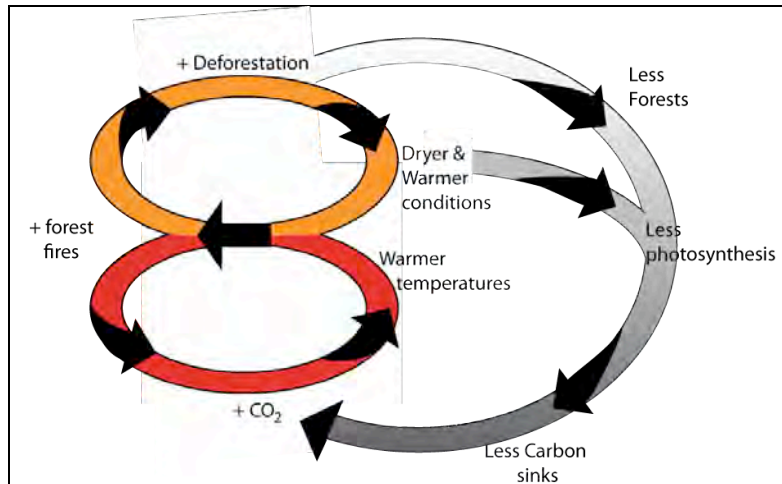


Figure 4-1: Simplified Diagram of the Positive Feedbacks between Drought, Forest Fires and Climate Change

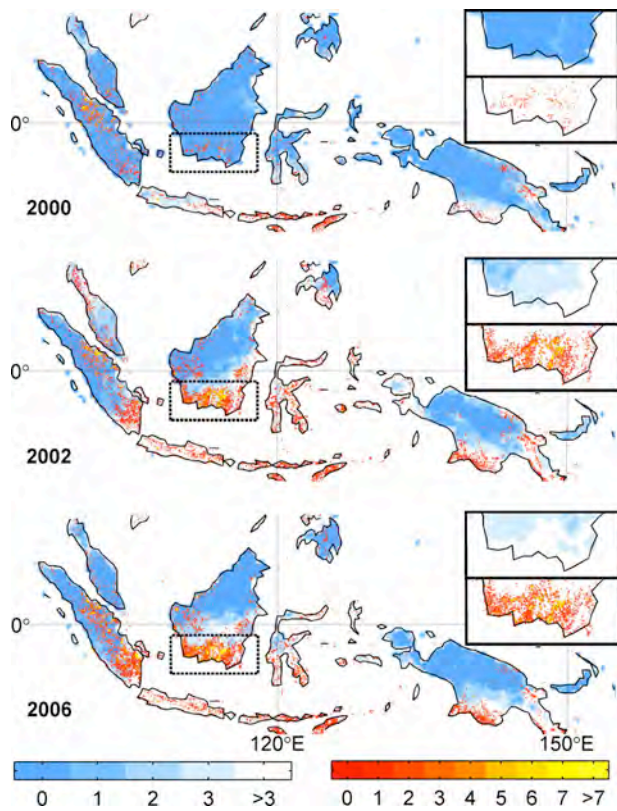
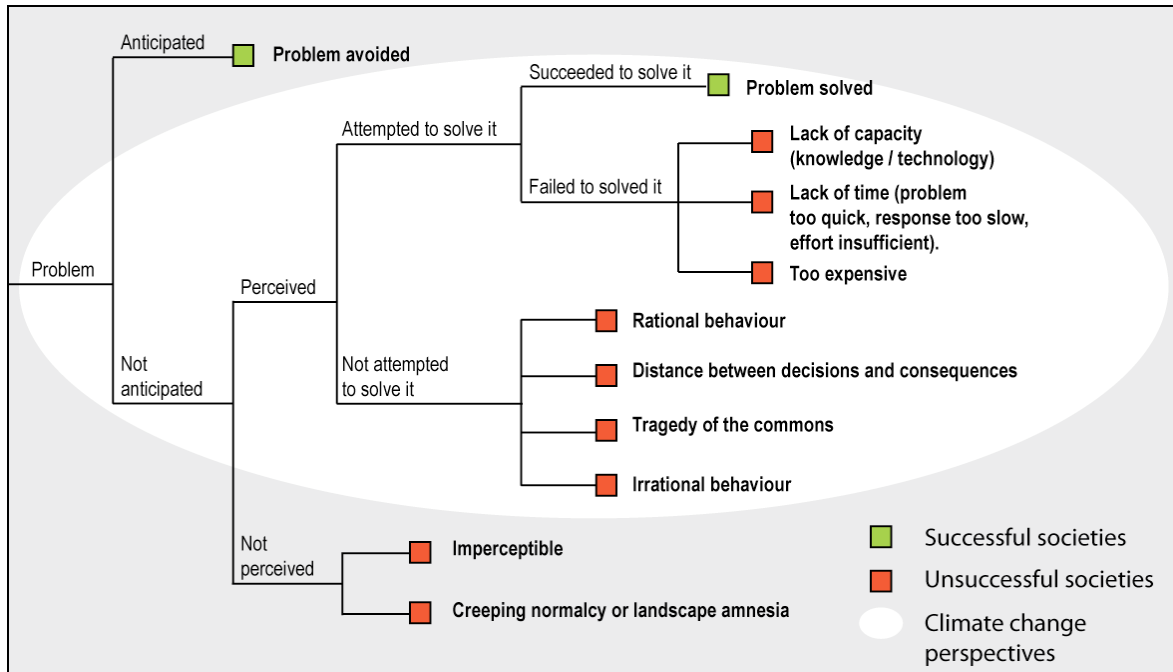


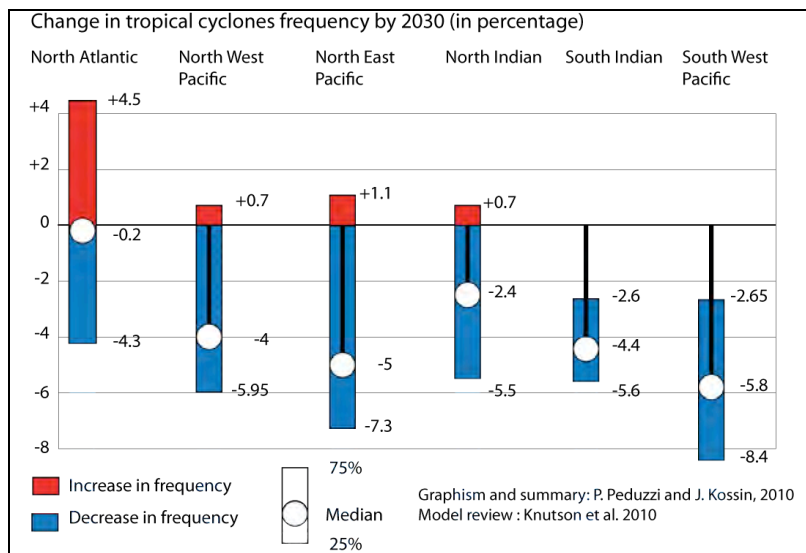
Figure 4-2: Dry Season Length and Fire Detections for the Strong 2000 La Niña and 2002 and 2006 Moderate El Niño Years



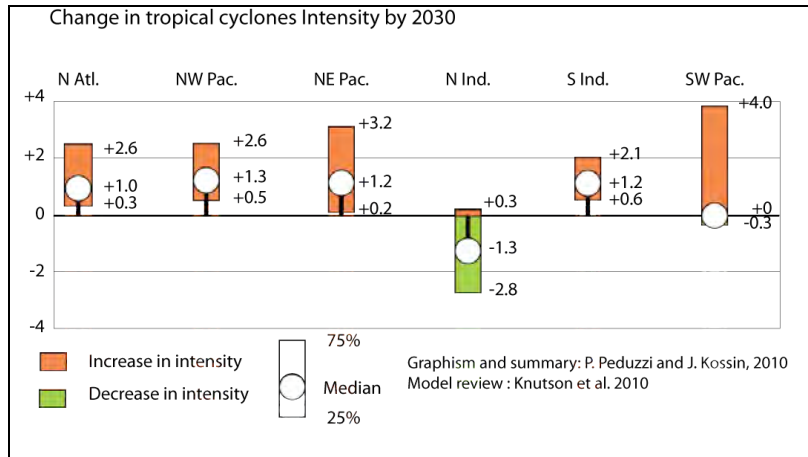


**Figure 4-3: Path for Successful Problem Solving In Past Societies**  
Climate change share many aspects with unsolved issues (white area).

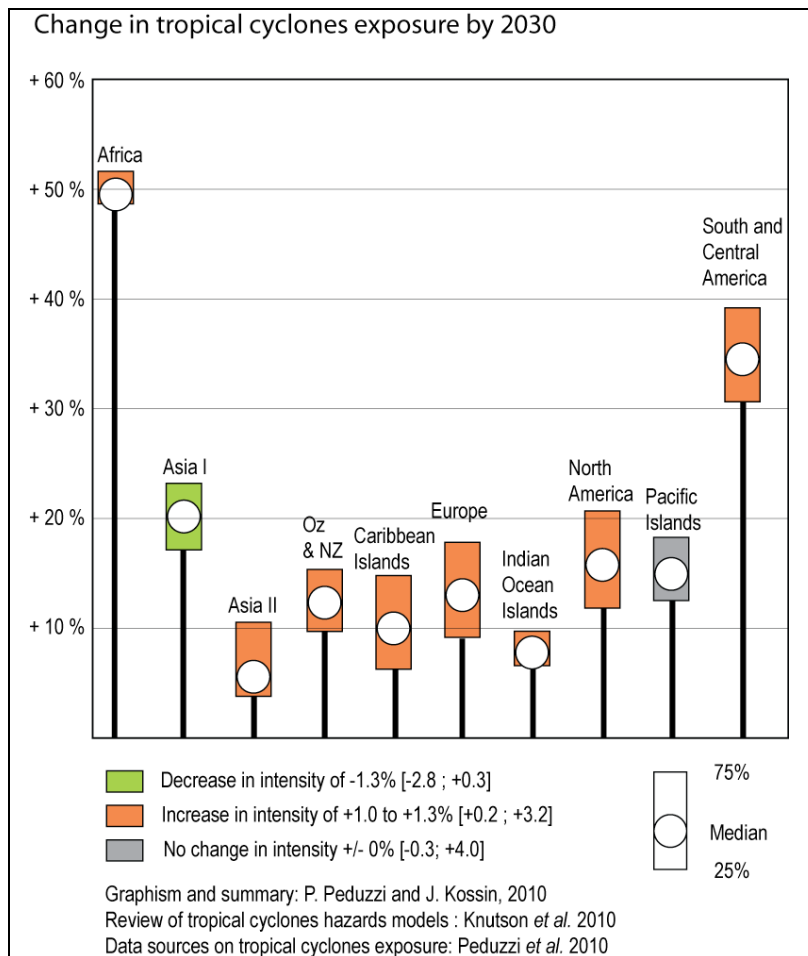
**Figure 4-4: The Total Economic Losses and Insured Losses from “Great Weather Related Disasters” Worldwide (1950-2010, adjusted to present values)**



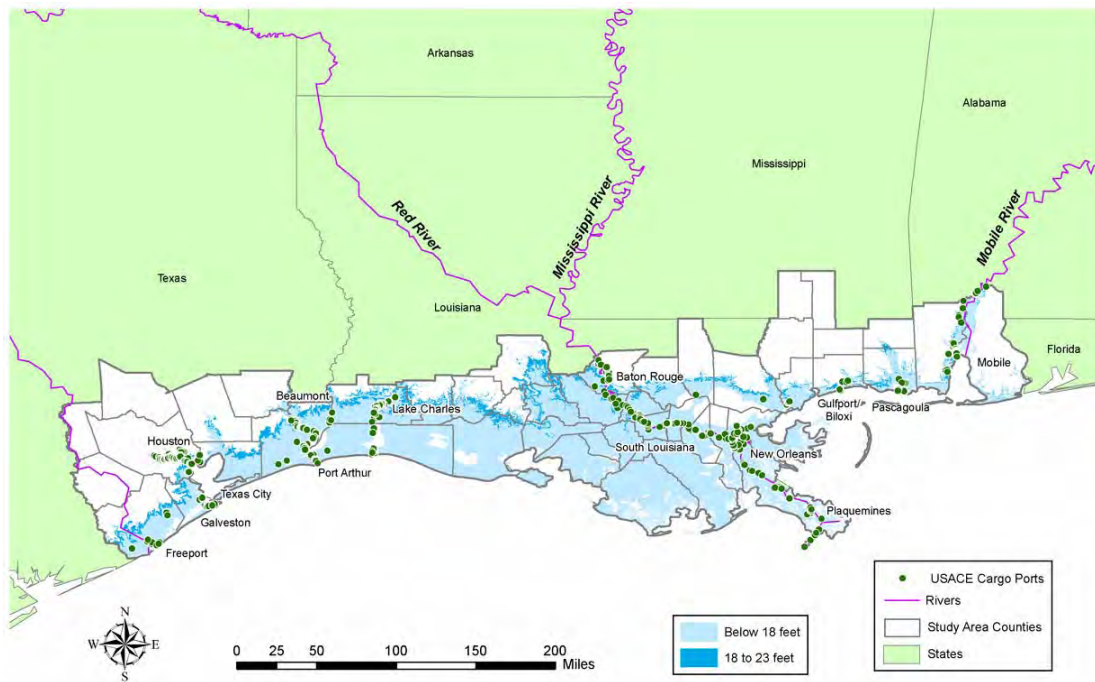
**Figure 4-5: Forecast Changes in Tropical Cyclones Hazards Frequencies by 2030** (Source: Peduzzi et al. 2011; Review of Models Based on Knutson et al. 2010)



**Figure 4-6: Forecast Changes In Tropical Cyclones Hazard Intensities by 2030** (Source: Peduzzi et al. 2011; Review of Models Based on Knutson et al. 2010)



**Figure 4-7: Forecast Changes in Tropical Cyclones Population Exposure** (Source: Peduzzi et al. 2011)



**Figure 4-8: Freight Handling Port Facilities at Risk from Storm Surge of 5.5 and 7.0m in The US Gulf Coast**  
 (Source: CCSP, 2008)

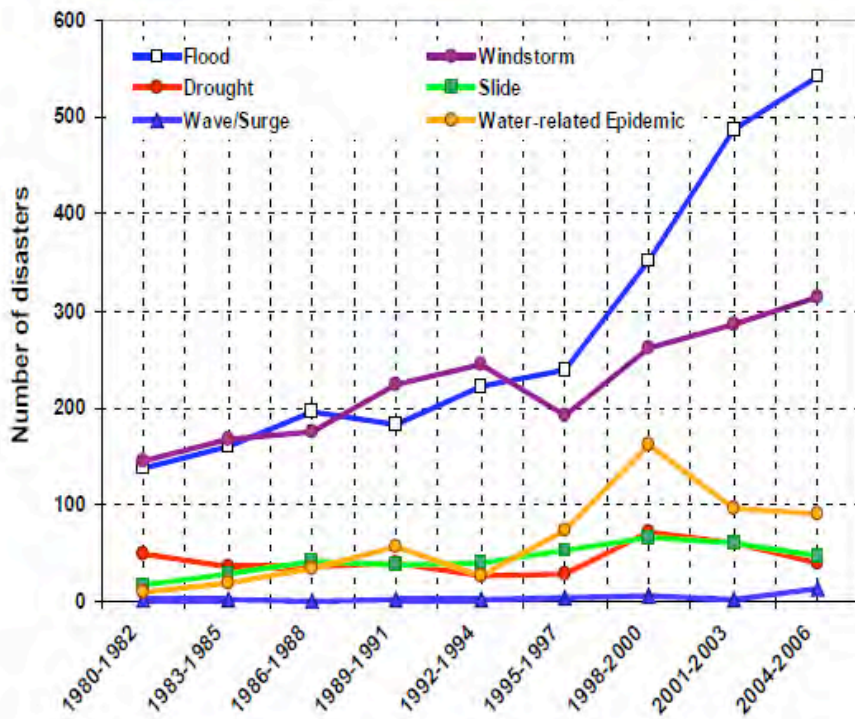


Figure 4-9: Water-Related Disaster Events Recorded Globally, 1980 to 2006 (Source: Adikari and Yoshitani, 2009)

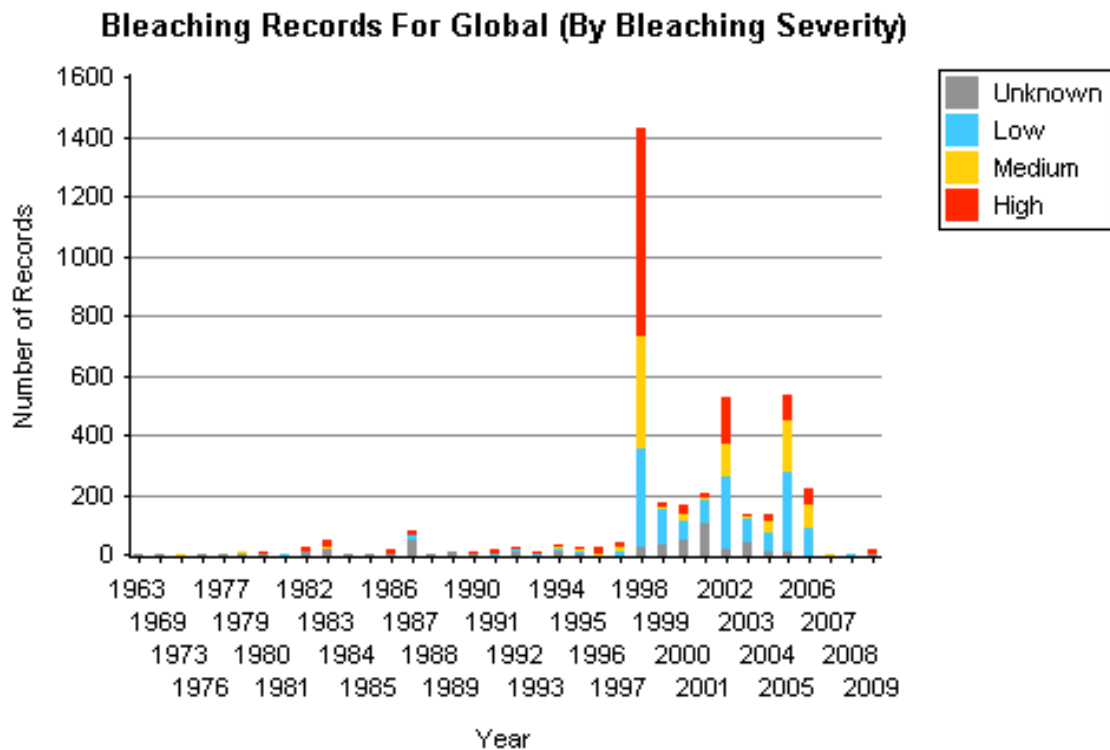
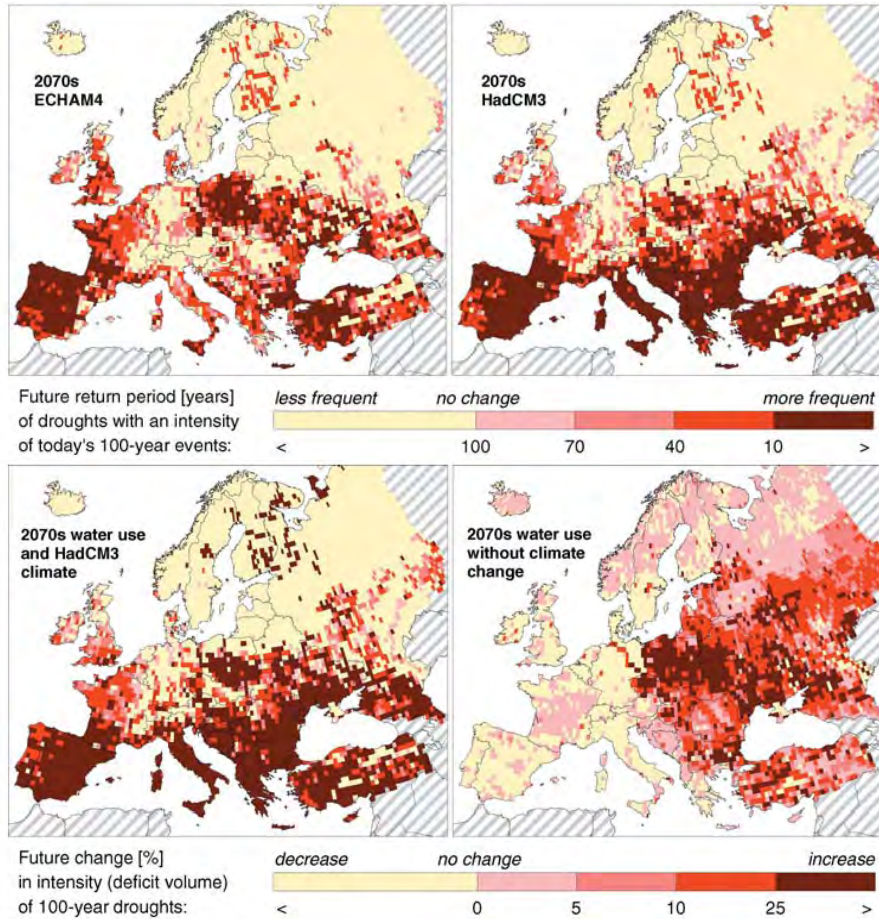


Figure 4-10: Coral Bleaching Record



**Figure 4-11: Change in Indicators of Water Resources Drought across Europe by the 2070s** (Source: Lehner et al., 2006).

A (top): change in the return period of the current 100-year drought deficit volume, with change in river flows and withdrawals under two climate scenarios

B (bottom): change in the intensity (deficit volume) of the 100-year drought with changing withdrawals, with climate change (left, with the HadCM3 scenario) and without climate change (right)

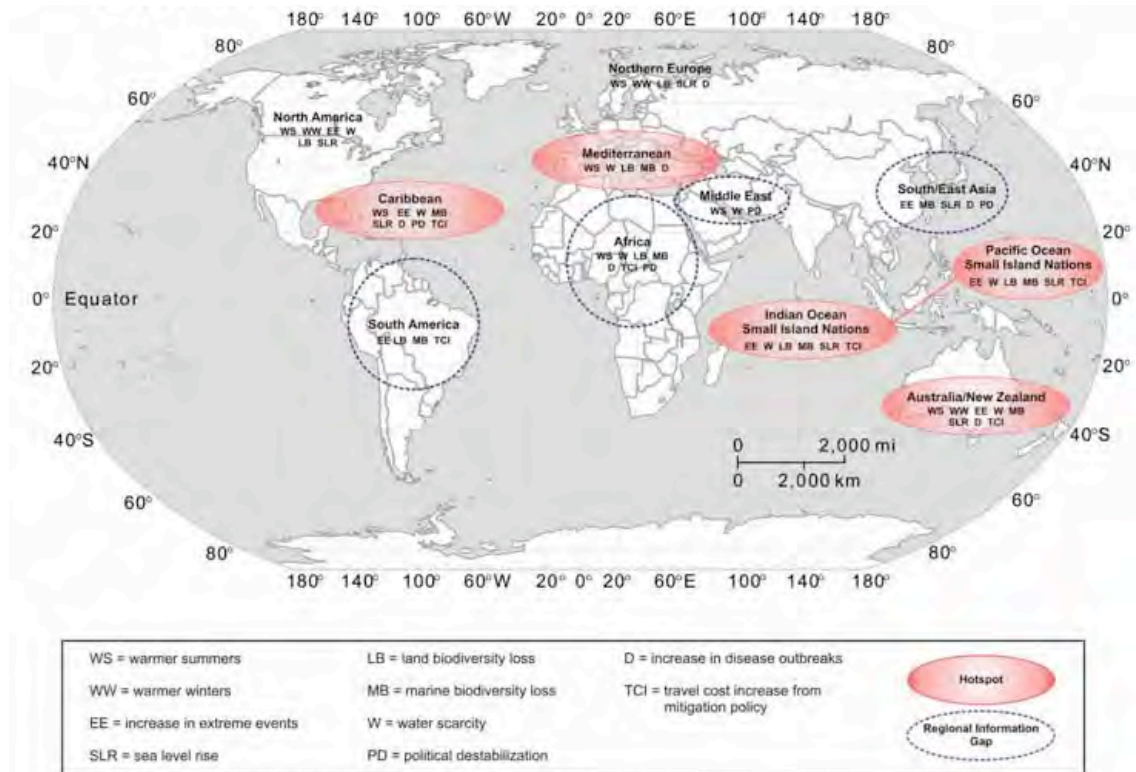


Figure 4-12: Climate Change Vulnerability Hotspots in the Tourism Sector (Source: Scott et al., 2008)

Figure 4-13: People Affected by Natural Disasters from 1971-2001 [Updated Figure on climatic disasters needed]

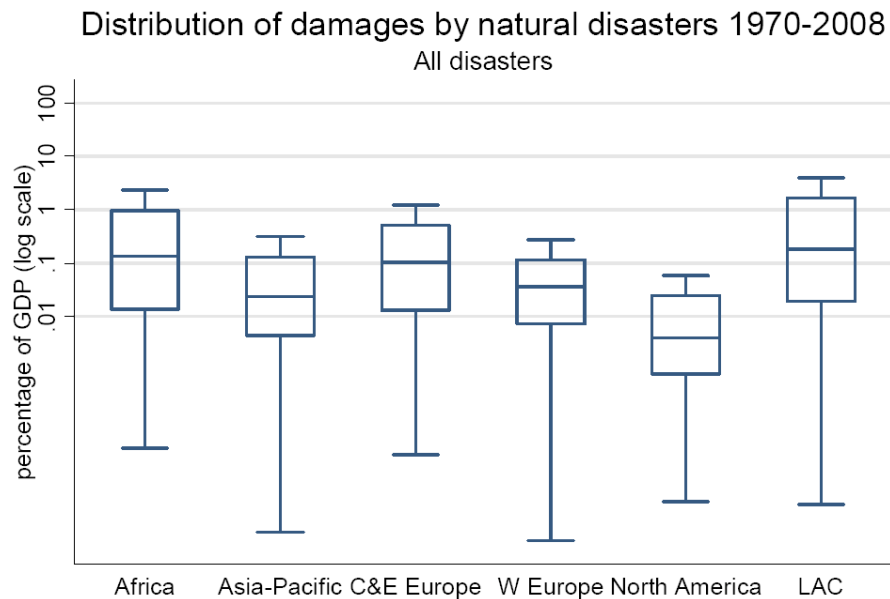
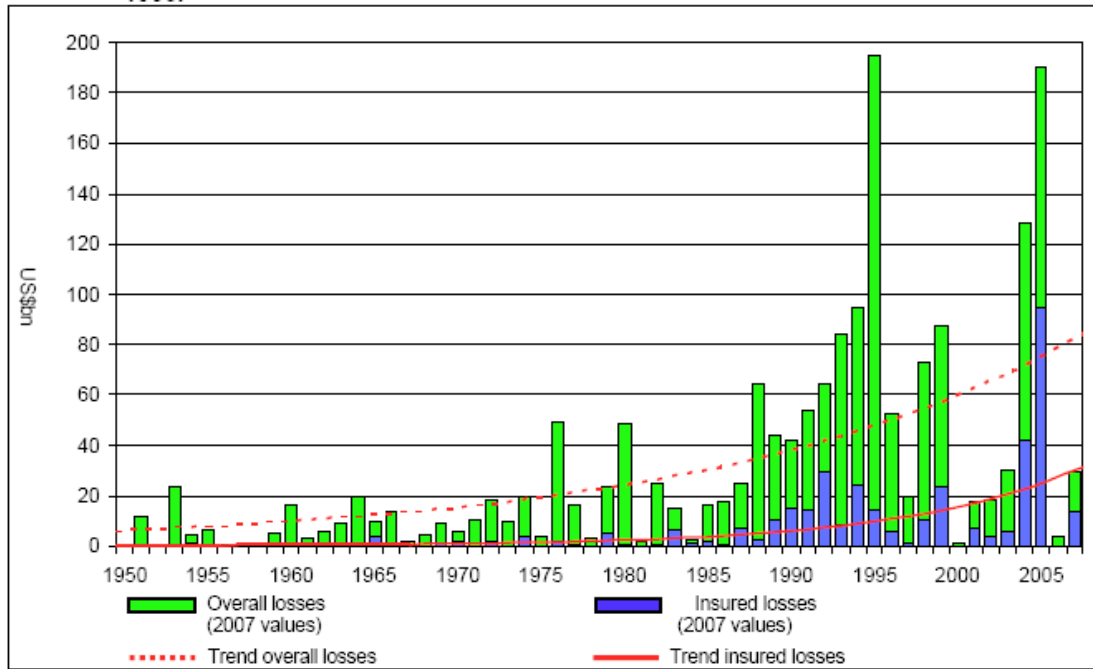
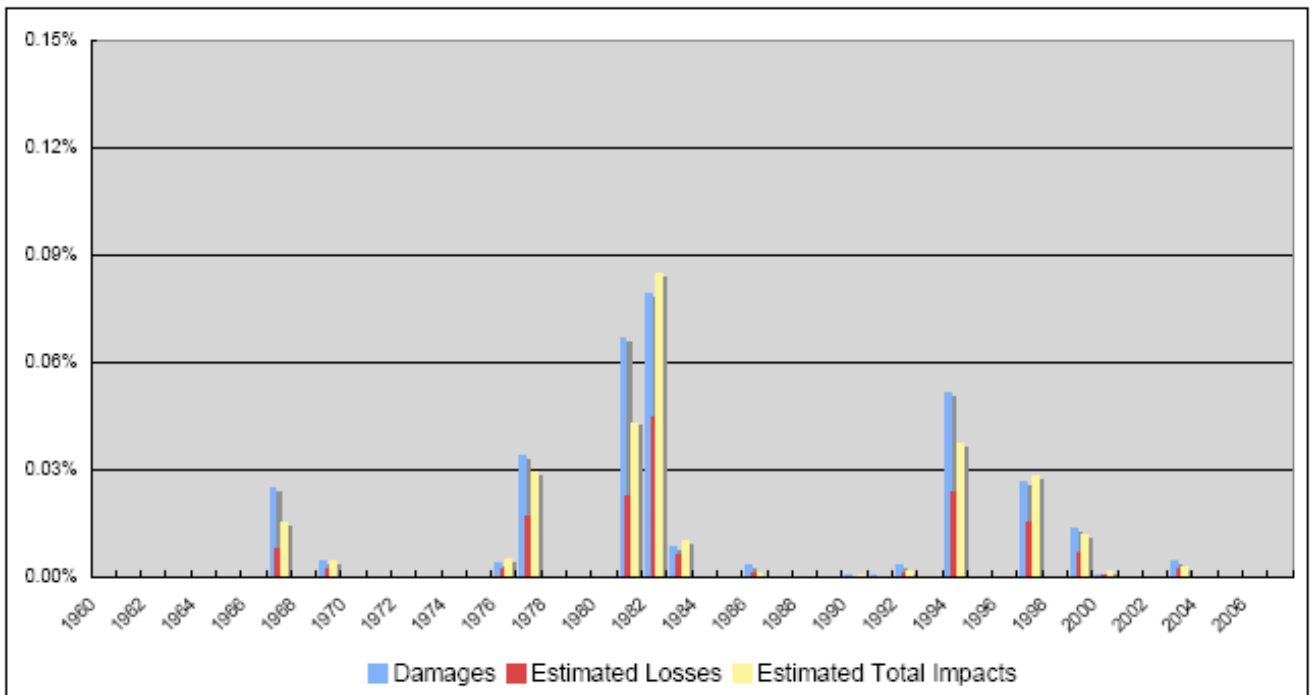


Figure 4-14: Distribution of Regional Damages as a % of GDP (1970-2008) (Source: EM-DAT, WDI database, calculated by Cavallo and Noy, 2009)



**Figure 4-15: The Overall Losses and Insured Losses from Natural Disasters Worldwide** (adjusted to present values) (Source: Munich-Re, 2007)



**Figure 4-16: Historical Trends of Climatological Disasters** (normalized)